

1 **Spectral tuning in the eyes of deep-sea lanternfishes (Myctophidae): a novel sexually**
2 **dimorphic intra-ocular filter**

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17 Running head title: Spectral tuning in lanternfishes

18 Number of figures: 8

19 Number of tables: 2

20

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27 Key words: yellow pigment, retinal filter, spectral tuning, myctophids, deep-sea, opsins,
28 microspectrophotometry, bioluminescence, sexual dimorphism.

29

30 **Abstract**

31 Deep-sea fishes possess several adaptations to facilitate vision, where light
32 detection is pushed to its limit. Lanternfishes (Myctophidae), one of the world's most
33 abundant groups of mesopelagic fishes, possess a novel and unique visual specialisation,
34 a sexually dimorphic photostable yellow pigmentation, constituting the first record of a
35 visual sexual dimorphism in any non-primate vertebrate. The topographic distribution of
36 the yellow pigmentation across the retina is species-specific, varying in location, shape
37 and size. Spectrophotometric analyses reveal this new retinal specialisation differs
38 between species in terms of composition and acts as a filter, absorbing maximally
39 between 356 nm and 443 nm. Microspectrophotometry and molecular analyses indicate
40 that the species containing this pigmentation also possess at least two spectrally distinct
41 rod visual pigments, as a result of a duplication of the *Rhl* opsin gene. After modelling
42 the effect of the yellow pigmentation on photoreceptor spectral sensitivity, we suggest
43 that this unique specialisation acts as a filter to enhance contrast, thereby improving the
44 detection of bioluminescent emissions and possibly fluorescence in the extreme
45 environment of the deep-sea. The fact that this yellow pigmentation is species-specific,
46 sexually dimorphic and isolated within specific parts of the retina indicates an

47 evolutionary pressure to visualise prey/predators/mates in a particular part of each
48 species' visual field.

49

50 **Introduction**

51 The evolution of visual sensitivity appears to be driven primarily by the spectral
52 range and intensity of available light within a species' visual environment. As a result,
53 different visual pigments are found in the retina of different species, with spectral
54 sensitivities broadly matching the surrounding light conditions [Lythgoe and Partridge,
55 1989]. Spectral adaptation to the photic environment is mainly achieved by two types of
56 tuning mechanisms: variation in the number of spectral classes of photoreceptors through
57 the loss or duplication of opsin genes, and variation in the type of chromophore within
58 the photoreceptor outer segment (see [Bowmaker and Hunt, 2006; Bowmaker, 2008;
59 Davies et al., 2012] for review).

60 Due to the physical properties of water, aquatic animals can be found in a wide
61 range of spectral environments, from clear blue oceanic water to turbid brown river
62 water, and, as a result, possess different subsets of cone classes, ranging from the
63 presence of at least one representative of each of the four cone classes (Australian
64 lungfish, [Bailes et al., 2007]) at one extreme, to the retention of just a single cone class
65 (several species of sharks, [Hart et al., 2011]) at the other, although it is not uncommon
66 amongst deep-sea fishes to find that all four cone classes have been lost to leave a rod-
67 only retina [Hunt et al., 2001]. In the open ocean, light conditions vary greatly with
68 depth, decreasing in intensity and spectral range as the short and long wavelengths are
69 attenuated. In the deep-sea, only very low intensities of sunlight in the blue-green range

70 remain below 200 m, creating a relatively dark environment accompanied by a multitude
71 of bioluminescent emissions that are also mainly concentrated in the blue-green part of
72 the visible light spectrum [Widder, 2002; 2010].

73 The eyes of deep-sea teleost fishes represent a good example of visual adaptation
74 in spectral sensitivity. Most species have adapted to their dim-light environment by
75 losing their cone photoreceptors (photopic vision) in favour of a single type of rod
76 photoreceptor (scotopic vision) containing a single visual pigment, encoded by the *Rhl*
77 rod opsin gene. While most terrestrial and shallow water vertebrates usually have an *Rhl*
78 visual pigment maximally absorbing around 500 nm, many deep-sea teleosts have shifted
79 the spectral sensitivity of their rod pigment towards shorter wavelengths to spectrally
80 match their ambient photic environment, i.e. maximal absorbance around 480 nm
81 [Crescitelli, 1990; Douglas and Partridge, 1997; Douglas et al., 2003]. The tuning
82 mechanism responsible for the spectral shift toward shorter wavelengths in the *Rhl* gene
83 has been identified at the molecular level and occurs as a result of different combinations
84 of substitutions at eight different amino acid sites depending on the species [Hunt et al.,
85 2001].

86 Like most mesopelagic fishes, lanternfishes (Myctophidae) possess several visual
87 adaptations for life in the mesopelagic zone that serve to increase the sensitivity of the
88 eye and optimise photon capture [de Busserolles et al., 2013; de Busserolles et al., 2014a;
89 de Busserolles et al., 2014b]. Lanternfishes generally lack cones and possess a single rod
90 photoreceptor class with a peak spectral absorption tuned to the blue-green region of the
91 visible spectrum [Partridge et al., 1992; Douglas and Partridge, 1997; Turner et al.,
92 2009]. However, the presence of two photoreceptor classes has been found in a few

93 species [Hasegawa et al., 2008; Turner et al., 2009]. In this study, we describe a novel
94 visual adaptation, a retinal yellow pigmentation associated with a rod gene duplication,
95 that is unique among vertebrates and assists lanternfishes to tune their visual sensitivity.

96

97 **Material and methods**

98 *Samples*

99 Samples were collected in the Coral Sea during three cruises on the RV *Cape*
100 *Ferguson* (AIMS, Townsville, Australia) in Autumn-Winter 2010-2012 under the
101 following collection permits: Coral Sea waters (CSCZ-SR-20091001-01),
102 Commonwealth waters (AU-COM2009051), GBRMPA (G09/32237.1) and Queensland
103 Fisheries (133805), (Marshall, AEC # SNG/080/09/ARC). Sampling was performed at
104 the surface (<5 m) during the night using an Isaacs-Kid Midwater Trawl fitted with
105 buoys. For each individual, the standard length and rostral-caudal eye diameter were
106 measured with digital callipers (to a precision of 0.1 mm) prior to dissection and fixation
107 (Table 1). Following the guidelines of the NH&MRC Australian Code of Practice, under
108 our University of Western Australia Animal Ethic protocol (RA/3/100/917), eyes were
109 then enucleated, the cornea and lens were dissected free from the eye cup and tissue fixed
110 specifically for different analyses (4% paraformaldehyde, RNALater, 100% alcohol,
111 liquid nitrogen). One individual of *Myctophum brachygnathum*, sampled in Hawaii in
112 May 2011 and fixed in 5% formalin, was provided by the American Museum of Natural
113 History, New York, USA (Table 1).

114

115 *Retinal wholemounts and spectrophotometry*

116 For each species, eyes preserved in 4% paraformaldehyde in 0.1M sodium
117 phosphate buffer (pH 7.4, PFA) were dissected to reveal the location and shape of the
118 yellow pigmented patches of retinal tissue. Retinal wholemounts were made according to
119 standard protocols [Stone, 1981; Coimbra et al., 2006, Ullmann et al. 2011] and mounted
120 photoreceptor side up in 0.1M phosphate buffer (pH 7.4) between two No. 1 glass
121 coverslips. For one specimen of *Symbolophorus rufinus*, the eye was dissected on board
122 ship directly after capture and the retina wholemounted fresh in between two No. 1
123 coverslips and kept at -20°C in a light-tight container until analysis.

124 The transmission of light (300-800 nm) through each retina was measured
125 systematically every 0.5 mm or 1 mm (depending of the size of the retina) across the
126 wholemount using spectrophotometry. Each transmission spectrum was ultimately
127 converted to a corrected absorbance spectra at the wavelength of maximum absorbance of
128 the yellow pigment (λ_{YPmax}). The detailed methods used to carry out the
129 spectrophotometry analyses are provided in the Supplementary Material. The λ_{YPmax}
130 values were then mapped on to an outline of the retinal wholemount traced from the
131 calibrated digital image in Adobe Illustrator CS4. The whole file was saved as a scalable
132 vector graphics (.svg) file and imported into R v.2.15.0 (R Foundation for Statistical
133 Computing 2012) to construct the maps using custom scripts [Garza Gisholt et al., 2014].
134 For this study, the Gaussian Kernel Smoother from the Spatstat package was used to
135 construct the iso-density maps [Baddeley and Turner, 2005]. For each map, the sigma
136 value was adjusted to 30.

137

138 ***Cryosections***

139 The retina of one individual of *Gonichthys tenuiculus*, preserved in 4% PFA, was
140 processed for cryosectioning in order to visualise the position of the yellow pigmentation
141 within the retina. Sections (40 µm in thickness) were cut using a Leica CM1900 cryostat-
142 microtome and mounted in VectaMount Aqueous medium (Vector Laboratories, CA,
143 USA). The sections were observed under an Olympus BX50 compound light microscope
144 and pictures taken with an Olympus DP70 digital camera.

145

146 ***Identification of the yellow pigmentation***

147 Yellow pigments have previously been observed in the eyes of vertebrates, either
148 as a diffuse pigment within the lens and cornea or within the inner segment of the
149 photoreceptors i.e. in oil droplets or ellipsoids [Muntz, 1976; Appleby and Muntz, 1979;
150 Goldsmith et al., 1984; Collin et al., 2003; Siebeck et al. 2003; Bailes et al. 2006]. These
151 pigments have been identified as tryptophan derivatives [van Heyningen, 1971a, b;
152 Thorpe et al., 1992] or mycosporine-like amino acids [Thorpe et al., 1993] in the lens,
153 and as carotenoids [Goldsmith et al., 1984] in the retina. Extraction methods for the
154 yellow pigment found in the retina of the lanternfishes followed therefore the classic
155 extraction protocols for these various group of compounds, using appropriate standards.
156 Retinae of *Myctophum aurolaternatum* and *Hygophum proximum* were dissected while
157 onboard ship, deep-frozen in liquid nitrogen in small eppendorf tubes and stored at -
158 20°C. In order to extract any water-soluble pigments (e.g. tryptophan derivatives and
159 other amino acids), the retina of *M. aurolaternatum* was thawed at room temperature and
160 homogenised several times in a glass tube with 1 ml of distilled water (3 mins at 30Hz)
161 [Truscott et al. 1992; Thorpe et al. 1993]. Extraction of possible carotenoids was

162 performed as described by Toomey and McGraw [2007, 2009]. The retina of *H.*
163 *proximum* was thawed and 10 μ l of Trans- β -Apo-8-Carotenal (1ng/ μ l, Sigma Aldrich)
164 and 50 μ l of retinyl acetate (1ng/ μ l, Sigma Aldrich) were added to the sample as internal
165 standards. The sample was then homogenised in 1ml of methanol for 3 mins at 30Hz,
166 centrifuged (3mins at 13000 rpm) and the supernatant transferred into a glass tube. The
167 procedure was repeated twice with 1 ml of 1:1 hexane:*tert*-butyl methyl ether (MTBE)
168 and the supernatants combined. Since the yellow pigment was still present in the tissue
169 and not in the supernatant, we repeated the procedure twice more with 1 ml of dimethyl
170 sulfoxide (DMSO) [Sedmak et al. 1990].

171

172 ***Microspectrophotometry***

173 The eyes of three species of lanternfishes, *Myctophum obtusirostre*, *M. spinosum*
174 and *Symbolophorus evermanni*, were preserved for microspectrophotometry (MSP)
175 according to Hart et al. [2011]. Specimens were collected alive and were dark adapted for
176 15 mins before being euthanized following the guidelines of the NH&MRC Australian
177 Code of Practice, under the University of Western Australia Animal Ethics protocol
178 (RA/3/100/917). Eyes were removed in the dark under dim red light, the cornea removed
179 and the whole eye lightly fixed for 30 seconds in 2.5% glutaraldehyde in phosphate
180 buffered saline (PBS, 340 mOsmol kg⁻¹, pH 7.0), washed in PBS for 30 s and stored in
181 PBS at 4°C in a light-tight container until they were analysed back in the laboratory,
182 three to four weeks later.

183 In all cases, small pieces (ca. 1x1 mm to 3x3 mm) of retina were mounted
184 between No. 1 glass coverslips in a drop of 425 mOsmol kg⁻¹ PBS containing 10%

185 dextran (MW 282,000; Sigma D-7265). Absorbance spectra (330–800 nm) were made
186 using a computer-controlled single-beam wavelength-scanning microspectrophotometer
187 [Hart et al., 2004; 2011; 2012]. Due to the very small diameter of the rod photoreceptors
188 of the lanternfish species analysed (<1 μm [de Busserolles et al., 2014b]), longitudinal
189 “end-on” absorbance spectra were measured from patches of rod outer segments. A
190 sample scan was made by aligning the measuring beam (typical dimensions 10 \times 10 μm)
191 within a patch of rods in a specific part of the retina and recording the amount of light
192 transmitted at each wavelength across the spectrum. Baseline scans were made in an
193 identical way to sample scans but from a cell-free area of the preparation. The
194 transmittance (ratio of sample to baseline signal) of the outer segments was calculated at
195 each wavelength and converted to absorbance to give a prebleach spectrum. Each patch
196 of rods was then bleached with broadband white light for 2 min and subsequent sample
197 and baseline scans were made to create a postbleach absorbance spectrum. Because we
198 were measuring the absorbance through a patch of retina that contains other absorbing
199 material (i.e. other retinal layers, yellow pigment) and not through a single rod outer
200 segment, a difference spectrum was calculated by subtracting the postbleach scan from
201 the prebleach scan. Only spectra that satisfied established selection criteria [Levine and
202 MacNichol Jr, 1985; Hart et al., 1998] were retained for further analysis. Difference
203 absorbance spectra were analysed as described elsewhere [MacNichol Jr, 1986;
204 Govardovskii et al., 2000; Hart, 2002] to provide an estimate of the wavelength of
205 maximum absorbance (λ_{max}) of the retinal pigment contained in the rods, assuming that
206 only one type of rod was present within the patch analysed. The mean λ_{max} of a given
207 pigment was then calculated from these individual patch λ_{max} values. For display

208 purposes, a mean difference absorbance spectrum was calculated by averaging the
209 acceptable individual absorbance spectra.

210 To investigate the possibility that the photoreceptors of some species contained a
211 mixture of visual pigment molecules utilising both the A₁ and A₂ chromophores, mean
212 prebleach absorbance spectra (smoothed with a variable point unweighted running
213 average) were fitted iteratively using the Excel Solver function in a custom macro written
214 by NSH with mixed-chromophore pigment templates to find the combination of visual
215 pigment λ_{\max} (pure A₁) and A₁/A₂ ratio that gave the smallest sums of squares deviation
216 between the real and modelled spectra between 0.8 and 0.2 normalised absorbance on the
217 long-wavelength limb of the real spectrum (approximately the same region used to
218 estimate λ_{\max} ; [Temple et al., 2010]). We made the assumption that only a single type of
219 visual pigment opsin protein was expressed in each rod within the patch of rods and used
220 established A₁ and A₂ visual pigment templates [Govardovskii et al., 2000] and a known
221 relationship between the λ_{\max} values of A₁ and A₂ visual pigment pairs [Parry and
222 Bowmaker, 2000].

223

224 ***Molecular analyses***

225 Eye and muscle tissue from *S. evermanni* were preserved for molecular analyses
226 by immersion in RNALater and 100% alcohol, respectively, followed by storage at -
227 20°C. Genomic DNA (gDNA) was extracted from the muscle tissue using the DNeasy
228 Blood & Tissue Kit (Qiagen), following the protocol provided by the manufacturer. First-
229 round PCR amplifications utilised the “All-Opsins, All-Species” (AOAS) degenerate
230 PCR primer set F1/R2 designed by Davies et al. [2009]. A second hemi-nested PCR was

231 carried out with primer sets F1/R1 and F2/R2 [Davies et al., 2009]. The sequences of the
232 primers used in this study along with the annealing temperatures used and expected PCR
233 products are provided in the Supplementary Material (Table S1).

234 RNA was extracted from eye tissue using the PureLink RNA Mini Kit
235 (Invitrogen) and cDNA synthesised using the 5'/3' RACE Kit 2nd generation (Roche)
236 according to the manufacturer's instructions. Opsin sequences were amplified from
237 cDNA using primer sets designed to amplify vertebrate opsins. The resulting amplified
238 fragments were sequenced and the sequences used to design primers for RACE in order
239 to extend the sequences at the 3' ends.

240 All PCR amplifications were performed using a HotStarTaq DNA Polymerase Kit
241 (Qiagen). Following a heat-activation step of 15 mins at 95°C, 45 cycles were performed
242 with a denaturation step of 30 s at 94°C, an annealing step of 60 s at 45-50°C, an
243 extension step of 90 s at 72°C and a final extension of 10 mins at 72°. Gel-purified
244 (Wizard SV Gel and PCR Clean-up System, Promega) PCR products were sequenced by
245 the Sanger method.

246 Phylogenetic trees, including the *S. evermanni* opsin genes sequenced in this
247 study, were constructed by Neighbour-Joining [Saitou and Nei, 1987] and Maximum
248 Likelihood using nucleotide sequences. All sequences were aligned in Clustal Omega
249 [Sievers et al., 2011] and refined by eye. The parameters for the phylogenetic analysis,
250 carried out using the MEGA phylogenetic package [Tamura et al., 2013], were pair-wise
251 deletion and Kimura 2-parameter correction. The degree of support for internal branching
252 was assessed by bootstrapping with 1000 replicates.

253

254 *Modelling of the association of the yellow pigment and the visual pigments*

255 The effect of the yellow pigmentation on the spectral sensitivity of the rods was
256 modelled for two species, *M. obtusirostre* and *S. evermanni*. For each species, the quantal
257 spectral sensitivity of the rod photoreceptors associated with the yellow pigmentation was
258 modelled by multiplying the species-specific axial spectral absorptance of the rod outer
259 segment by the spectral transmittance of the species-specific overlying yellow
260 pigmentation. We used visual pigment templates [Govardovskii et al., 2000] of
261 appropriate λ_{\max} for the modelling. Normalized absorbance templates were converted to
262 axial outer segment absorptance by assuming a visual pigment specific (decadic)
263 absorbance of $0.013 \mu\text{m}^{-1}$ (rhodopsin, [Turner et al., 2009]) and a rod outer segment
264 length of $39 \mu\text{m}$ for *S. evermanni* [de Busserolles et al., 2014b] and $45 \mu\text{m}$ for *M.*
265 *obtusirostre* as per a similar species (*M. brachygnathum*, [de Busserolles et al., 2014b]).
266 Measurements of ocular media transmittance could not be made from fresh specimens at
267 sea and so were excluded from the modelling.

268

269 **Results**

270 *Description of the yellow pigment*

271 Yellow pigmentation within the retina was noted in ten species of lanternfishes
272 from four different genera all belonging to a single subfamily, the Myctophinae (Table
273 1). After detailed observation of six species, the distribution of the yellow pigmentation
274 appears to be species-specific, varying in location, shape, size and number of patches
275 (Figure 1a-f). Maps of the corrected absorbance at λ_{YPmax} for each species correlate very
276 well with the visual observations and indicate that the pigmentation is restricted to well-

277 defined retinal regions (Figure 1g-l). The maximum corrected absorbance at $\lambda_{YP_{max}}$ varied
278 between species from 0.78 (*S. evermanni*, Figure 1j) to 2.02 (*G. tenuiculus*, Figure 1g).
279 Two of the six species analysed showed two patches of yellow pigmentation; *G.*
280 *tenuiculus* possesses two ovoid-like patches, a small one in the dorsal part of the retina
281 and a larger one in the ventral retina (Figure 1a), whereas *M. lychnobium* possesses
282 extensive pigment patches covering the entire dorsal part of the retina and the centro-
283 ventral retina arranged in a streak-like pattern (Figure 1e). The four other species all
284 possess a single patch of yellow pigmentation. *H. proximum*, *S. rufinus* and *S. evermanni*
285 all have an ovoid-shaped patch situated in the dorsal or dorso-nasal part of the retina
286 (Figure 1b-d, respectively), whereas *M. obtusirostre* possesses a streak-like patch in the
287 temporo-ventral area (Figure 1f). The density of the yellow pigmentation appears to vary
288 between species with some showing very intense yellow pigmentation (i.e. *G. tenuiculus*,
289 *H. proximum*, *S. rufinus*, *S. evermanni*, Figure 1a-d) and others paler pigmentation (i.e.
290 *M. lychnobium*, *M. obtusirostre*, Figure 1e-f).

291 Sections through the retina of *G. tenuiculus* reveal that the yellow pigmentation is
292 situated within the outer nuclear layer (Figure 2). The pigmentation appears to be evenly
293 distributed and does not appear to be compartmentalised within any organelles (i.e. oil
294 droplets, ellipsosomes).

295

296 ***Sexual dimorphism***

297 A sexual dimorphism in the size, shape and location of the yellow pigmentation
298 was observed in two species, *M. brachygnathum* and *M. nitidulum*, out of the ten
299 analysed (Figure 3). In *M. brachygnathum* (Figure 3a), this sexual dimorphism was

300 observed in all three females and all three males examined. The females possess a small
301 yellow patch in the central part of the retina just ventral to the optic nerve head. The
302 males possess a larger yellow patch at the periphery of the temporo-ventral retina. In *M.*
303 *nitidulum* (Figure 3b), there also appears to be a sexual dimorphism although this will
304 have to be confirmed as only two females and one male were examined. In the females,
305 the yellow patch takes the shape of a band extending from temporal to ventral retina,
306 located ventral to the optic nerve head. In the male, the patch is approximately circular
307 and located in the nasal periphery. At this stage, it is unknown whether the other eight
308 species with a retinal yellow pigmentation also have a sexual dimorphism as either both
309 sexes were not collected or only immature specimens were available (Table 1).

310

311 ***Spectrophotometry and pigment extraction***

312 Spectrophotometric analysis of the unfixed frozen retinal wholemount of *S.*
313 *rufinus* revealed that the chemical fixation process had little affect on the absorbance of
314 the yellow pigmentation, with a difference in the λ_{YPmax} between the fresh and fixed
315 samples of only 3 nm and a difference in full width at half maximum of 12 nm
316 (Supplementary Material, Figure S1).

317 Spectrophotometric analysis of the retinal wholemount of different species
318 revealed that the yellow pigmentation acts as a short-wavelength absorbing (long-pass)
319 filter (Figure 4). The maximum absorbance of the yellow pigmentation (λ_{YPmax}) varies
320 from 356 nm (*M. obtusirostre*, Figure 4f) to 443 nm (*G. tenuiculus*, Figure 4a).
321 Comparison of the spectral curves, based on the λ_{YPmax} from the different species (Figure
322 4), suggests that at least three different types of yellow pigments/filters are present with

323 peak absorbance at approximately 350 nm, 380 nm and 440 nm. In addition, some of
324 these pigments may be present as mixtures. This might be the case for *H. proximum*
325 (Figure 4b), which seems to possess a mixture of the pigment present in *M. obtusirostre*
326 (Figure 4f), *G. tenuiculus* (Figure 4a) and *M. lychnobium* (Figure 4e).

327 In order to identify the nature of the yellow pigmentation, extraction was
328 attempted from the retinas of two species, *M. aurolaternatum* and *H. proximum*, using
329 water in the former species to extract water-soluble compounds and organic solvents in
330 the latter species to extract carotenoids. However, in each case, the yellow pigment
331 proved to be refractory to extraction, thereby eliminating amino acids, tryptophan
332 derivatives, lipophilic/hydrophilic pigments and carotenoids as the main constituents in
333 the species analysed.

334

335 ***Microspectrophotometry***

336 Microspectrophotometric results for the three species analysed are summarised in
337 Table 2. The mean difference spectra for each type of rod in each species are shown in
338 Figure 5. Except for *M. spinosum*, in which only a single spectral class of rod
339 photoreceptor was identified (492 nm, Figure 5c), several spectral classes of rod
340 photoreceptors were found in the two other species.

341 For *M. obtusirostre*, two classes of rod photoreceptors were identified with mean
342 λ_{\max} values of 473 nm in the non-yellow retinal area and 527 nm in the area containing
343 the yellow pigmentation (Figure 5b). On the basis of goodness-of-fit to established A₁
344 and A₂ visual pigment templates [Govardovskii et al., 2000], the pigment in the 473 nm
345 rod was considered to represent 100% A₁ and the pigment in the 527 nm rod was

346 considered to represent 100% A₂. However, given the known relationship between the
347 λ_{\max} values of A₁ and A₂ visual pigment pairs [Parry and Bowmaker, 2000], it is very
348 likely that these two pigments originate from two different opsins, since replacement of
349 an A₁ by an A₂ chromophore would only shift the λ_{\max} of the 473 nm pigment to 484 nm.

350 For *S. evermanni*, three classes of rod photoreceptor were identified with mean
351 λ_{\max} values of 476 nm, 503 nm and 512 nm (Figure 5c). While the 476 nm and 503 nm
352 pigments were found to be best-fitted by A₁-based templates, the 512 nm pigment was
353 best-fitted by a template derived from a mixture of 47% A₁ and 53 % A₂. Although both
354 476 nm and 503 nm pigments possess 100% A₁ chromophore thereby indicating the
355 presence of two different opsins, it is unlikely that the 512 nm pigment originates from a
356 third opsin. Most probably, the 512 nm pigment contains the same opsin as the 503 nm
357 pigment, with the difference in wavelength arising from the presence of both A₁ and A₂
358 chromophores within their outer segments.

359

360 ***Molecular analyses***

361 Two opsin transcripts, identified as encoded by two *Rhl* opsin genes, were PCR-
362 amplified from *S. evermanni* retinal cDNA. The sequences were aligned with the *Rhl* rod
363 opsin and representatives of all four cone opsin coding sequences of the zebrafish, *Danio*
364 *rerio*, using Clustal Omega [Sievers et al., 2011]. These alignments were then used to
365 generate Neighbour-Joining and Maximum Likelihood phylogenetic trees
366 (Supplementary Material, Figure S2). In both cases, both *S. evermanni* sequences fall into
367 the same clade as the zebrafish *Rhl* sequences, thereby confirming that both are *Rhl*
368 opsin orthologues. The two sequences were designated as *Rhl-A* and *Rhl-B*.

369 *Rh1-A* and *Rh1-B* differ at specific sites that have previously been shown to be
370 important for spectral tuning [Hunt et al., 2001] (Supplementary Material, Figure S3);
371 *Rh1-B* possesses Tyr rather than Phe at site 261 and Ala rather than Ser at site 292. These
372 two substitutions in *Rh1-B* would be expected to result in a long-wavelength shift in the
373 absorbance peak of the pigment compared to *Rh1-A*, and extrapolation from pigments in
374 other species with identical substitutions would indicate a red shift of around 20-25 nm
375 [Hunt et al., 1996; Yokoyama et al., 1995]. This is consistent with the different λ_{\max}
376 values found using MSP for rod photoreceptors in this species.

377 Despite several attempts to complete the sequence of *Rh1-A*, the 5' end remained
378 truncated; the missing portion includes two potential tuning sites (sites 83 and 122).
379 However, since *Rh1A* shows a similar spectral sensitivity to the other myctophid rod
380 opsins (~ 470 nm), which possess Asp83 and Gln122 [Yokoyama et al., 2008], as does
381 *Rh1-B* (the long wave-shifted rod), it is unlikely that either of these sites in *Rh1-A* are
382 substituted.

383 *Rh1-A* and *Rh1-B* nucleotide sequences from *S. evermanni* were aligned with all
384 the myctophid *Rh1* opsin sequences available in GenBank, plus the *Rh1* opsin gene
385 nucleotide sequences of the short fin pearleye, *Scopelarchus analis*, the zebrafish, *D.*
386 *rerio*, the yellow river scaleless carp, *Gymnocypris eckloni*, the elephant shark,
387 *Callorhinchus milii*. The *Rh2* opsin gene nucleotide sequence of *D. rerio* served as an
388 out-group. Neighbour-Joining and Maximum Likelihood analyses were carried out on the
389 aligned nucleotide sequences and the resultant trees (Figure 6) both grouped the *S.*
390 *evermanni* sequences with those from *Electrona antarctica* and the two *Benthoosema*
391 species. The precise location of the *S. evermanni* differed however between the two

392 methods, with Neighbour-Joining placing the *S. evermanni Rh1-B* sequences subsequent
393 to the evolution of the *Benthoosema* genus, whereas Maximum Likelihood placed the *S.*
394 *evermanni Rh1-B* sequence on a separate branch with the *B. pterotum* sequence only. The
395 relevant bootstrap values are all relatively low, reflecting the overall similarity of the
396 myctophid *Rh1* sequences. It would appear therefore that the *Rh1* duplication in *S.*
397 *evermanni* arose within the clade defined by three genera, *Symbolophorus*, *Benthoosema*
398 and *Electrona*, but its precise location cannot be further verified.

399

400 ***Modelling of the effects of the yellow pigment on photoreceptor spectral sensitivity***

401 Following our observations and measurements using microspectrophotometry in
402 yellow and non-yellow pigmented areas of the retina of *M. obtusirostre*, we concluded
403 that the yellow pigmentation was only associated with the long wave-shifted rod visual
404 pigment and as a result, only modelled this scenario in both species. Species-specific data
405 were used to model the filtering effect of the yellow pigment on the photoreceptor
406 spectral sensitivity (i.e. species-specific rod outer segment spectral absorbance and
407 species-specific yellow pigment spectral transmittance).

408 Results show that the yellow filter decreases the absolute sensitivity at the peak of
409 the longwave-shifted rod visual pigment, shifts the overall sensitivity peak slightly
410 toward longer wavelengths, and narrows the spectral sensitivity function by absorbing
411 strongly at short wavelengths (Figures 7, 8). In *M. obtusirostre*, the yellow pigment
412 narrows the full-width at half-maximum (FWHM) bandwidth of the long wave-shifted
413 visual pigment from 248 nm to 142 nm (Figure 7) and shifts the peak of maximum
414 absorbance of the rods 6 nm toward longer wavelengths.

415 In contrast to *M. obtusirostre*, two long wave-shifted rod visual pigments were
416 found in *S. evermanni* (Figure 8a). However, it is unknown if the yellow pigment is
417 associated with both longwave-shifted visual pigments or only one of the two. As a
418 consequence, three different scenarios were modelled (Figure 8b-d). For the 503 nm
419 visual pigment, the FWHM of the rods would decrease from 125 nm to 93 nm with the
420 addition of the yellow pigment, while for the 512 nm visual pigment, the FWHM would
421 decrease from 149 nm to 108 nm. In terms of the wavelength of maximum absorbance of
422 the rod spectral sensitivity function, a slight shift toward longer wavelengths would be
423 predicted with the addition of the yellow pigment (10 nm shift for rods containing the
424 503 nm pigment and an 8 nm shift for the 512 nm pigment). Depending on the scenario,
425 *S. evermanni* could possess rods with peaks of quantal spectral sensitivity at 476 nm, 513
426 nm and 520 nm if both of the long wave-shifted visual pigments are associated with the
427 yellow pigment (Figure 8b), or at 476 nm, 513 nm and 512 nm (Figure 8c) or 476 nm,
428 503 nm and 520 nm (Figure 8d) if only one or the other of the long wave-shifted visual
429 pigments is associated with the yellow pigment.

430

431 **Discussion**

432 *The unique yellow pigment in the retina of myctophids*

433 In this study, we describe a novel visual specialisation, a photostable yellow
434 pigmentation, present in the retina of several species of lanternfishes. Retinal photostable
435 yellow pigmentation has previously been observed in a number of animals including
436 species of lamprey [Collin et al., 2003] and lungfish [Bailes et al., 2006], the ornate
437 dragon lizard [Barbour et al., 2002], and Amazonian cichlid fishes [Muntz, 1973].

438 However, in contrast to these other animals, the yellow pigmentation in lanternfishes is
439 restricted to well-defined areas (patches) of the retina, which appear to be species-
440 specific in terms of the number, size, location and pigment density. Moreover, the
441 location of the yellow pigmentation throughout the outer nuclear layer of the retina of
442 myctophids differs from what has been previously observed in other species, where it is
443 found within the distal region of the inner segment and/or diffusely distributed within the
444 myoid region of the photoreceptors [Barbour et al., 2002; Collin et al., 2003; Bailes et al.,
445 2006].

446 Unfortunately, the composition of the yellow pigmentation in myctophids could
447 not be identified in this study. Coloration in animals is primarily due to the presence of
448 melanins and carotenoids, although other types of pigment have been shown to be
449 responsible for animal coloration i.e. porphyrins, pterins, flavins, psittacofulvins,
450 [McGraw, 2006] and amino acids [Thorpe et al., 1993]. Specifically, yellow pigmentation
451 in ocular tissues has been identified as carotenoids (in pufferfish cornea [Appleby and
452 Muntz, 1979], in avian retinal oil droplets [Goldsmith et al., 1984], in the human macula
453 [Bone et al., 1985]), as tryptophan derivatives (in the lens of terrestrial vertebrates [van
454 Heyningen, 1971a, b], in the lenses of deep-sea fishes [Thorpe et al., 1992]) and as
455 mycosporine-like amino acids (in the lenses of deep-sea fishes [Thorpe et al., 1993]).
456 Results from our study seem to exclude all of these compounds, plus lipophilic or
457 hydrophilic pigments (e.g. porphyrins and psittacofulvins) since water and non-polar
458 solvents were also unsuccessful. However, extraction was only performed in two species:
459 *M. aurolaternatum* for which no spectrum is available, and *H. proximum*. Since no
460 carotenoids were extracted in *H. proximum*, the pigment present in *H. proximum* and the

461 two other species with similar spectra, *M. lychnobium* and *M. obtusirostre*, may therefore
462 not be carotenoid based, unless bond in such a way that does not allow extraction with
463 standard methods. The spectra obtained for the pigment in the remaining three species,
464 *G. tenuiculus*, *S. evermanni* and *S. rufinus*, are however different, with two peaks that are
465 broadly similar to spectra obtained from carotenoids [Goldsmith et al., 1984]. So
466 although it would seem unlikely that the pigmentation has a different basis between
467 species, this cannot be ruled out. Further analyses will have to be conducted to confirm
468 the presence/absence of these compounds in all species and investigate other possibilities.

469 Melanins are often implicated in animal coloration and provide the black
470 pigmentation of the retinal pigment epithelium. They exist however in two distinct forms,
471 brown to black eumelanin and yellow to red pheomelanin. Melanin is also known to be
472 difficult to extract from tissues due to its heterogeneous nature, and its association with
473 proteins [Taft, 1949; Liu et al., 2003]. Therefore, the difficulty in extracting the
474 lanternfish yellow pigment could be consistent with the presence of a melanin and its
475 colour would indicate that it is composed of pheomelanin. Although pheomelanin has yet
476 to be directly observed in fishes [Ito and Wakamatsu, 2003], the presence of agouti
477 signalling proteins (ASIP), which control the production of pheomelanin, in several
478 fishes i.e goldfish [Cerdeira-Reverte et al., 2005], zebrafish and several species of
479 pufferfishes [Klovins and Schiöth, 2005] indicates that it may be present; it could
480 therefore be one of the components of the yellow pigmentation in lanternfishes.

481 Another unique feature of the yellow pigmentation in lanternfishes is its sexual
482 dimorphism, observed so far in two species of the genus *Myctophum*. Although sexual
483 dimorphism in the visual system has already been observed in insects (houseflies

484 [Franceschini et al., 1981; Zeil, 1983], march flies [Hornstein et al., 2000], moths
485 [Meyer-Rochow and Lau, 2008], butterflies [Arikawa et al., 2005; Sison-Mangus et al.,
486 2006]), crustaceans (copepods [Land, 1984, 1988] and primates [Hunt, 2005], to our
487 knowledge, this is the first observation of sexual dimorphism in the visual system of any
488 non-primate vertebrate. Within these examples, sexual dimorphism in the visual system
489 has been observed at several levels, eye morphology (flies [Franceschini et al., 1981;
490 Zeil, 1983]), retinal organisation (flies [Zeil, 1983; Hornstein et al., 2000]), and spectral
491 sensitivity (butterflies [Arikawa et al., 2005; Sison-Mangus et al., 2006], primates [Hunt
492 et al., 2005]). Lanternfishes show a new type of sexual dimorphism in the visual system,
493 functionally similar to the visual system of the small white butterfly, *Pieris rapae*
494 *crucivora* [Arikawa et al., 2005], where a filter is present in the ommatidia, which tunes
495 visual sensitivity. However, in contrast to the small white butterfly, both male and female
496 lanternfishes possess the filter but in different areas of the retina.

497

498 ***Visual pigments and spectral tuning in myctophids***

499 The presence of different spectral classes of the rod photoreceptors in two
500 lanternfish species with yellow retinal pigmentation indicate the presence of more than
501 one visual pigment. While most lanternfish species possess a single photoreceptor class
502 [Turner et al., 2009], two photoreceptor classes have been observed in four other species,
503 *Ceratoscopelus warmingii* [Douglas et al., 2003], *H. proximum*, *M. aurolaternatum*
504 [Turner et al., 2009] and *M. nitidulum* [Hasegawa et al., 2008], with the last three species
505 all possessing yellow pigmentation (this study). Based on the conclusions of this study
506 and known relationships between the λ_{\max} values of A_1 and A_2 visual pigment pairs

507 [Parry and Bowmaker, 2000], the presence of two different opsins can be predicted for *H.*
508 *proximum*, *M. nitidulum*, [Hasegawa et al., 2008; Turner et al., 2009], *M. obtusirostre* and
509 *S. evermanni*. This was confirmed at the molecular level for *S. evermanni* (this study).

510 A pure rod retina with a single *Rhl* pigment is typical among deep-sea fishes
511 [Hunt et al., 2001]. However, analysis of the rod opsin coding sequences expressed in *S.*
512 *evermanni* revealed the presence of two *Rhl* opsin genes (*Rhl-A* and *Rhl-B*). This is only
513 the second case of a rod opsin duplication recorded for a deep-sea fish, the first being the
514 pearleye *Scopelarchus analis* [Pointer et al., 2007]. However, in contrast to *S. analis* for
515 which the duplication of *Rhl* results in two spectrally similar visual pigments (486 nm
516 and 479 nm, [Pointer et al., 2007]), the duplication in *S. evermanni* gives rise to two
517 spectrally distinct visual pigments, with peaks at 476 nm and 503 nm. Moreover, while
518 the two *Rhl* genes are phylogenetically different in *S. analis*, indicating an early
519 duplication in evolutionary history [Pointer et al., 2007], the *Rhl-A* and *Rhl-B* genes in *S.*
520 *evermanni* are phylogenetically very similar, indicating the origin of the duplication
521 within a clade defined by three genera of myctophids. The fact that this duplication
522 appeared at a specific stage in the evolutionary history of the family, and is only present
523 in the Myctophinae subfamily, could explain why it has not been reported previously.

524 *Rhl* gene duplications have only been reported in teleost fishes and even then, are
525 quite rare. In addition to the two deep-sea species mentioned above, it has been observed
526 in the cyprinid zebrafish *D. rerio* [Morrow et al., 2011] and a few eel species [Hunt et al.,
527 2013]. The expression of the second *Rhl* gene in the eel is associated with a change in the
528 spectral conditions of their habitat [Archer et al., 1995]. Myctophids show marked
529 differences in habitat depending on their developmental stage, with larvae inhabiting the

530 well-lit surface layers of the ocean [Sabates et al., 2003] and juvenile and adults
531 occupying the deeper mesopelagic zone. Moreover, larvae and juvenile/adults also
532 possess different types of photoreceptors, the larvae having both rods and cones
533 [Bozzano et al., 2007], while juveniles/adults possess only rods. There is therefore a
534 change in visual pigment expression between larval and juvenile/adult stage myctophids.
535 However, microspectrophotometric results predict that both *Rh1* genes are expressed in
536 the retina of the adult lanternfish, although, it is unknown at this stage if both genes are
537 also expressed in the larvae. While most deep-sea organisms produce bioluminescent
538 emission in the blue-green region of the visible spectrum, several organisms do produce
539 longer wavelength signals [Widder, 2010], the most extreme case being the red-
540 bioluminescence produced by some stomiid dragonfishes [Widder et al., 1984]. Some of
541 these far-red illuminating fishes are known to prey on myctophids [Sutton and Hopkins,
542 1996] and the long wave-shifted visual pigment in some of these lanternfishes (i.e. *M.*
543 *nitidulum* and *M. obtusirostre*) could potentially have evolved as a mechanism to detect
544 these predators [Hasegawa et al., 2008; Turner et al., 2009].

545

546 ***Putative functions of the yellow pigment in myctophids***

547 Intraocular filters are thought to have many functions in vertebrates. In well lit
548 environments, they may improve visual acuity by reducing chromatic aberration and
549 scatter (yellow lens and cornea, [Walls, 1931; Walls and Judd, 1933; Muntz, 1973;
550 Barbour et al., 2002]), enhance the discrimination of colours by narrowing the spectral
551 sensitivity of the visual pigment (i.e. oil droplets, [Hart, 2001]), filter out the potentially
552 damaging short-wavelengths present in bright environments [Collin et al., 2003], or allow

553 the detection of fluorescent light emitted by certain organisms [Sparks et al., 2014]. In the
554 deep-sea, only two types of intraocular filters have been observed previously in teleosts:
555 yellow lenses [Douglas and Thorpe, 1992] and the yellow pigmentation of the retina
556 found in myctophids. In the mesopelagic zone, yellow lenses may increase hue
557 discrimination in order to better visualise bioluminescent flashes or to break down the
558 counterilluminating camouflage of other species [Muntz, 1976; Somiya, 1976; 1982,
559 Douglas and Thorpe, 1992]. Indeed, during the day in the mesopelagic zone, the
560 simultaneous presence of downwelling sunlight and bioluminescent flashes might render
561 the detection of both signals difficult. More particularly, the presence of downwelling
562 sunlight might reduce the contrast, and thereby the visibility, of bioluminescent
563 emissions, which could be detrimental in terms of survival. However, since most
564 bioluminescent emissions have a broader spectrum than downwelling light [Herring,
565 1983], yellow lenses might prevent this problem by cutting off most of the background
566 illumination, thereby accentuating the signal. Lanternfish species with a yellow retinal
567 pigmentation are found at very different depths during the day [de Busserolles et al.,
568 2013]. However, at night they all migrate towards the surface to depths of <4 m [de
569 Busserolles et al., 2013], where downwelling light produced by the moon and stars
570 [Johnsen et al., 2004] may reduce the visibility of bioluminescent emissions. Therefore,
571 in a similar way to yellow lenses, the presence of retinal yellow pigmentation could
572 enhance the contrast of bioluminescent emissions against the night downwelling light in
573 specific regions of the visual field.

574 Another potential function or outcome of yellow filtering in the mesopelagic
575 realm is to increase the contrast of fluorescent objects such as siphonophores and other

576 gelatinous zooplankton, which constitute regular prey [Kinzer and Schulz, 1985; Hopkins
577 and Gartner, 1992; Beamish et al., 1999] and potential predators [Haddock et al., 2005;
578 Pagès and Madin, 2010] of myctophids. Three areas of evidence support this idea. Firstly,
579 several studies have shown that a number of mesopelagic animals both bioluminesce and
580 fluoresce [Haddock et al., 2005; Haddock et al., 2010; Widder, 2010]. Secondly, the light
581 distribution in the mesopelagic zone, peaking around 475nm (downwelling light and
582 bioluminescence, [Widder, 2010]), is well matched to the excitation wavelengths needed
583 to trigger a variety of fluorescent marine compounds [Mazel et al., 2004; Haddock et al.,
584 2005; Alieva et al., 2008; Vogt et al., 2008] and this means that, at the right depth and
585 resulting light level, fluorescence may be a significant component of the signals from
586 such animals. Thirdly, as the new wave of photographers interested in capturing
587 fluorescence are aware [Mazel, 2005; www.nightsea.com], a yellow blocking filter
588 enhances the contrast at longer wavelengths for yellow, orange or red emissions of
589 fluorescence [Sparks et al., 2014]. This is because yellow removes the wash of blue
590 excitation and leaves only the glowing fluorescent emission component, a highly
591 contrasting signal, especially in dim illumination. As a result, in addition to possibly
592 enhancing bioluminescent contrast, the yellow filters in the eyes of myctophids may also
593 enable them to see fluorescent gelatinous prey and/or predators and any other fluorescent
594 signals at depth.

595 Different species of lanternfishes possess different yellow pigmented areas in the
596 retina that may serve to enhance sensitivity to bioluminescence and/or fluorescence in
597 different parts of the visual field. This implies interspecific differences in behaviour.
598 Myctophids show a variety of interspecific differences in behaviour with respect to their

599 depth distribution [Karnella, 1987], migration pattern [Watanabe et al., 1999] and diet
600 [Kozlov, 1995; Shreeve et al., 2009; Van Noord et al., 2013]. Since all the species which
601 possess a retinal yellow pigmentation vertically migrate to the surface at night,
602 interspecific differences in the location of the yellow pigmentation could potentially
603 reflect differences in diet, a consequence of different nutritional requirements, niche
604 partitioning and/or body size differences between males and females [Shine, 1989].
605 Unfortunately, data on diet are quite limited for lanternfishes and highly variable between
606 seasons and geographic regions [Kozlov, 1995], making any diet/vision comparison
607 impossible at this stage. Moreover, no studies have been conducted on possible diet
608 differences between sexes, which would potentially explain the observed sexual
609 dimorphism in the retinal yellow pigmentation. Sexual dimorphism in food choices has
610 been reported in a number of organisms, as a consequence of different nutritional
611 requirements, food competition, habitat and body size between males and females [Shine,
612 1989]. Sexual dimorphism in size has been observed in several lanternfish species
613 [Gartner, 1993; Braga et al., 2008; Flynn and Paxton, 2012] and some differences in
614 distribution between sexes have been suggested [Flynn and Paxton, 2012], supporting the
615 possible role of the retinal yellow pigmentation in food choice.

616 The sexual dimorphism in the retinal yellow pigment implies a possible role in
617 intraspecific/sexual communication. All the species possessing the yellow pigment are
618 also sexually dimorphic in the location and size of their caudal luminous organs, with all
619 males possessing a large supracaudal organ and all females possessing a smaller
620 infracaudal organ [Herring, 2007]. If the retinal yellow pigmentation is involved in sexual
621 communication, interspecific differences in the shape, size and location of the retinal

622 pigment patches could be associated with differences in luminous organ location, size
623 and shape between species, taking into consideration the position of the fish in the water
624 column. Unfortunately, this information is not available for the species analysed and it is
625 unknown how males and females position themselves with respect to one another in the
626 water column. Further analyses of the retinal yellow pigmentation in both sexes, at
627 several different life stages and from all the species possessing this yellow pigment will
628 shed more light on the function and development of this new visual specialisation.

629 Overall, our findings indicate an evolutionary pressure to visualise
630 prey/predators/mates in a specific part of each species' visual field. Our results also add a
631 new dimension to the contribution made by recent studies [Davis et al., 2014; Kenaley et
632 al., 2014] on the evolutionary history in the deep-sea by identifying a visual “arms race”,
633 in term of visual adaptation, between lanternfishes and dragonfishes. In fact, while
634 dragonfishes have evolved a secret communication/predation channel by using red
635 bioluminescence, lanternfishes, by virtue of their visual sexual dimorphism, are taking
636 the race a step further by inviting “sex in the blue-light district of the deep-sea”. It
637 appears we are yet to unlock all of the secrets of bioluminescent communication in the
638 deep-sea.

639

640 **Acknowledgments**

641 We wish to thank Dr. Mike Hall (AIMS) and the Masters and crews of the RV *Cape*
642 *Ferguson* for sea time and sampling opportunities. We gratefully acknowledge John
643 Denton and the American Museum of Natural History, New York, USA for providing the
644 additional *M. brachygnathum* specimen and John Paxton (Australian Museum) for

645 providing most of the fish identification. We thank Prof. Julian Partridge (University of
646 Bristol) for helpful discussions regarding the yellow pigment absorption spectra and
647 Eduardo Garza-Gisholt for his help in using his R script for the creation of the yellow
648 pigment maps. This work was supported by grants to SPC, NSH, DMH and NJM
649 (DP110103294 and LP0775179) from the Australian Research Council and the West
650 Australian State Government. WID is supported by the Australian Research Council
651 (FT110100176 and DP140102117). FdB was supported by a Scholarship for International
652 Research Fees and a University International Stipend at the University of Western
653 Australia.

654

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935

936 **Figure legends**

937 **Figure 1.** Diversity in yellow pigmentation distribution across the retina of six species of
 938 lanternfishes. (a-f) Retinal wholemount visual observations. (g-l) Map of corrected
 939 absorbance at the wavelength of peak absorbance of the yellow pigmentation, λ_{YPmax} . (a,
 940 g) *Gonichthys tenuiculus*, (b, h) *Hygophum proximum*, (c, i) *Symbolophorus rufinus*, (d, j)
 941 *S. evermanni*, (e, k) *Myctophum lychnobium*, (f, l) *M. obtusirostre*. The white arrows
 942 indicate the orientation of the retina wholemount (T = temporal, V = ventral). Scale bars:
 943 1 mm.

944

945 **Figure 2.** Location and distribution of the yellow pigmentation in the retina of
946 *Gonichthys tenuiculus*. PRL = Photoreceptor layer, ONL = outer nuclear layer, INL =
947 inner nuclear layer, GCL = ganglion cell layer. Scale bar: 50 μm .

948

949 **Figure 3.** Retinal wholemounts showing the sexual dimorphism in the yellow pigment in
950 two species of lanternfish. (a) *Myctophum brachygnathum* and (b) *M. nitidulum*. The
951 yellow patch in the female *M. brachygnathum* is highlighted by a dotted line. The white
952 arrows indicate the orientation of the retina wholemount (T = temporal, V = ventral).
953 Scale bars: 1 mm.

954

955 **Figure 4.** Normalised corrected absorbance spectra of the yellow pigment in six
956 lanternfish species. (a) *Gonichthys tenuiculus*, $\lambda_{\text{YPmax}} = 443 \text{ nm}$, (b) *Hygophum proximun*,
957 $\lambda_{\text{YPmax}} = 381 \text{ nm}$, (c) *Symbolophorus rufinus*, $\lambda_{\text{YPmax}} = 438 \text{ nm}$, (d) *S. evermanni*, $\lambda_{\text{YPmax}} =$
958 439 nm , (e) *Myctophum lychnobium*, $\lambda_{\text{YPmax}} = 383 \text{ nm}$, (f) *M. obtusirostre*, $\lambda_{\text{YPmax}} = 356$
959 nm .

960

961 **Figure 5.** Mean bleaching difference absorbance spectra of the rod visual pigments in
962 three species of lanternfishes (a) *Symbolophorus evermanni*, (b) *Myctophum obtusirostre*,
963 (c) *M. spinosum*. The wavelength of maximum absorbance (λ_{max}) is also provided for
964 each species. Spectra (black lines) are fitted with visual pigment templates (grey lines,
965 [Govardovskii et al. 2000]) of appropriate λ_{max} value. For *M. obtusirostre* (b), the

966 absorbance spectra were measured in non-yellow and yellow pigmented areas of retinal
967 tissue.

968

969 **Figure 6.** Origin of the *Rh1* rod opsin gene duplication in *Symbolophorus evermanni*
970 within the Myctophidae. Phylogenetic trees were constructed by the (a) Neighbour-
971 Joining (Saitou and Nei 1987) and (b) Maximum Likelihood methods using *Rh1* opsin
972 gene nucleotide sequences of *Diaphus metopoclampus* (JN544536), *Diaphus rafinesquii*
973 (JN412587), *Diaphus watasei* (JN231003), *Stenobranchius leucopsarus* (EU407251),
974 *Lampanyctus alatus* (JN412575), *Ceratoscopelus warmingii* (JN412573), *Bolinichthys*
975 *indicus* (JN412574), *Benthoosema suborbitale* (JN412576), *Benthoosema pterotum*
976 (JN231002), *Electrona antarctica* (AY141258), *Scopelarchus analis* (EF517404), *Danio*
977 *rerio* (HM367063), *Gymnocypris eckloni* (EU606010), and *Callorhinchus milii*
978 (EF565167). The *Rh2* opsin gene nucleotide sequence of *Danio rerio* (NM131253) was
979 added as a supplementary outgroup. The bootstrap confidence values are shown for each
980 branch. The scale bar is calibrated at 0.05 substitutions per site.

981

982 **Figure 7.** Modelling of the quantal spectral sensitivity of the two visual pigments
983 measured in *Myctophum obtusirostre*. (a) without the presence of the yellow
984 pigmentation and (b) with the yellow pigment associated with the long wave shifted
985 visual pigment (527 nm). Black line: 473 nm, grey line: 527 nm.

986

987 **Figure 8.** Modelling of the effect the yellow pigmentation on the quantal spectral
988 sensitivity of rod photoreceptors in *Symbolophorus evermanni*. (a) Quantal spectral

989 sensitivity of the three visual pigments (476 nm: black, 503 nm: dark grey, 512 nm: light
 990 grey) without the presence of the yellow pigmentation. (b-d) Different scenarios where
 991 the yellow pigment is associated with the two long wave-shifted visual pigments (b), the
 992 503 nm visual pigment only (c) and the 512 nm visual pigment only (d).

993

994 **Tables**

995 **Table 1.** Summary of the individuals analysed in this study that presented a yellow patch
 996 of retinal tissue. For each individual, the sex (F = female, M = male, J = juvenile, ? =
 997 unknown), standard length (SL, in mm), eye diameter (eye ϕ , in mm), location of
 998 sampling and number of retinal yellow pigmented patch and their location (C = central, D
 999 = dorsal, T = temporal, N = nasal, V = ventral) is given.

1000

Species	Sex	SL	Eye ϕ	Sampling location	Number of patch	Patch location
<i>Myctophum brachygnathum</i>	F	65.7	6.8	Coral Sea	1	C
	F	67.7	7.2	Coral Sea	1	C
	F	69.7	7.5	Coral Sea	1	C
	M	66.6	7.3	Coral Sea	1	T/V
	M	67.7	7.5	Coral Sea	1	T/V
	M	58.1	7.3	Hawaii	1	T/V
<i>M. nitidulum</i>	F	85.4	7.1	Peru-Chile Trench	1	T/C
	F	80.0	6.9	Peru-Chile Trench	1	T/C
	M	77.9	6.9	Peru-Chile Trench	1	N
<i>M. obtusirostre</i>	M	92.4	10.6	Coral Sea	1	V
	M	90.9	10.6	Coral Sea	1	V
<i>M. lychnobium</i>	F	106.1	11.1	Coral Sea	2	D + C/V
	F	83.3	9.4	Coral Sea	2	D + C/V
<i>M. spinosum</i>	F	87.5	8.7	Coral Sea	2	D + C/V
<i>M. aurolaterdatum</i>	J	57.8	5.3	Coral Sea	1	V
<i>Symbolophorus rufinus</i>	J	73.7	6.6	Coral Sea	1	D
	J	62.5	6.1	Coral Sea	1	D
	J	31.6	2.8	Coral Sea	1	D
<i>S. evermanni</i>	J	65.8	6.7	Coral Sea	1	D
	J	53.8	4.8	Coral Sea	1	D
	J	48.1	4.5	Coral Sea	1	D
<i>Gonichthys tenuiculus</i>	M	49.4	3.5	Peru-Chile Trench	2	D + V
	?	43.1	2.9	Peru-Chile Trench	2	D + V
	?	40.6	2.7	Peru-Chile Trench	2	D + V
<i>Hygophum proximum</i>	J	43.3	5.2	Coral Sea	1	D

1001

1002

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1004

1005 **Table 2.** Spectral absorption characteristics of rod visual pigments in the retina of three
 1006 myctophids species measured using microspectrophotometry (MSP). ¹ Maximum
 1007 corrected absorbance measured at the λ_{\max} of the bleaching difference spectrum. ²
 1008 Variable-point unweighted running average maximum of the data, which is a measure of
 1009 the wavelength of peak absorbance of the pigment that is independent of any assumptions
 1010 as to the type of chromophore (A1 or A2) present. Numbers in brackets represent the
 1011 standard deviation (SD). λ_{\max} values in nm.

1012

	<i>M. spinosum</i>		<i>M. obtusirostre</i>		<i>S. evermanni</i>		
	1		1	2	1	2	3
Number of scans analysed	7		4	9	4	10	6
Chromophore	A1		A1	A2	A1	A1	A1+A2
Mean λ_{\max} (\pm 1 std. dev.)	492.1 (\pm 4.3)		473.4 (\pm 1.7)	527.3 (\pm 1.1)	475.9 (\pm 1.2)	503.4 (\pm 1.4)	512.7 (\pm 7.4)
λ_{\max} of the mean spectrum	492.4		473.2	526.5	476.0	503.5	511.9
Maximum corrected absorbance ¹	0.020		0.058	0.055	0.023	0.126	0.062
Running average ² λ_{\max}	494		477	528	477	505	510

1013

1014

1015

1016 Supplementary Material Legend

1017 Detailed Materials and Methods for the spectrophotometry analyses.

1018 **Table S1.** List of primer sets used in this study along with their annealing temperature
 1019 (Ann. temp.) and the expected size of PR product. bp = base pair.

1020 **Figure S1.** Normalised corrected absorbance spectra of the yellow pigment along with its
 1021 maximum absorbance $\lambda_{YP\max}$ and the full-width at half-maximum bandwidth (FWHM) in
 1022 the lanternfish *Symbolophorus rufinus*. Measurements made from a paraformaldehyde-

1023 fixed retina (black line) and an unfixed frozen retina (grey line) show that chemical
1024 fixation had only a small effect on the shape of the absorbance spectrum.

1025 **Figure S2.** Phylogenetic trees of the two rod opsins found in the lanternfish
1026 *Symbolophorus evermanni*. The trees were constructed by (a) Neighbour-Joining (Saitou
1027 and Nei 1987) and (b) Maximum Likelihood methods using opsin gene nucleotide
1028 sequences of the zebrafish, *Danio rerio*: *Rh1-A* (HM367063), *Rh1-B* (HM367062), *Rh2-1*
1029 (NM131253), *Rh2-2* (NM182891), *Rh2-3* (NM182892), *Rh2-4* (NM131254), *SWS1*
1030 (NM131319), *SWS2* (NM131192), *LWS1* (NM131175), *LWS2* (NM001002443). The
1031 bootstrap confidence values are shown for each branch. The scale bar is calibrated at 0.1
1032 substitutions per site.

1033 **Figure S3.** Amino acids sequences of the two rod opsins identified in *Symbolophorus*
1034 *evermanni*. The two sequences (*Rh1A* and *Rh1B*) were aligned with *Stenobranchium*
1035 *leucopsarus* rod opsin sequence (EU407251). Identical residues are indicated by a dot,
1036 missing data by a dash. The black arrows indicate the tuning sites identified in *S.*
1037 *evermanni*.

1038

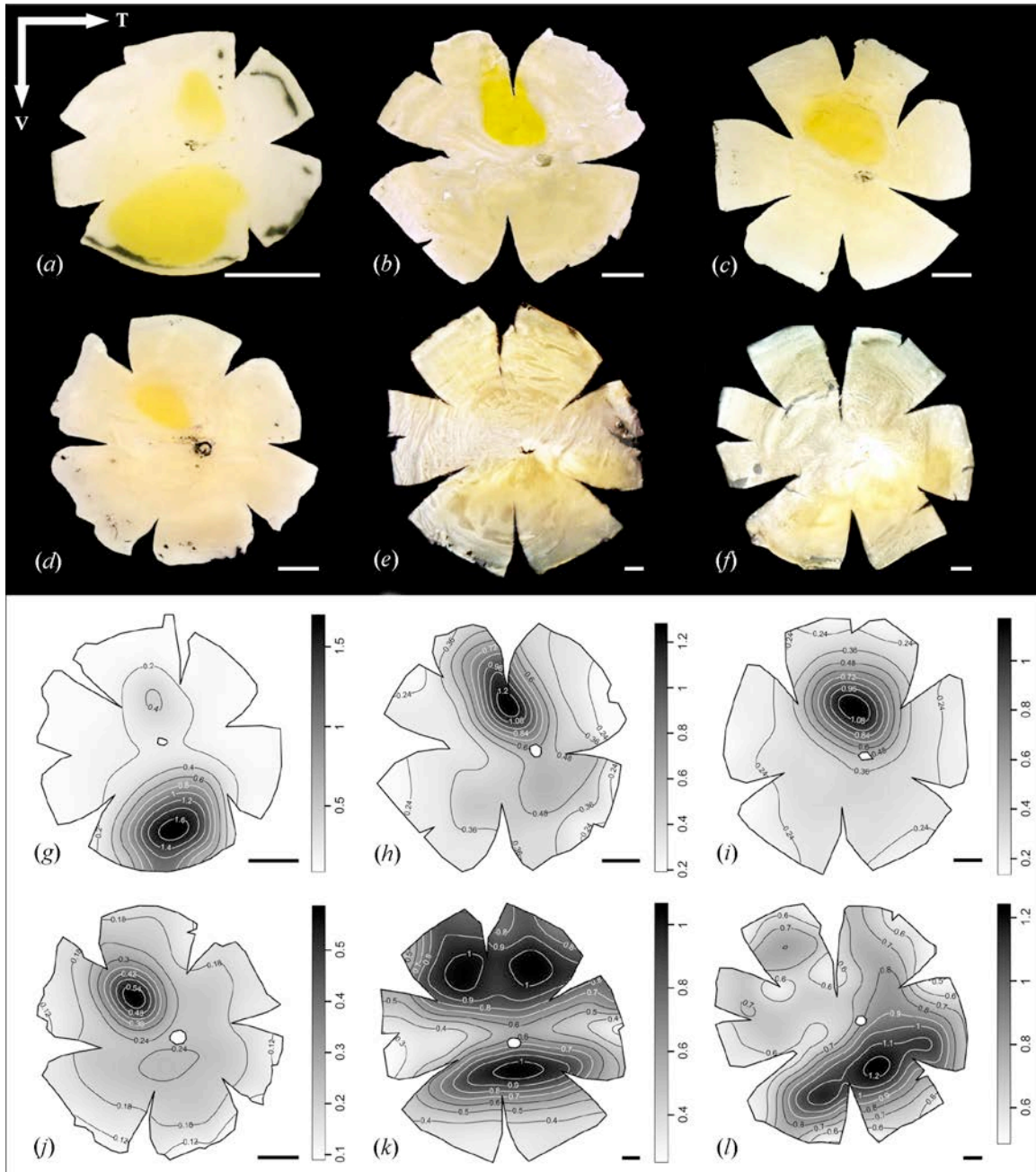


Figure 1. Diversity in yellow pigmentation distribution across the retina of six species of lanternfishes. (a-f) Retinal wholemount visual observations. (g-l) Map of corrected absorbance at the wavelength of peak absorbance of the yellow pigmentation, $\lambda_{Y P_{max}}$. (a, g) *Gonichthys tenuiculus*, (b, h) *Hygophum proximum*, (c, i) *Symbolophorus rufinus*, (d, j) *S. evermanni*, (e, k) *Myctophum lychnobium*, (f, l) *M. obtusirostre*. The white arrows indicate the orientation of the retina wholemount (T = temporal, V = ventral). Scale bars: 1 mm.

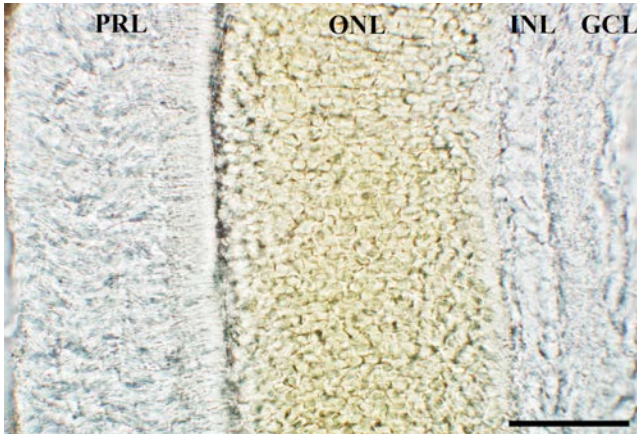


Figure 2. Location and distribution of the yellow pigmentation in the retina of *Gonichthys tenuiculus*. PRL = Photoreceptor layer, ONL = outer nuclear layer, INL = inner nuclear layer, GCL = ganglion cell layer. Scale bar: 50 μ m.

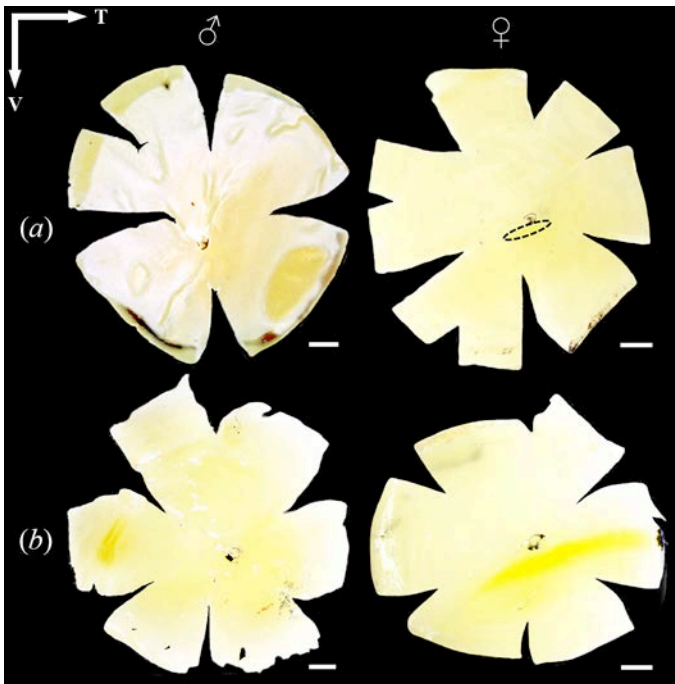


Figure 3. Retinal wholemounts showing the sexual dimorphism in the yellow pigment in two species of lanternfish. (a) *Myctophum brachygnathum* and (b) *M. nitidulum*. The yellow patch in the female *M. brachygnathum* is highlighted by a dotted line. The white arrows indicate the orientation of the retina wholemount (T = temporal, V = ventral). Scale bars: 1 mm.

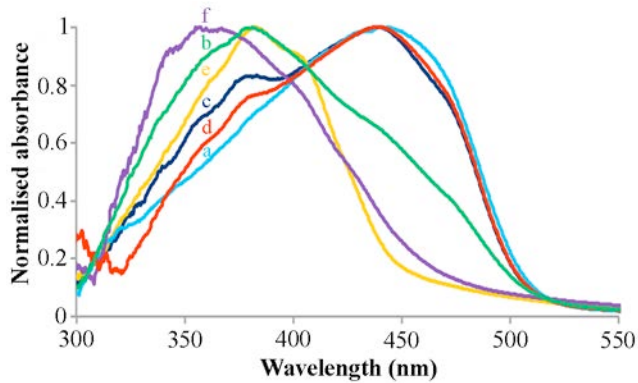


Figure 4. Normalised corrected absorbance spectra of the yellow pigment in six lanternfish species. (a) *Gonichthys tenuiculus*, $\lambda_{YPmax} = 443$ nm, (b) *Hygophum proximum*, $\lambda_{YPmax} = 381$ nm, (c) *Symbolophorus rufinus*, $\lambda_{YPmax} = 438$ nm, (d) *S. evermanni*, $\lambda_{YPmax} = 439$ nm, (e) *Myctophum lychnobium*, $\lambda_{YPmax} = 383$ nm, (f) *M. obtusirostre*, $\lambda_{YPmax} = 356$ nm.

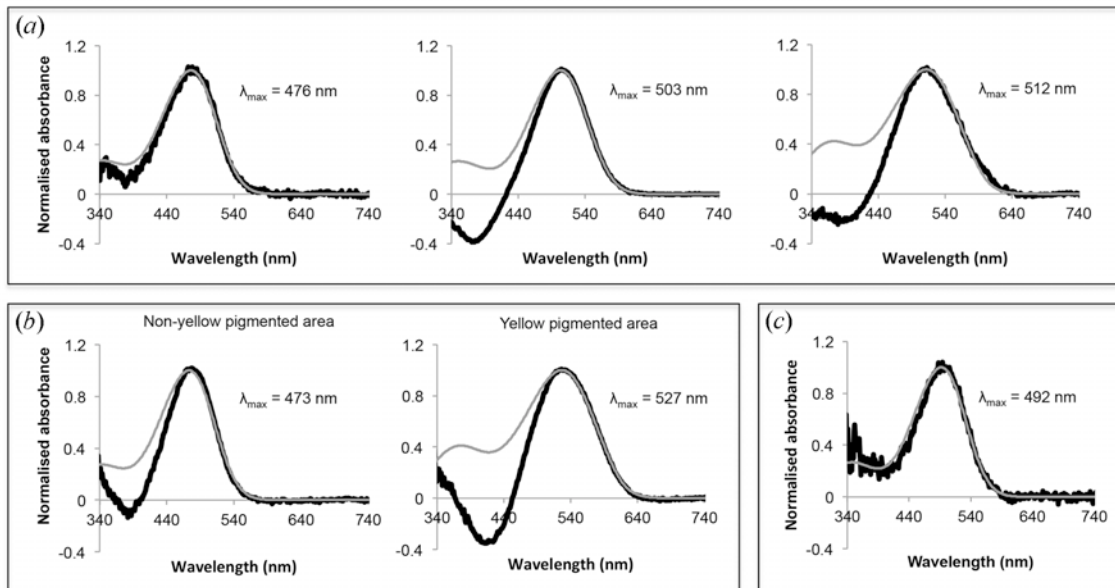


Figure 5. Mean bleaching difference absorbance spectra of the rod visual pigments in three species of lanternfishes (a) *Symbolophorus evermanni*, (b) *Myctophum obtusirostre*, (c) *M. spinosum*. The wavelength of maximum absorbance (λ_{max}) is also provided for each species. Spectra (black lines) are fitted with visual pigment templates (grey lines, [Govardovskii et al. 2000]) of appropriate λ_{max} value. For *M. obtusirostre* (b), the absorbance spectra were measured in non-yellow and yellow pigmented areas of retinal tissue.

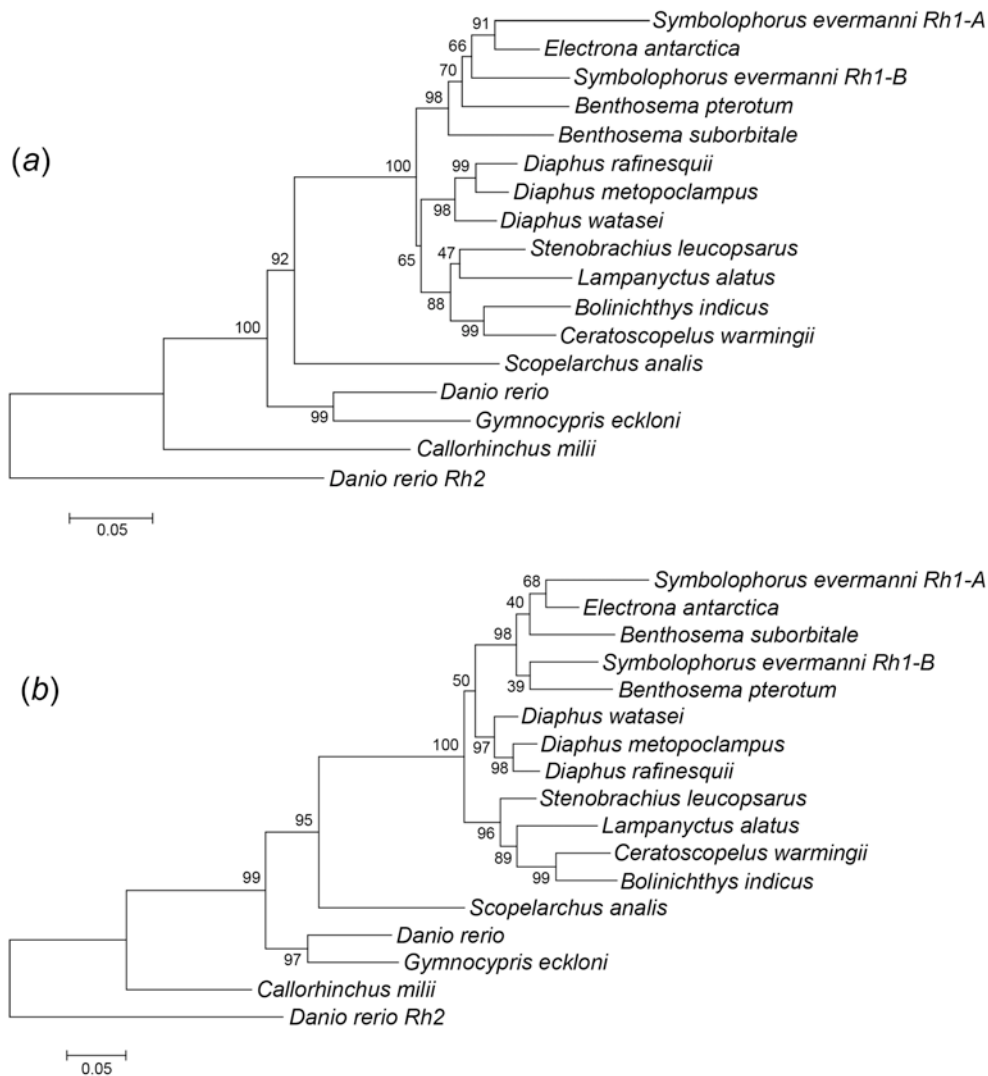


Figure 6. Origin of the *Rh1* rod opsin gene duplication in *Symbolophorus evermanni* within the Myctophidae. Phylogenetic trees were constructed by the (a) Neighbour-Joining (Saitou and Nei 1987) and (b) Maximum Likelihood methods using *Rh1* opsin gene nucleotide sequences of *Diaphus metopoclampus* (JN544536), *Diaphus rafinesquii* (JN412587), *Diaphus watasei* (JN231003), *Stenobranchius leucopsarus* (EU407251), *Lampanyctus alatus* (JN412575), *Ceratoscopelus warmingii* (JN412573), *Bolinichthys indicus* (JN412574), *Benthoosema suborbitale* (JN412576), *Benthoosema pterotum* (JN231002), *Electrona antarctica* (AY141258), *Scopelarchus analis* (EF517404), *Danio rerio* (HM367063), *Gymnocypris eckloni* (EU606010), and *Callorhinchus milii* (EF565167). The *Rh2* opsin gene nucleotide sequence of *Danio rerio* (NM131253) was added as a supplementary outgroup. The bootstrap confidence values are shown for each branch. The scale bar is calibrated at 0.05 substitutions per site.

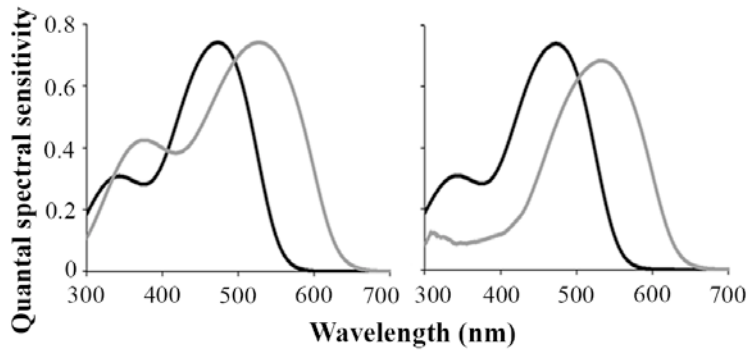


Figure 7. Modelling of the quantal spectral sensitivity of the two visual pigments measured in *Myctophum obtusirostre*. (a) without the presence of the yellow pigmentation and (b) with the yellow pigment associated with the long wave shifted visual pigment (527 nm). Black line: 473 nm, grey line: 527 nm.

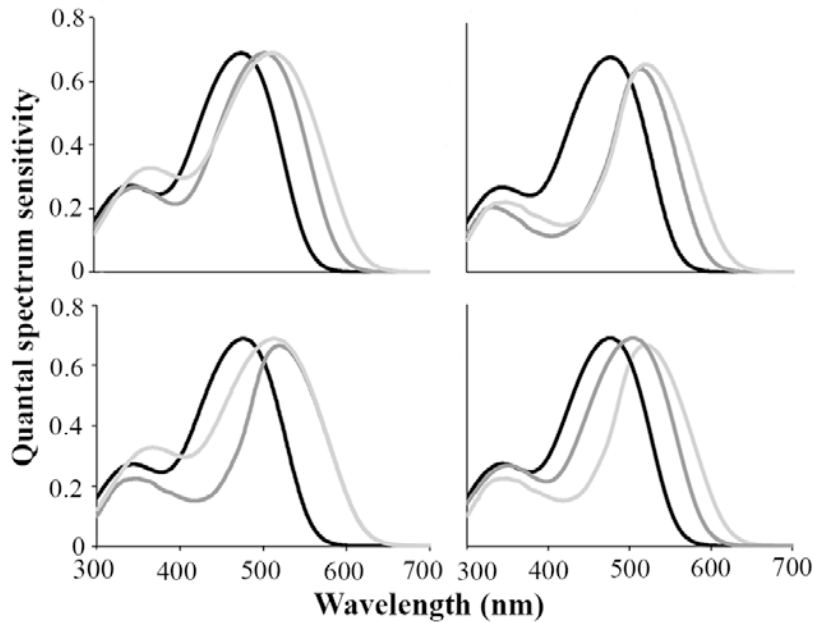


Figure 8. Modelling of the effect the yellow pigmentation on the quantal spectral sensitivity of rod photoreceptors in *Symbolophorus evermanni*. (a) Quantal spectral sensitivity of the three visual pigments (476 nm: black, 503 nm: dark grey, 512 nm: light grey) without the presence of the yellow pigmentation. (b-d) Different scenarios where the yellow pigment is associated with the two long wave-shifted visual pigments (b), the 503 nm visual pigment only (c) and the 512 nm visual pigment only (d).