

1 Intrauterine growth restriction affects diaphragm function in adult female and male mice

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28 muscle fatigue.

29 **Running head: Effect of IUGR on diaphragm function**

30 **ABSTRACT**

31 **Background:** *In utero* diaphragm development is critically important for postnatal respiratory
32 function and disturbance to fetal development may lead to diaphragm dysfunction and
33 respiratory complications in the postnatal period. Intrauterine growth restriction (IUGR) has
34 been shown to affect respiratory function in a sex-dependent manner; however, the effect of
35 IUGR on diaphragm function is unknown.

36 **Aim:** This study used a maternal hypoxia-induced mouse model of IUGR to investigate the
37 impact of IUGR on diaphragm function and structure in male and female adult offspring.

38 **Methods:** Pregnant BALB/c mice were housed under hypoxic conditions (10.5 % O₂) from
39 gestational days 11–17.5 and then returned to normoxic conditions. Control mice were housed
40 under normoxic conditions throughout pregnancy. At 8 weeks of age, offspring were
41 euthanized and diaphragms isolated for functional assessment in organ bath experiments and
42 for histological analysis.

43 **Results:** IUGR offspring were lighter at birth and remained lighter at 8 weeks of age compared
44 to Controls. While diaphragm force (maximal or twitch) was not affected by treatment or sex,
45 the IUGR group exhibited a longer half relaxation time after twitch contractions compared to
46 Control. Female offspring had a lower maximum rate of force development and higher fatigue
47 resistance compared to males, independent of IUGR. There was no difference in the diaphragm
48 myofibre cross-sectional area between groups or sexes.

49 **Conclusion:** Sex and IUGR independently affect diaphragm contraction in adult mice without
50 changes in structure. This study demonstrates that IUGR affects diaphragm contractile function
51 in later life and could impair respiratory function if exacerbated under conditions of increased
52 respiratory load.

53 **INTRODUCTION**

54 *In utero* development of the diaphragm is critically important for the establishment of
55 spontaneous, unsupported breathing at birth¹. Contraction of the diaphragm, together with the
56 intercostal muscles, creates a negative intrathoracic pressure which draws air into the lungs and
57 enables respiration to occur²; conversely, relaxation of respiratory muscles facilitates
58 expiration which is passive under resting conditions. The diaphragm fully develops in the
59 womb³, and fetal exposure to adverse *in utero* conditions such as inflammation⁴ and
60 undernutrition⁵ impairs diaphragm contractile function.

61 Adverse conditions *in utero* can lead to intrauterine growth restriction (IUGR), a
62 disorder that affects 6.5 % of the newborn population and is associated with future respiratory
63 disease^{6,7}. The effects of IUGR have been examined in tissues other than the diaphragm. In a
64 sheep model of IUGR produced by placental restriction that was initiated from the sacular
65 phase (late gestation) until term, the number of alveoli was reduced and there was thickening
66 of the pulmonary blood-air barrier⁸. In a rat model of hypoxia induced-IUGR administered
67 during late gestation, cardiac remodelling and impaired post-ischemic recovery is observed in
68 adult offspring⁹. We have also previously shown that IUGR affects airway structure and
69 function in adult offspring and identified a sex-dependent response to IUGR that had not been
70 previously considered in other studies¹⁰. Using a mid-gestation (GD 10.5 – 17) maternal
71 hypoxia-induced IUGR mouse model, we showed that airways of IUGR male offspring were
72 hypo-responsive during a bronchoconstrictor challenge, and in contrast, IUGR female
73 offspring were hyper-responsive compared to Controls¹⁰. Whether IUGR affects diaphragm
74 function in adult offspring is not known and is of high interest since changes in diaphragmatic
75 function may directly affect ventilation in postnatal life and indirectly affect *in utero*
76 development of other tissues and organs through altered mechanotransduction pathways
77 produced by fetal breathing movements¹².

78 The aim of this study was therefore to determine the effects of IUGR induced within
79 the period of diaphragm development³ on diaphragm function and structure in postnatal life.
80 Since IUGR has been shown to have sex-dependent effects in other respiratory tissues (i.e.,
81 airway wall)¹⁰, the effects of IUGR on diaphragm structure and function were also compared
82 between males and females to determine whether IUGR impacts the diaphragm in a sex-
83 dependent manner.

84 METHODS**85 Maternal hypoxia-induced IUGR mouse model**

86 All procedures involving animals were conducted at The University of Western
87 Australia and approved by institutional Animal Ethics Committee (Approval Number
88 RA/3/100/1570). Pregnant BALB/c mice were obtained from Animal Resources Centre
89 (Murdoch, WA, Australia) at GD 7 and housed in a hypoxic chamber from GD 11 – 17.5 with
90 oxygen levels maintained at 10.5 %¹⁰. Following hypoxic exposure, mice were returned to
91 normoxic conditions (21 % O₂) until birth (GD 21). A Control group of dams were kept at
92 normoxic conditions throughout the gestational period¹⁰. Offspring were kept with dams until
93 weaning at 3 weeks of age and then housed with offspring of the same sex and group (IUGR
94 or Control) with access to standard chow and water *ad libitum*.

95

96 Tissue sampling

97 One male and one female offspring were randomly chosen from each litter for analysis
98 (IUGR male n = 8; IUGR female n = 10; Control male n = 8; Control female n = 8). Body
99 weights of offspring were recorded at birth and again at 8 weeks of age, at which point mice
100 were euthanized by an overdose of intraperitoneal injections of ketamine (240 mg/kg) and
101 xylazine (12 mg/kg). The diaphragm was then excised and separate strips of fibres were taken
102 for functional assessments in organ bath experiments or histological examination.

103

104 Organ bath experiments

105 A longitudinal section of muscle fibres from the costal diaphragm was mounted in an
106 *in vitro* muscle test system (1205A, Aurora Scientific, Aurora, Canada) containing mammalian
107 Ringer's solution, bubbled with carbogen at 25 °C¹. The muscle was stimulated electrically
108 using two parallel electrodes situated on either side of the diaphragm¹. Optimal muscle length

109 was determined and then muscle force was stabilised using 5 maximal 80 Hz isometric
110 contractions for 500 ms at 3 min intervals. A twitch response was then elicited and contractile
111 properties of peak twitch force (g), time to peak (ms), half relaxation time (time taken for the
112 force to decrease to half of the peak twitch force, ms) and maximum rate of force development
113 (g/s) were measured. A force frequency curve was then recorded at stimulation frequencies
114 between 5 – 80 Hz⁴.

115 The susceptibility to fatigue was evaluated by stimulating the muscle with a series of
116 900 tetanic contractions of 500 ms durations at 60 Hz repeated every 2 s. The fatigue index
117 was determined by expressing the force produced during the 900th contraction as a proportion
118 of the initial contraction where a higher number indicates a greater fatigue resistance. Force
119 was normalised to cross-sectional area (CSA) calculated from optimal muscle fibre length,
120 muscle mass and density (1.056 g.cm⁻³) and presented as specific force (N.cm⁻²)¹.

121

122 **Histology**

123 A separate longitudinal strip of diaphragm fibres was dissected for histological analysis.
124 The strip was fixed in tragacanth gum and frozen in isopentane cooled by liquid nitrogen and
125 stored at -80 °C. Frozen sections (8 µm) were cut on a Leica (CM3050) cryostat (Leica
126 Biosystems, Wetzlar, Germany) and stained with haematoxylin and eosin to measure fibre
127 CSA. Myofibre CSA was determined from tiled images captured at 20 × using a Nikon Upright
128 microscope (Nikon Corporation, Minato, Tokyo, Japan). Image analysis was performed using
129 ImageJ (v1.51j8, National Institutes of Health, Bethesda, Maryland, USA) software and ImageJ
130 cell counter plugin (<https://imagej.nih.gov/ij/plugins/cell-counter.html>). The outside of each
131 fibre was manually traced and area averaged across 100 cells per sample to calculate fibre CSA
132 (NB. if 100 cells were not present then every viable cell for the sample was measured)¹³.

133

134 **Statistical analysis**

135 Student's t-test was used to compare birth weight of Control and IUGR groups. Two-
136 way ANOVAs with Holm-Sidak *post-hoc* corrections were performed with treatment (Control
137 vs IUGR) and sex (male vs female) as factors for eight-week weight, contractile measurements
138 and myofibre CSA. Data were normally distributed and are presented as mean \pm SEM.
139 Statistical analyses were performed by SigmaPlot version 13.0 (version 13, San José, CA,
140 USA) and figures prepared using GraphPad PRISM version 7 (version 7, La Jolla, CA, USA).
141 n refers to the number of offspring and $P < 0.05$ was considered statistically significant.

142 **RESULTS**143 **Growth outcomes**

144 As expected, IUGR offspring were born with significantly smaller body weights
145 compared to Controls (Control, 1.61 ± 0.05 g, IUGR, 1.43 ± 0.04 g; $P = 0.013$; Figure 1A). At
146 8 weeks of age, there were significant differences between treatments with IUGR groups
147 weighing less than their Control counterparts ($P < 0.001$; Figure 1B), and between sexes with
148 males weighing more than females ($P < 0.001$; Figure 1B).

149

150 **Diaphragm contractile properties**

151 Maximum diaphragmatic specific force was not affected by treatment ($P = 0.478$) or
152 sex ($P = 0.098$; Figure 2). There was no effect of treatment on peak twitch force or time to peak
153 force (IUGR v Control); however, female offspring had lower maximum rate of force
154 development compared to males (Table 1). The IUGR group had a significantly longer half
155 relaxation time compared to the Control group ($P = 0.015$; Figure 3) independent of sex ($P =$
156 0.368 ; Figure 3). There was no difference in the force-frequency relationship between IUGR
157 and Control groups in either males ($P = 0.995$; Figure 4A) or females ($P = 0.258$; Figure 4B).
158 While females had a higher fatigue index (i.e., higher resistance to fatigue) than males ($P =$
159 0.001 ; Figure 5), there was no effect of treatment ($P = 0.993$; Figure 5). For all parameters
160 outlined above, there were no interaction between treatment and sex.

161

162 **Histology**

163 Myofibre CSA of the diaphragm was not affected by treatment ($P = 0.859$; Figure 6) or
164 sex ($P = 0.704$). There was also no interaction between treatment and sex ($P = 0.676$).

165 **DISCUSSION**

166 IUGR infants are characterised by low body weight at birth and represent 6.5 % of the
167 Australian newborn population⁶. Individuals subject to IUGR may endure both long and short
168 term consequences. Short term consequences include increased perinatal mortality rates and
169 long term there is increased morbidity in 50 % of surviving infants^{14; 15}. This is in line with the
170 Development Origins of Health and Disease hypothesis stating that IUGR is the root cause of
171 several health disorders in adult life¹⁶. Of relevance to the present study, strong associations
172 have been found between IUGR and the development of respiratory disease, including COPD^{10;}
173 ¹⁷. Since had been show to affect other respiratory tissues, we hypothesised that IUGR would
174 also impact diaphragm structure and function. Our main finding that the diaphragm of adult
175 IUGR offspring had significantly slower relaxation rates indicates the critical impact of adverse
176 exposures during fetal development on diaphragm contractile function in later life.

177 The mid-gestation phase of fetal development is an important determinant of proper
178 diaphragm structure and function and therefore disruptions to diaphragm development during
179 this phase may contribute to respiratory insufficiency in chronic respiratory diseases^{3; 18}. Our
180 previous rodent studies have shown that IUGR alters airway structure and function when IUGR
181 was limited to the periods of peak airway development (GD 10.5 – 17)^{10; 11}; a mid-gestation
182 period which also corresponds to the development of other respiratory system components
183 including the diaphragm. We have also demonstrated in an ovine model of chorioamnionitis
184 that *in utero* inflammation impairs contractile function of the fetal diaphragm⁴ and the severity
185 of this contractile dysfunction is greater when the inflammatory stimulus is administered during
186 early compared to late gestation¹³. Offspring from a maternal steroid exposure rat model during
187 late gestation (GD 15 – 17) exhibited decreases in diaphragm force production and fatigue
188 resistance and altered anabolic signalling that were not evident in the first week after birth, but
189 manifested at a postnatal age of 3 weeks¹⁹. Data from a chronic maternal undernutrition rat

190 model showed a complex time course of diaphragm dysfunction; maximum force production
191 in undernourished offspring was lower than controls at 7 d and 14 d after birth, not different to
192 Controls at 21 d after birth, but significantly lower again in adulthood (12 weeks of age)⁵. These
193 studies therefore demonstrate essential prenatal windows of susceptibility for abnormal
194 diaphragm function which may manifest at various time points after birth.

195 As expected, offspring of hypoxia exposed dams weighed less at birth, and remained
196 smaller in adulthood than their Control counterparts. This growth profile is in line with our
197 previous maternal hypoxia-induced IUGR mouse cohort showing that IUGR offspring are not
198 only born smaller than Controls but remain smaller into adulthood¹⁰. At 8 weeks of age
199 (adulthood), a sex effect was also present with male offspring weighing more than females in
200 both Control and IUGR groups.

201 There were no differences in maximum specific force or time to peak twitch force
202 between IUGR and Control groups. These results were somewhat unexpected as low birth
203 weight is associated with reduced muscle mass and strength in peripheral skeletal muscles in
204 adulthood^{20;21}. In comparison to force development, there was a clear effect of IUGR on twitch
205 half relaxation time which was evident for both male and female mice. Half relaxation time
206 reflects the kinetics of relaxation after stimulation and is heavily influenced by muscle fibre
207 type. The aforementioned maternal undernutrition study found that the proportion of type II
208 fibres was lower in the undernutrition group during early postnatal development but was
209 comparable with Control in adulthood⁵. However in our study, it is unlikely that a longer half-
210 relaxation time in the IUGR group reflects changes in fibre type distribution as there were no
211 changes in other time-dependent parameters (twitch time to peak and maximum rate of force
212 development) or resistance to fatigue which would also be expected to change with alterations
213 in fibre type proportions²². Half relaxation time is also dependent on the rate of detachment of
214 myosin heads from actin and by the reduction in cytosolic Ca^{2+} by the action of the sarco

215 endoplasmic reticulum Ca²⁺-ATPase (SERCA) pump²³. The detachment of the myosin head
216 from actin is relatively quick and therefore a less probable mechanism for increased relaxation
217 time and we therefore favour changes in the actions of the SERCA pump²⁴.

218 It is possible that IUGR affects the expression or function of Ca²⁺ handling proteins
219 including SERCA or plasma membrane Ca²⁺-ATPase pumps as demonstrated in human
220 placenta cells^{25; 26}. Similar effects on the Ca²⁺ handling proteins in the diaphragm may reduce
221 Ca²⁺ transport back into the sarcoplasmic reticulum and extending relaxation time^{23; 27}. Two
222 SERCA isoforms are expressed in skeletal muscle; SERCA1 in fast-twitch muscle fibres and
223 SERCA2 in slow-twitch muscle fibres²⁸. Both of these pumps have similar Ca²⁺ transport
224 capacities and work in the same manner within their respective fibre type²⁸. Disruption of
225 SERCA1 expression severely impairs diaphragm function with SERCA1 null offspring dying
226 of respiratory failure shortly after birth²⁹. Furthermore, disruption of SERCA2 gene expression
227 in mice prolongs muscle relaxation time without affecting contraction time or maximal force²⁷.
228 Therefore, a difference in SERCA pump expression could contribute to the prolonged muscle
229 relaxation time without altering other contractile properties, as was observed in this study.

230 Interestingly, there were sex differences in the maximum rate of force development and
231 susceptibility to fatigue that occurred independent of treatment. Firstly, the maximum rate of
232 force development was lower in females than in males. This is in line with previous studies
233 showing that the maximal rate of force development is significantly higher in males than
234 females, determined by differences in myosin heavy chain isoforms that influence muscle
235 function³⁰⁻³². Sex differences were also evident in fatigability, with females having a higher
236 fatigue index (greater resistance to fatigue) than males. The difference in fatigability may be
237 due to sex steroids with both estrogens and androgens being potent regulators of postnatal
238 skeletal muscle growth and development³³. Estrogen has been shown to have positive effects
239 on skeletal muscle contraction and protect against post-exercise muscle damage and

240 inflammation in rats³⁴. Furthermore, estrogen deficiency has been shown to adversely affect
241 muscle oxidative metabolism and mitochondrial function illustrating the critical importance of
242 estrogen in maintaining efficient fuel metabolism and hence resistance to fatigue^{35; 36}.

243 Histological analysis revealed that there were no differences in myofibre CSA between
244 either treatment groups or sexes, suggesting that the observed functional differences occurred
245 independently of changes in myofibre size. This result is different from a study of chronic
246 maternal undernutrition-induced growth restriction which found a reduction in myofibre size
247 in the undernutrition offspring compared with Control, which may be attributed to type,
248 duration and timing of prenatal insults⁵. Our finding also contradicts previous studies in both
249 human and sheep that show IUGR fetuses have smaller skeletal muscle myofibres when
250 compared to Controls and another study showing that twin fetuses were born smaller with a
251 smaller myofibre CSA compared to singleton lambs^{37; 38}. However, it is important to
252 acknowledge that these previous studies focused on peripheral skeletal muscles which are
253 known to have different functions and responses to adverse insults compared to the
254 diaphragm³⁹. Furthermore, the assessments of myofibre CSA in these studies were performed
255 at birth, whereas we have examined diaphragm fibre CSA in adult mice. It is possible that
256 diaphragm fibre CSA was reduced at birth in our IUGR mice, but had recovered to be
257 indistinguishable from Control mice by adulthood despite a sustained reduction in body weight.
258 We also acknowledge that changes in diaphragm muscle mass could occur independently of
259 changes in individual myofibre CSA, for example due to hypoplasia⁴⁰, but this was not possible
260 to examine in the current study.

261 It is important to note that this study was conducted on young adult mice that were
262 otherwise healthy. Diaphragm dysfunction is evident in several respiratory diseases such as
263 COPD which primarily occurs later on in life^{2; 41}. Therefore it may be worthwhile to study these
264 mice as older adults or to impose various challenges to the diaphragm in adulthood, such as an

265 inflammatory response to lipopolysaccharide exposure, to investigate if the observed IUGR
266 effects persist or worsen when exposed to aging and stress^{13; 42}. Furthermore, the effects of
267 IUGR on the diaphragm could have been indirectly mediated by the impact of IUGR on airway
268 function¹⁰; however, the effects of IUGR on airway function were primarily observed after
269 bronchoconstriction induced to methacholine and were also sex-dependent. Since mice were
270 not exposed to bronchoconstrictor triggers in the present study, nor was there was there any
271 interaction between IUGR and sex, an indirect effect of IUGR on diaphragm is unlikely.

272 In summary, these data demonstrate that IUGR affects diaphragm function in otherwise
273 healthy adult mice. Further research is required to determine if IUGR induced diaphragm
274 dysfunction is exacerbated under conditions of increased respiratory demand, which might
275 accompany normal ageing processes, or in response to other environmental insults.

276

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281

282 **DISCLOSURE STATEMENT**

283 None.

284

285 **AUTHORS CONTRIBUTION**

286 K.C.W.W., G.J.P., P.B.N. designed the study; M.R.F., K.C.W.W. conducted the study, M.R.F.,
287 G.J.P., K.C.W.W. were involved in data collection; M.R.F., G.J.P., K.C.W.W. were involved
288 in data analysis; all authors were involved in data interpretation; M.R.F., K.C.W.W. drafted
289 the manuscript and all authors contributed and approved the final manuscript writing.

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- 399

400 **FIGURE LEGENDS**

401 **Figure 1. Body weight of offspring.** Offspring body weights (g) at birth (A) and at 8 weeks
402 of age (B). Data are mean \pm SEM. * indicates a significant effect of treatment ($P < 0.05$), #
403 indicates a significant effects of sex ($P < 0.05$). Control, open bars; IUGR, closed bars. IUGR;
404 intrauterine growth restriction.

405

406 **Figure 2. Diaphragm maximum specific force.** Maximum specific force (N/cm^2) generated
407 by diaphragm muscles of 8-week-old male and female mice. Data are mean \pm SEM. Control,
408 open bars; IUGR, closed bars. IUGR, intrauterine growth restriction.

409 **Figure 3. Diaphragm twitch half relaxation time.** Twitch half relaxation time (ms) in
410 diaphragm muscles of 8-week-old male and female mice. Data are mean \pm SEM. * indicates a
411 significant effect of treatment ($P < 0.05$). Control, open bars; IUGR, closed bars. IUGR,
412 intrauterine growth restriction.

413 **Figure 4. Diaphragm force-frequency curves.** Force generated at different stimulation
414 frequencies by diaphragm muscles of 8-week-old male (A) and female (B) offspring presented
415 as specific force (N/cm^2). Data are mean \pm SEM. Control, open symbols; IUGR, closed
416 symbols. IUGR, intrauterine growth restriction. NB. force-frequency data points in the male
417 Control and IUGR groups (A) are almost identical, therefore the IUGR symbols are plotted
418 behind the Control symbols.

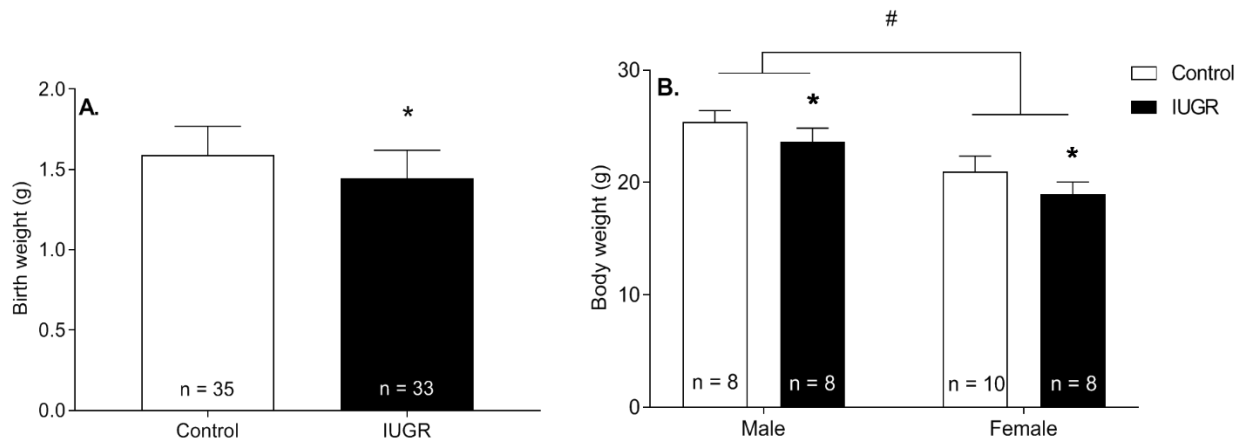
419 **Figure 5. Diaphragm fatigability.** Fatigue index (force at 900th contraction/initial contraction)
420 of diaphragm muscles of 8-week-old male and female offspring. Data are mean \pm SEM. #
421 indicates significant difference between sexes ($P < 0.05$). Control, open bars; IUGR, closed
422 bars. IUGR, intrauterine growth restriction.

423 **Figure 6. Diaphragm myofibre cross-sectional area.** Representative histological figure of
424 diaphragm myofibre stained with haematoxylin and eosin in Control (A) and IUGR (B)
425 offspring. Myofibre cross sectional area (μm^2) of diaphragm muscle in 8-week-old male and
426 female mice (C). Data are mean \pm SEM. Control, open bars; IUGR, closed bars. IUGR,
427 intrauterine growth restriction.

428 **TABLE**429 **Table 1.** Diaphragm twitch contraction.

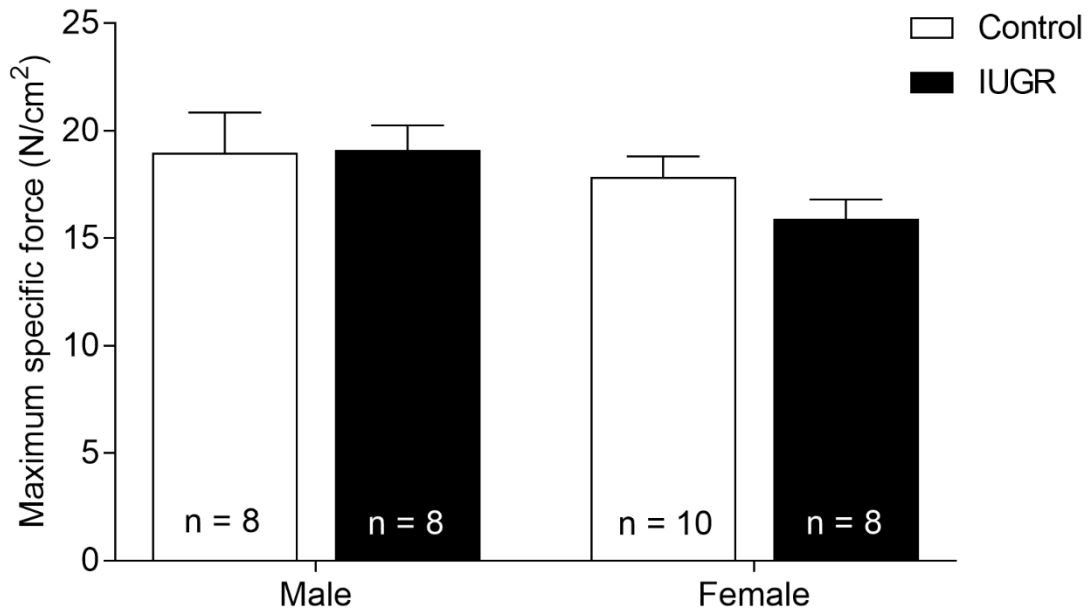
	Control		IUGR	
	Male (n=8)	Female (n=10)	Male (n=8)	Female (n=8)
P_t (N/cm²)	5.76 ± 0.73	5.45 ± 0.42	5.37 ± 0.38	4.87 ± 0.42
TTP (ms)	38.46 ± 1.15	42.50 ± 2.60	42.04 ± 1.04	40.58 ± 1.71
Maximum dF/dt (g/s)	144.10 ± 14.39	132.33 ± 18.41	158.79 ± 16.59	103.72 ± 13.17 [#]

430 *Data are mean ± SEM. # indicates a significant effect of sex. IUGR, intrauterine growth*431 *restriction; P_t, peak twitch force; TTP, time to peak; dF/dt, rate of force development.*

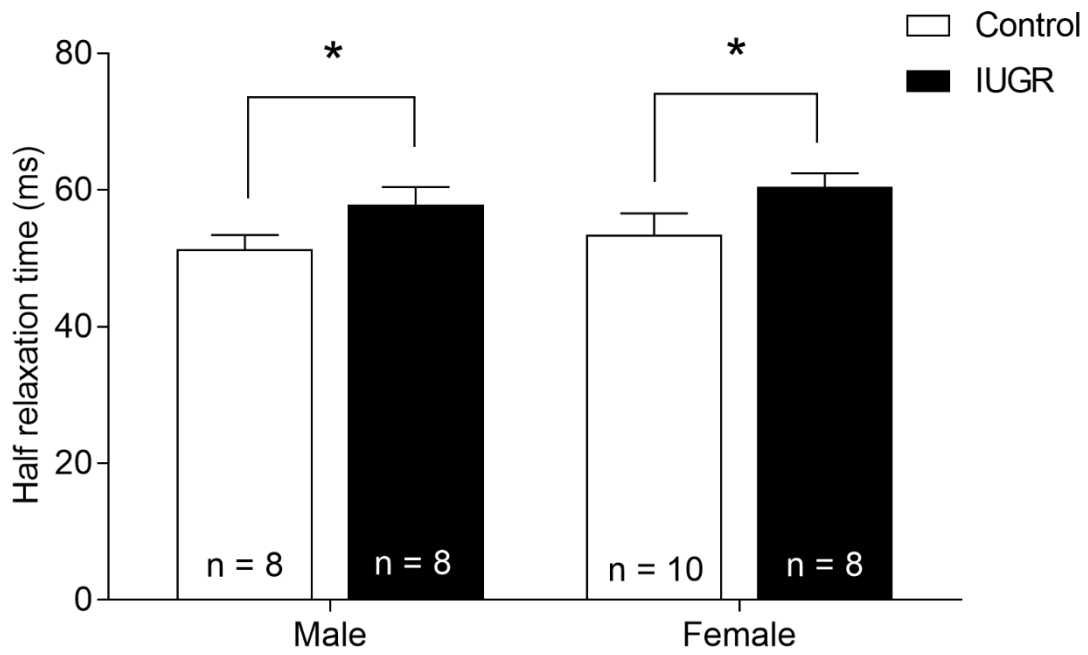
432 **FIGURES**

433

434 **Figure 1.**

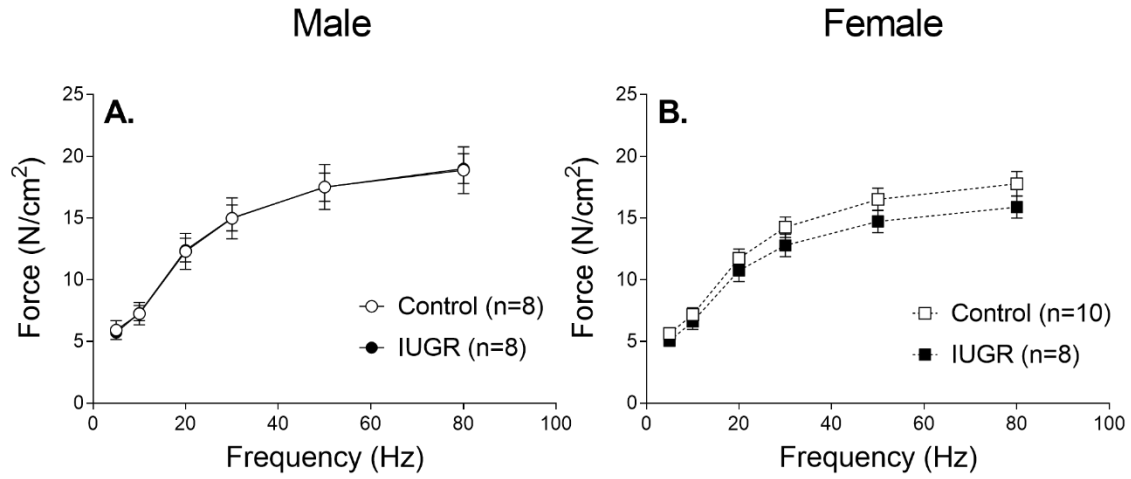


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436 **Figure 2.**

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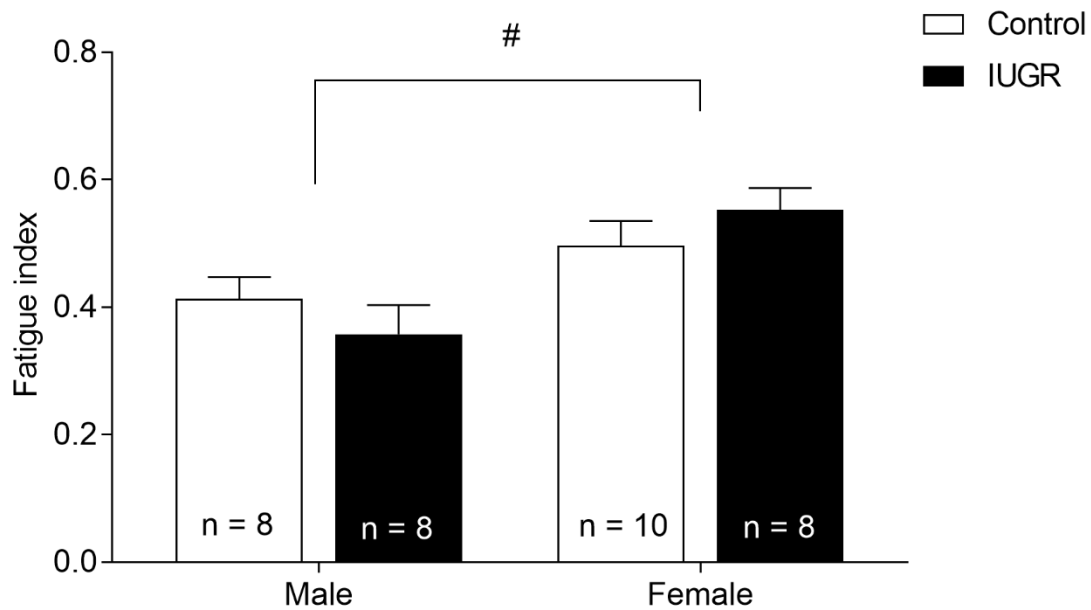
438 **Figure 3.**



439

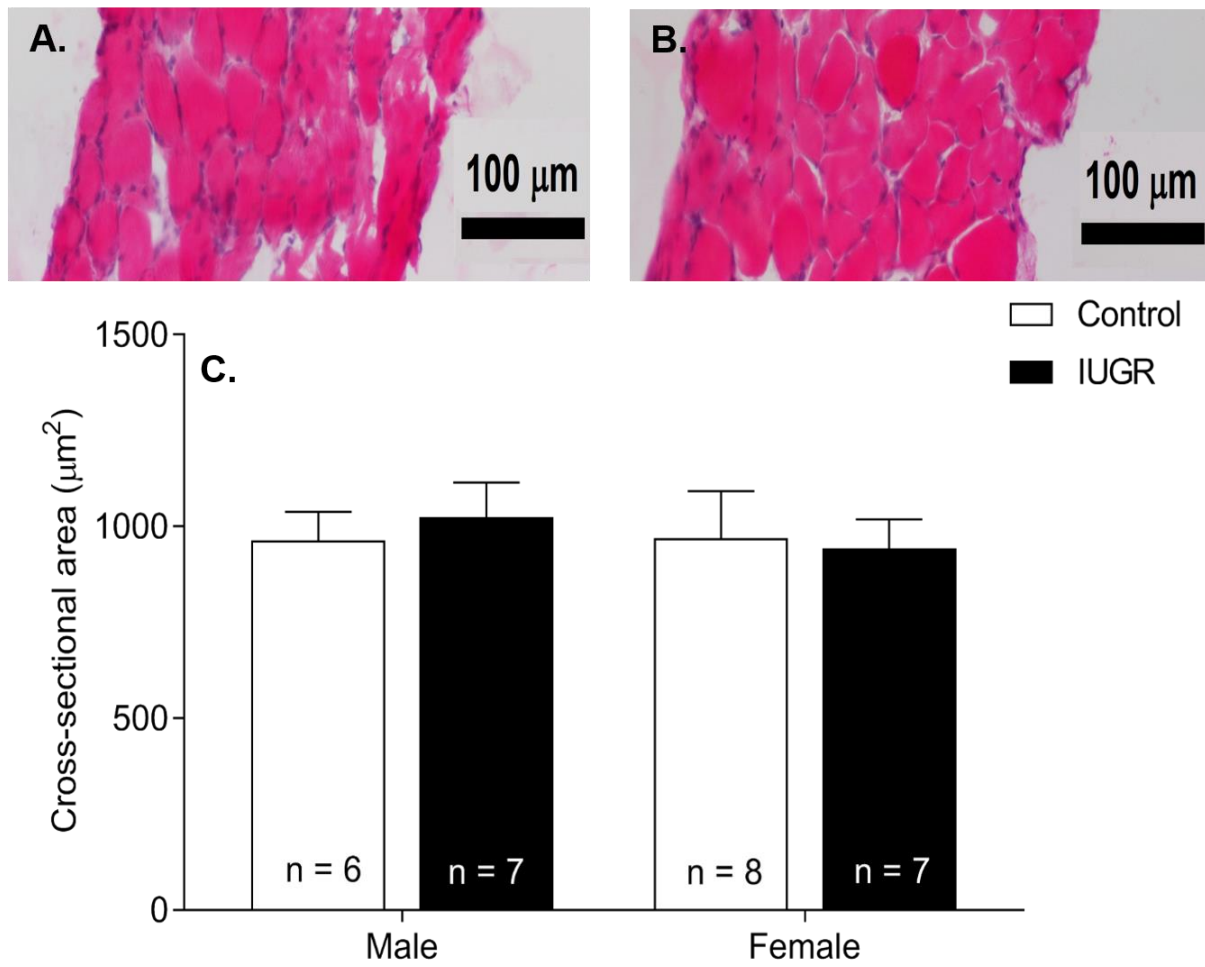
440 **Figure 4.**

441



442

443 **Figure 5.**



444

445 **Figure 6.**