

1 **Lateral resistance of ‘rigid’ pipelines and cables on rocky seabeds**

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## ABSTRACT

Accurate assessment of lateral resistance is critical to ensure the on-bottom stability and integrity of subsea pipelines and cables in the oil/gas and marine renewable energy industries. However, on rocky seabeds recommend practices provide limited recommendations on pipe/seabed interaction, suggesting only a single value for the friction coefficient of 0.6. This paper reports on a programme of physical experiments and theoretical modelling investigating the lateral resistance of pipes on rocky seabeds. It is shown that the peak and mean effective friction can significantly exceed the interface (or Coulomb) friction coefficient when the pipe diameter ( $D$ ) is similar to the median rock diameter ( $d_{n50}$ ). Only when the pipe diameter becomes large compared to the rock size does the mean effective friction approach the interface friction. The effective friction coefficient was found to vary with variability in rock size and shape, as well as the length of pipe relative to median rock diameter. Each of these findings is reproduced well using the theoretical model and demonstrating that the effective lateral friction coefficient maybe higher than 0.6 for mean friction, and significantly higher for peak friction. This implies that inaccuracy may exist in current design, which may be rectified using the theoretical model.

## 71 **1 INTRODUCTION**

72 In many situations subsea pipelines and cables must be routed across rocky seabeds where soft  
73 or granular soils may have been washed away by persistently aggressive waves and currents,  
74 creating challenging conditions for stabilisation. In these situations the seabed characteristics  
75 can have a huge impact on the cost of submarine pipelines (Griffiths et al., 2010) and cable  
76 installations (Sharkey et al., 2013). For example, a high number of installed wave and tidal  
77 facilities have required cable stabilisation measures (Sharkey, 2013) such as armour casings  
78 and concrete mattresses (at the EMEC site, off the Scottish coast), rock dumping (at the  
79 Wavehub site off the Cornish coast) and horizontal directional drilling (the MCT SeaGen  
80 project in Strangford Lough, Northern Ireland).

81 Examples of cables installed over rocky seabeds can be found at the EMEC wave and tidal test  
82 sites in the Pentland Firth (The Crown Estate, 2015) as illustrated in Figure 1. Slightly different  
83 rocky seabed conditions are also prevalent around the proposed tidal energy sites in the Bay of  
84 Fundy that feature medium to coarse gravel and cobbles (Stark et al., 2013), including  
85 potentially mobile gravel dunes as shown in Figure 1. Rocky seabeds also occur on the  
86 Australian continental shelf along hydrocarbon pipeline and cable routes, including relatively  
87 flat limestone pavements and calcarenite caprock, as described by Sims et al. (2004) and  
88 Duncan and Gavrilov (2012).

89 The prevailing design methodology (The Crown Estate, 2015) used to evaluate the on-bottom  
90 stability of pipelines and cables on rocky seabed for the marine renewable energy industry is  
91 DNVGL-RP-F109 (DNV GL, 2017), which was originally written for the offshore oil and gas  
92 industry for hydrocarbon-containing pipelines. This recommended practice features three  
93 different approaches to stability design, which compare the actions on the pipe/cable, including  
94 pipe weight, hydrodynamic loading and geotechnical seabed restraint. With respect to the last  
95 of these actions – i.e. the seabed resistance between pipelines and rocky seabeds - Section 3.4.6

96 of the recommended practice states “*The coefficient of friction  $\mu$  can normally, for a concrete*  
97 *coated pipe, be taken as ... 0.6 on rock*” where “*Rock is here defined as crushed rocks with a*  
98 *50 per cent diameter fractile larger than 50 mm*” (DNV GL, 2017). While the literal  
99 interpretation of this statement suggests it is not intended to apply to the particular condition of  
100 cables on naturally occurring rocky seabeds as shown in Figure 1, this recommended approach  
101 is used widely for pipe stability design on rocky beds. This is despite the fact that the blanket  
102 adoption of  $\mu = 0.6$  cannot capture different rock types or pipe coating type – noting that cables  
103 and small diameter pipelines are rarely coated with concrete, but instead have various forms of  
104 outer layer made from other materials. The use of a single value is also at odds with  
105 conventional practice for pipelines on sand and clay, where it is widely recognised that both  
106 sediment type and pipe coating affect the friction behaviour (e.g. White et al. (2012), Hill et al.  
107 (2012), SAFEBUCK (2015), and design practice usually involves direct measurement of the  
108 relevant parameters.

109 From the perspective of pipeline and cable design, the use of a blanket value for the effective  
110 lateral friction coefficient can lead to inaccurate stability assessment. This leads to concerns  
111 involving the reliability and integrity of cables and pipelines, which often represent single  
112 points of failure within the overall system for both oil and gas and renewables. Furthermore it  
113 has been noted (Jee, 2016), (Boehme and Robson, 2012) that up to 80% of insurance claims  
114 from wind farm operators have been cable related, hence their integrity remains critical to the  
115 financial performance of the projects. In addition to improvements in reliability, there are also  
116 potential opportunities to reduce design and maintenance costs through improved design of  
117 cables and pipes on rocky seabed. For instance, the oil and gas industry is experiencing a phase  
118 of lower commodity prices driving significant industry innovation, whilst the offshore wind  
119 industry is experiencing a fall in subsidies while also attempting to ‘close the gap’ to the  
120 installed cost of non-renewable energy.

121 Motivated by these integrity and cost drivers, the objectives of this research are to improve  
122 understanding of the interaction between subsea pipelines and cables on rocky seabeds,  
123 particularly focusing on the resulting geotechnical lateral resistance to movement. The  
124 overarching aim is to develop an improved modelling approach to capture pipeline and cable  
125 interaction with rocky seabed, which is able to account for different rock types and  
126 pipeline/cable coating.

127 As discussed by Robertson et al. (2015), 'pipelines' and 'cables' cover a wide range of external  
128 hydrodynamic diameters ( $D$ ), ranging from around 50 mm to over 1.2 m, and have a wide range  
129 of purposes, including: rigid steel pipelines comprised of monolithic steel; flexible pipes  
130 comprising un-bonded concentric layers of steel and polymeric materials; umbilicals  
131 comprising multiple cores of pipes, electric and fibre-optic wires and fillers encased in  
132 helically-wound armour wires and a polymeric sheath; and cables, primarily used for power  
133 and data transmission, typically comprising concentric layers of copper / fibre-optic cores,  
134 fillers, helically wound armour layer and a polymeric sheath. For simplicity, this paper refers  
135 to each of these applications as 'pipes' from hereon.

136 The remainder of this paper is set out as follows. In Section 2 an improved model for pipe-rock  
137 interaction is introduced, and the key characteristics which influence the interaction are  
138 explored theoretically. Section 3 then presents results from a series of laboratory experiments  
139 in which pipes of various diameter have been dragged across different rocky seabeds. Section  
140 4 then back analyses the experiments using the improved model, so as to demonstrate the ability  
141 of the model to accurately capture the effective lateral friction coefficient. Discussion and  
142 conclusions are then given in Section 5.

143

## 144 2 THEORETICAL MODELLING

### 145 2.1 Lateral friction associated with a pipeline on rocky seabed

146 For the simplest case of a pipeline placed on a flat rocky seabed, classical theories (as described  
147 by Besson, 2013) can be used to assess the lateral friction between the pipe and rocky seabed.  
148 These theories assume that the frictional resistance results from microscopic asperities in each  
149 of the contacting surfaces, such that the gradient of the asperities leads, via geometry, to a  
150 calculated interface friction coefficient (or Coulomb friction coefficient),  $\mu_0$ .

151 For the more general scenario of a pipeline on an irregular rocky seabed, the pipe may no longer  
152 move horizontally at a location where it is contact with the bed. Instead, the pipe may slide  
153 upwards or downwards at the macro-scale and so an effective lateral friction,  $\mu_{eff}$ , can be  
154 introduced (Wilkinson et al., 1988):

$$\mu_{eff} = \frac{H}{V} = \frac{\mu_0 + \tan(\theta)}{1 - \mu_0 \tan(\theta)} \quad (1)$$

155 where  $H$  is the limiting horizontal resistance prior to pipe movement,  $V$  is the vertical reaction  
156 force at the point of contact (equal to the submerged weight per unit length of the pipe, if the  
157 seabed is flat in the direction parallel to the pipe and hydrodynamic loading is ignored), and  $\theta$   
158 is the angle at which the pipeline is moving relative to the horizontal. An important feature of  
159 Equation (1) is that it effectively separates the effect of the macro-scale seabed slope from the  
160 micro-scale surface interaction (defined by  $\mu_0$ ). Hence Equation (1) implicitly assumes that the  
161 roughness length scales associated with a rocky bed can be separated into macro and micro  
162 scales.

163 Since Equation (1) relates the lateral resistance to the vertical contact, it effectively replaces the  
164 interface friction as the appropriate friction coefficient to use in an on-bottom stability analysis  
165 on an irregular rocky seabed. With this in mind, three aspects of Equation (1) are useful to



166 recognise. Firstly, the equation implies that the effective friction is not symmetric between  
167 uphill and downhill sliding, as shown in Figure 2 for  $\mu_0$  values of 0.3 and 0.6. Hence the mean  
168 sliding resistance of a pipe that traverses over a 'flat' seabed with macro-scale asperity is greater  
169 than the friction coefficient for a flat seabed, and this has obvious implications for assessing the  
170 dynamic stability of a pipe. Secondly, the effective lateral friction coefficient becomes infinite  
171 as  $\mu_0 \tan \theta \rightarrow 1$  (e.g. with a  $\mu_0$  of 0.6, a wall steeper than  $59^\circ$  causes infinite lateral resistance).  
172 Finally, the effective lateral friction coefficient becomes negative when  $-\tan \theta > \mu_0$ , at which  
173 point the pipe would freely slide down a slope.

#### 174 2.1.1 *A theoretical model for pipe on rocky seabed*

175 With respect to developing a theoretical model to estimate the effective friction coefficient  
176 experienced by a rigid pipeline as it is dragged along on an arbitrary rocky seabed, Equation  
177 (1) can be used as the basic starting point. The only additional ingredient that is needed is to  
178 model how the pipeline conforms to the seabed so that (i) the location of the contact points can  
179 be determined and (ii) both the vertical reaction and the local angle at which the pipeline moves  
180 can also be determined at the contact points. These values can then be fed into Equation (1) to  
181 determine the effective friction coefficient for the pipe at a given location.

182 For convenience, in this paper it is assumed in the majority of the analysis that the pipeline is  
183 rigid and horizontal so that it has effectively only one contact point. This assumption greatly  
184 simplifies the calculation of the contact points (which is simply the highest point of contact on  
185 the seabed beneath the pipeline) and the vertical force, and needs only be adapted slightly to  
186 allow for comparison with the experiments outlined in Section 3. Nevertheless, extensions to  
187 account for pipeline flexural rigidity are reasonably straight-forward and are the subject of  
188 ongoing work by the authors.

## 189 2.2 Modelling pipelines on rocky seabeds

190 The theoretical model outlined in the preceding section may be used to assess the effective  
191 friction coefficient for a pipeline on any rocky seabed. In general, however, it is useful to  
192 introduce some parameters to define the pipeline and seabed, and to develop some intuition for  
193 how these parameters influence the mean and peak effective friction coefficient. With this in  
194 mind, it is assumed that the seabed is comprised of a range of rock particles having a well-  
195 defined median rock size  $d_{n50}$  (where the subscript  $n$  indicates that this refers to the rock  
196 particle size rather than the corresponding sieve mesh opening size), a coefficient of uniformity  
197  $C_U$  and some known particle shape or angularity and a relative packing density. Dimensional  
198 reasoning and noting invariance to a transformation of dimensions then implies that:

$$\frac{\mu_{eff}}{\mu_0} = f\left(\mu_0, \frac{D}{d_{n50}}, \frac{L}{d_{n50}}, C_U, Shape, Packing\ density\right). \quad (2)$$

199 Where  $D$  is the pipeline diameter,  $L$  is the length of rigid pipeline that is modelled (and thus  
200 defines the length over which the contact point must occur). In the following subsections each  
201 of the parameters  $D/d_{n50}$ ,  $L/d_{n50}$  and  $C_U$  are explored theoretically for rocky beds comprised  
202 of both circular and square particles.

## 203 2.3 Effect of relative pipe diameter ( $D/d$ )

204 To investigate the effect of the relative pipeline diameter on the effective friction coefficient,  
205 the friction coefficient for a pipe sliding over a  $2D$  surface profile comprising circular and  
206 square (oriented at  $45^\circ$ ) prisms is shown as illustrated in [Figure 3\(a\) and \(b\)](#). At each location  
207 along the seabed, the pipes have been lowered down until contact with the seabed just occurs.  
208 Using a central difference scheme, the gradient of the pipe paths can then be found and, in turn,  
209 the peak friction coefficient for each  $D/d$  diameter ratio. The mean friction for each  $D/d$   
210 diameter ratio is also found by a linear average of the effective friction over a distance of  $d$ . For

211 the particular case of  $\mu_0 = 0.3$ , the mean and peak effective friction coefficients representative  
212 of all locations of the pipe on the seabed can be calculated using Equation (1) and are given in  
213 [Figure 3\(c\) and \(d\)](#) for ratios of pipe diameter,  $D$ , to seabed 'particle' diameter,  $d$  from  $D/d =$   
214 0.1 to 100. This figure indicates some important points. Firstly, for sufficiently large  $D/d$  ( $>$   
215  $\sim 40$  in [Figure 3\(c\) and \(d\)](#)), the influence of seabed particle size is substantially diminished and  
216 the sliding profiles of the pipes become 'smooth', with peak effective friction coefficient being  
217 less than 10% greater than the interface friction and mean friction coefficient being less than  
218 0.03% greater. In contrast, for small  $D/d$  ( $< 40$ ) the influence of seabed particle size becomes  
219 increasingly important in determining both the mean and peak effective friction coefficients; in  
220 the particular examples considered for the square particles there is a cut-off of peak friction  
221 which corresponds to the pipe moving upwards at the slope angle of the seabed ( $\theta = 45^\circ$ ),  
222 whereas for the circular particles an infinitesimally small pipe will face a sufficiently steep wall,  
223 giving infinite peak friction. This example therefore highlights the importance of pipe diameter,  
224 relative to particle size, on the effective friction coefficient. Only when  $D/d$  is larger than some  
225 value will the interface friction provide a good estimate of the effective friction coefficient.

226 Secondly, it can be noted in [Figure 3\(c\) and \(d\)](#) that the peak friction for circular particles is  
227 higher than for square, reflecting the steep edge of these particles. However, the mean friction  
228 is lower. This shows that while changes in peak and mean friction occur over similar ranges of  
229  $D/d$ , particle shape affects the relative ratio of peak to mean friction.

#### 230 **2.4 Effect of relative pipe length ( $L/d$ )**

231 The contact point for a rigid pipeline can occur at any point along its length. The effect of this  
232 on the lateral friction can be understood by introducing the concept of skyline profiles. By way  
233 of analogy, the city skylines for Perth in Western Australia and New York in the United States  
234 are presented in [Figure 4](#). The height of the buildings comprising each city are similar, but the

235 difference between the outline profiles is driven by the number of rows of buildings comprising  
236 the city – Perth being much smaller than New York. If a pipe of suitable diameter were dragged  
237 over each city skyline from left to right of Figure 4, the Perth skyline is much rougher while the  
238 New York skyline is comparatively 'smooth' due to the depth of buildings contributing to the  
239 outline profile.

240 The relevance of these observations to the lateral resistance of a rigid pipe sliding across a rocky  
241 seabed arises because the length of the pipe is a proxy for the number of skyline rows required  
242 to represent the trajectory of the pipe when pulled laterally. In essence a longer pipe would be  
243 needed to pass over the New York skyline.

244 To explore the effect of effective pipe length quantitatively, Figure 5 considers a pipe being  
245 dragged over a seabed comprising several rows of uniform spherical particles of diameter  $d$ ,  
246 with each row having a random relative position (or phase). The random alignment of rows of  
247 particles alters the skyline profile. Increasing the number of rows comprising the skyline (i.e.  
248 increasing the pipe length) progressively smoothens the profile.

249 The effect of both  $D/d$  and  $L/d$  on the peak lateral resistance are shown in Figure 5 for both  
250 square and circular particles. To calculate these results the pipe has been assumed to remain  
251 horizontal (i.e. the opposite ends cannot rise and fall with the 3D topography) so the analysis  
252 effectively follows a 2D skyline, calculating the friction at any instant based on Equation (1),  
253 with the instantaneous direction of pipe motion giving the angle  $\theta$ . An ensemble of 20  
254 simulations have been undertaken in each case given that the alignment of the rows is random.  
255 The results indicate that as the length of the pipe increases the skyline contains an increasing  
256 number of rows, and so the peak friction returns to the interface sliding coefficient,  $\mu_0$ , across  
257 all  $D/d$  values. This trend is observed for both the circular and square particles, although the  
258 reduction with pipe length is slower for the square particles owing to their increased angularity.

## 259 2.5 Rock with non-uniform particle size distribution

260 The analysis in Section 2.4 can be extended easily to consider non-uniform particles that form  
261 irregular synthetic 3D seabeds defined by a grading with median particle diameter  $d_{n50}$  and  
262 grading width  $C_U = d_{85}/d_{15}$ . Figure 6 provides examples for both circular and square particles,  
263 with the orientation of the square particles fixed (in this work a Rosin-Rammler distribution has  
264 been assumed to define the particle size distribution based on  $d_{n50}$  and  $C_U$ ; see (Rosin and  
265 Rammler, 1933). Assuming again that the pipe is rigid and horizontal, a 3D skyline profile is  
266 formed by aligning a number of 2D profiles, with the average lateral spacing of the profiles  
267 taken as  $d_{n50}$ . As shown in Figure 7 the size of the largest particle increases as the pipe length  
268 (and thus the number of rows of particles in the skyline) gets larger. The influence of  $D/d_{n50}$ ,  
269 number of rows in the skyline (i.e.  $L/d_{n50}$ ) and the value of  $C_U$  has been explored numerically  
270 for a 1 m long domain and  $d_{n50}$  of 100 mm. At least 16 independent simulations have been  
271 performed for each case, using an arbitrary interface friction  $\mu_0$  of 0.3. The ratios of absolute  
272 maximum and mean friction coefficient to interface friction coefficient have been plotted in  
273 Figure 8. The results show that

- 274 • For the special case of  $C_U = 1$ , the results degenerate to the case of uniform particle  
275 sizes;
- 276 • For all of the values of  $C_U$  and any number of rows in the skyline, the mean and  
277 maximum friction coefficients tend towards the interface friction as  $D/d_{n50}$   
278 increases;
- 279 • As  $C_U$  increases, for a given  $D/d_{n50}$  and a given number of rows in the skyline, the  
280 mean and maximum friction coefficients tend to increase;
- 281 • As the number of rows in the skyline increases, the mean and maximum friction  
282 coefficients tend to decrease

283 Overall, the parameters  $D/d_{n50}$ ,  $C_U$  and number of rows in the skyline all influence the  
284 effective friction coefficient, with their combined effect on the trajectory of the pipe controlling  
285 the mean and peak effective friction coefficient.

286

### 287 **3 PHYSICAL MODELLING OF LATERAL RESISTANCE**

288 To confirm the general findings in Section 2 physical modelling of the lateral resistance  
289 between pipe and rock has been undertaken using a purpose-built test rig. The operation of the  
290 test rig is demonstrated in [Video 1](#).

#### 291 **3.1 Experimental test set-up**

##### 292 *3.1.1 Lateral resistance test rig*

293 The lateral resistance test rig is shown in Figure 9. The key features of the test rig are:

- 294 • Two electrically-driven constant-velocity linear actuators to push or pull a model pipe  
295 laterally over a 1 m wide by 1 m long test bed;
- 296 • The actuators pivot in the vertical plane so the model test pipe may rise and fall  
297 vertically as it traverses the model seabed, also allowing the model pipe to yaw (as  
298 defined in [Figure 10](#)) so that in general two points of contact with the seabed are  
299 maintained. This aspect differs slightly to the theoretical modelling described in Section  
300 2 above, where the pipe remained horizontal;
- 301 • The lateral force applied to the model pipe is monitored via a calibrated load cell.

302 The control of the linear actuators was via a single toggle-switch driving forwards and reverse  
303 movement. The load cell and displacement transducers were monitored digitally using the data-  
304 logging system described by Gaudin et al. (2009). The calibration of the load cell accounted for  
305 the mechanical leverage of the system ([Figure 9](#)).

### 306 3.1.2 Model pipes tested

307 The test rig was used to push and pull model pipes of 1 m length laterally a distance of 1 m  
 308 across the model seabeds. The model pipes were all of hollow steel construction, with the  
 309 bottom contact points of the pipes rapidly forming 'bright' steel finishes as the rocks abraded  
 310 any other surface finish. The properties of the model pipes tested are given in Table 1, and  
 311 shown in Figure 11. The contact weight of the pipe on the model seabed varies slightly  
 312 depending on the position of the linear actuators and therefore how much actuator weight is  
 313 being born by the pipe. Thus the 'near' and 'far' measured weights give the weight gradient  
 314 which has been used for calculating the pipe weight at any position along its travel.

315 **Table 1 Model pipe properties**

Pipe	Diameter [mm]	Bottom Surface Roughness Ra [mm]	Weight [N/m]		Weight Gradient [N/mm]
			Near	Far	
1	42.5	4.54	121.4	101.6	-0.02058
2	61.0	2.94	144.2	121.4	
3	101.5	4.03	188.7	169.2	
4	169.0	5.93	248.8	228.6	

### 316 3.1.3 Model rocky seabeds tested

317 A series of four model rocky seabeds were constructed for this research. Each test seabed was  
 318 formed by placing a single layer of brown and white granite cobbles and gravels onto a thick  
 319 layer of tile adhesive covering a 1 m by 1 m board of 18 mm thick plywood (Figure 12). They  
 320 are generally representative of different types of irregular rocky seabed relief.

321 Using a representative sub-sample of >30 particles of each rock type, the following  
 322 measurements were made on each particle: (i) longest and shortest lengths, and a third  
 323 approximately orthogonal length; (ii) the mass; and (iii) the displacement (immersed in water).

324 The particles were also photographed from an inclination of  $45^{\circ}$  while resting on a level  
 325 backdrop. The Rosin-Rammler equation was subsequently fitted by a least-squares method to  
 326 obtain the median particle mass  $M_{50}$  and  $C_U$  values for each rock grading. The mean and  
 327 standard deviation of each of these parameters is given in Table 2 and a plot of the measured  
 328 grading curves is presented in Figure 13.

329 **Table 2 Model rock properties**

Rock Sample		L1 [mm]	L2 [mm]	L3 [mm]	Mass [g]	Density [g/cm <sup>3</sup> ]	$M_{50}$ [mm]	$d_{50}$ [mm]	$d_{n50}$ [mm]	$C_U$ [-]
Blue Gravel (BG)	Mean	13.6	5.8	8.9	1.4	2.65	0.45	6.6	5.5	2.19
	±SD	±7.7	±2.9	±4.1	±1.9	±0.02				
White Round (WR)	Mean	65.3	34.3	50.2	171	2.64	164.0	47.2	39.6	1.30
	±SD	±9.4	±7.6	±8.6	±67	±0.12				
White Angular (WA)	Mean	74.0	19.7	42.9	125	2.69	121.0	42.3	35.6	1.38
	±SD	±15.4	±2.0	±11.2	±56	±0.07				
Brown Angular (BA)	Mean	117.2	55.2	82.9	694	2.51	521.6	70.5	59.2	1.36
	±SD	±31.9	±16.1	±20.0	±475	±0.11				

330

### 331 3.2 Lateral resistance test matrix

332 As described above, the models comprised 4 pipe diameters and 4 types of rock sample, giving  
 333 16 pipe/rock combinations. Each pipe/rock combination was tested for 2 “push” and 2 “pull”  
 334 traverses of the board. After each of these 4 traverses, the rock test board was rotated by  $90^{\circ}$   
 335 and the traverses were repeated, giving a total of 16 traverses for each of the 16 combinations  
 336 of rock and pipe.

### 337 3.3 Experimental results

338 For each test the digital data record was post-processed to convert the signal to a force/time



339 history using the load-cell calibration and accounting for the mechanical advantage of the  
340 system. The lateral forces were then converted to effective friction by dividing by the pipe  
341 effective weight depending on pipe lateral position. An example of the test results is presented  
342 in [Figure 15](#) for the Brown Angular rock and the 42.5 mm diameter pipe. These results show  
343 many discrete isolated peaks in the lateral resistance, which vary significantly in both amplitude  
344 and position for each of the 16 different test sweeps. These features were observed across all of  
345 the tests, however the amplitude and frequency of peaks varied between rock types and model  
346 pipe diameters.

347 On a small number of occasions (less than once per test), the force applied to a rock was  
348 sufficient to break the bond with the wooden base or to fracture a rock particle as shown in  
349 [Figure 16](#), so the peak in resistance was capped by the strength of the test bed or the rock itself  
350 rather than the true limit of the lateral pipe-seabed friction associated with the intact topography  
351 of the model seabed. These events were rare, so have a negligible effect on the mean effective  
352 friction coefficients, but highlight the high forces applied at the pipe-seabed contact points as  
353 expected from the implications of [Figure 2](#) for large slope angles.

354 The horizontal resistance data was obtained at fixed displacement intervals. By sorting these  
355 effective friction data records into descending order, the distribution of instantaneous effective  
356 friction is obtained ([Figure 15](#)). These results show that very large friction coefficients ( $\mu_{eff} \geq$   
357 1) and negative friction coefficients (when the pipe was descending such that the load cells read  
358 tension during a push stage and vice versa) occur only for relatively small fractions of the total  
359 displacement ( $\lesssim 5\%$ ), as would be expected based on [Figure 2](#).

360 Key aspects of the test results are summarised in [Figure 17](#). The peak friction values always  
361 significantly exceed the median friction, which is indicative of the interface friction coefficient  
362  $\mu_0$ . The peak frictions generally increase as  $D/d_{n50}$  reduces, as do the mean frictions. The rock  
363 shape is also observed to influence the results, especially for smaller pipes and for the peak

364 friction measured.

365 Each of these figures and the above general trends are consistent with the previously described  
366 theoretical predictions, show that the theoretical framework captures the mechanisms and  
367 behaviours that underpin lateral resistance behaviour of pipes on rocky seabeds.

## 368 **4 BACK-ANALYSIS OF EXPERIMENTAL RESULTS**

### 369 **4.1 Comparison with numerical prediction from scanned surface profiles**

370 The results in Section 3 qualitatively corroborate the trends identified in Section 2. However,  
371 in any practical situation it is useful to have a means to quantitatively predict the lateral friction  
372 coefficient experienced by a pipe on a rocky seabed. To test the ability of the theoretical model  
373 to make quantitative predictions, back-analysis of the brown angular rock sample experiments  
374 were undertaken. To properly capture the shape and particle size distribution of this rock the  
375 experimental seabed was scanned using a 3D scanner (see [Figure 18](#)); this scanner measured  
376 the seabed with an accuracy of  $\pm 1$  mm, with a resolution of one measurement per square  
377 millimetre.

378 Using the scanned seabed profile it is possible to make predictions using the theoretical model  
379 in Section 2.1, provided three additional aspects are considered. Firstly, a value for the interface  
380 friction  $\mu_0$  must be defined. To estimate this value the median effective friction coefficient  
381 value measured in the experiments is assumed to equal  $\mu_0$ . The rationale behind this assumption  
382 is that the pipe is expected to move upwards as much as it moves downwards as it is dragged  
383 across the rocky bed; thus the median resistance should correspond to horizontal motion, at  
384 which point  $\mu_{eff} \sim \mu_0$ . To sense-check this assumption, comparison to published rock / steel  
385 interface frictions by Ziogos et al. (2015) has also been undertaken. The published values range  
386 from 0.5 to 0.7 for steel roughness values Ra greater than 5  $\mu\text{m}$ , and from 0.1 to 0.3 for Ra  
387 values less than this. The measured test pipe bottom surface roughnesses presented in Table 1

388 and median friction coefficient presented in Figure 16 fall within the range of the Ziogos et al.  
389 (2015) results.

390 Secondly, so as to numerically account for the limiting resistance associated with rock particle  
391 breakage, a peak effective friction coefficient of 25 is assumed where numerically resistance is  
392 predicted to be infinite.

393 Thirdly, in the theoretical model described in Section 2 it was assumed that the pipe was rigid  
394 and horizontal, so that it had one contact point along its length. However as observed in Video  
395 1, the physical test pipes were free to yaw as they translated laterally. This results in two contact  
396 points and can increase the lateral resistance compared to a horizontal pipe with only one  
397 contact point (Griffiths et al., 2017). To compare the numerical predictions of lateral resistance  
398 against the measured physical lateral resistances, the vertical position and yaw angle of the pipe  
399 on 2 points of rigid contact were determined using geometric arguments as the pipe was slid  
400 laterally across the model seabed. It was then possible to define the proportion of pipe weight  
401 being taken by each of the 2 contact points as follows:

$$\frac{R_1}{Ws} = \frac{L/2 - x_2}{x_1 - x_2} \quad (3)$$

402 and

$$\frac{R_2}{Ws} = 1 - \frac{R_1}{Ws} \quad (4)$$

403 where  $R_1$  and  $R_2$  are the reaction forces,  $x_1$  and  $x_2$  indicate the contact locations along the  
404 pipeline,  $Ws$  is the total weight of the pipe and  $L$  is the length of the pipe (1 m in the tests in  
405 this paper). Combing Equation (3) and (4) with Equation (1) then leads to a general expression  
406 for the total horizontal force

$$H = R_1 \left( \frac{\mu_0 + \tan \theta_1}{1 - \mu_0 \tan \theta_1} \right) + R_2 \left( \frac{\mu_0 + \tan \theta_2}{1 - \mu_0 \tan \theta_2} \right), \quad (5)$$

408 Where  $\theta_1$  and  $\theta_2$  describe the trajectories (relative to horizontal) of each point of contact on the  
409 pipe. The effective friction coefficient then follows from  $\mu_{eff} = H/W_s$ .

#### 410 4.1.1 Numerical simulation results

411 Each pipe was laid down onto the scanned numerical seabed and translated laterally across the  
412 full width in each cardinal direction. Two cases of pipe boundary condition were considered -  
413 rigid horizontal pipe which is constrained to move in 2D (as per the approach taken in Section  
414 2) and a 'yawing' pipe which can move in 3D and compares to the boundary conditions relevant  
415 to the physical tests as shown in Figure 10. The pipe/rock contact points together with the  
416 variation in pipe end elevation are presented in Figure 18. The distribution of effective friction  
417 coefficient measurements for the example cases of brown angular rock and 61 mm pipe are  
418 compared to the 3D numerically predicted results in Figure 19. The numerical results use a  
419 value of  $\mu_0$  equal to the average median friction and adopt an effective friction factor of 25 to  
420 represent 'infinite' friction events. The comparison shows good agreement across the range of  
421 test directions and across the distributions of effective lateral resistance. The results therefore  
422 confirm that the lateral resistance of a pipe can be predicted from a suitable digital  
423 representation of the seabed surface profile.

424

## 425 4.2 Comparison of physical test results with numerical results for horizontal pipe on an 426 irregular finite 3D surface profile

427 In order to further compare the physical experimental results with the theoretical model, a series  
428 of 16 irregular 1m long quasi-3D skyline profiles were generated using both square and circular  
429 particles as illustrated in Figure 6 for each of the physical rock sample types, matching the  $d_{n50}$   
430 and  $C_U$  values. Consequently the seabed profile does not have the same level of detail as that in  
431 Section 4.1, but all parameters are matched except the angularity/particle shape. The yawing

432 nature of the physical pipeline is also not captured.

433 The results of the physical experiments (taken from Figure 16) are compared to the numerical  
434 results in Figure 20. With reference to these results, it can be seen that very similar trends are  
435 observed in the simulations and experiments, except that the former generally predict much  
436 lower effective friction coefficients. This observation confirms the importance of properly  
437 capturing particle shape and the contact condition between the pipe and seabed. Ignoring  
438 angularity and yawing leads to under-prediction of lateral resistance.

439 One advantage of the numerical calculations is that they enable the efficient investigation of  
440 various features of rock/pipe interaction which are difficult to undertake with the physical test  
441 results. One example is the correlation between particle size and the occurrence of lateral  
442 resistance peaks. Scatter-plots are presented in Figure 21 for the top 20 lateral resistance peaks  
443 versus the diameter of the largest rock located within  $d_{n50}/2$  downstream of the resistance peak.  
444 These plots are presented for the 2 smallest pipes on the Blue Gravel and Brown Angular rock  
445 types. Although there is scatter in the results, Figure 21 shows that the peak resistances are  
446 associated with rocks that are much larger than  $d_{n50}$ . However the peaks in effective friction  
447 coefficient relate to the difference in height of adjacent pipe positions (and particle sizes), rather  
448 than their absolute values. This point is demonstrated by looking at the particular case from  
449 Figure 21 of Brown Angular rock and 42.5 mm diameter pipe as shown in Figure 22, where it  
450 can be observed that the peak coefficient is caused not by the largest particle, but by the largest  
451 difference in size between adjacent particles.

452

## 453 **5 CONCLUDING DISCUSSION**

454 In this paper a theoretical model has been introduced to determine the effective lateral friction  
455 coefficient for a rigid pipe dragged across a rocky seabed. This model was used to develop

456 some intuition of the effect of various parameters associated with the rock and pipe on lateral  
457 resistance. Physical experiments were then undertaken, which were found to both qualitatively  
458 and quantitatively agree well with the theoretical model.

459 Based on the work presented in this paper the following main conclusions have been formed:

- 460 • For a pipe on a realistic rocky seabed the effective friction coefficient can significantly  
461 exceed that due to microscopic asperities (encapsulated by the interface friction  
462 coefficient  $\mu_0$ ) and can vary significantly from the value of 0.6 currently quoted in  
463 industry recommended practices. In particular, large variations in both the mean and  
464 peak effective friction coefficients are observed.
- 465 • The key parameters that influence the mean and peak effective friction coefficients  
466 include the interface friction coefficient  $\mu_0$ , the relative pipe diameter ( $D/d_{n50}$ ), pipe  
467 length ( $L/d_{n50}$ ) and the particle size distribution and shape of the rocks. In all cases,  
468 however, provided  $D/d_{n50}$  is sufficiently large (for a given pipe length and both particle  
469 size distribution and shape of rock) the mean effective friction coefficient will limit to  
470 the interface friction coefficient. The peak friction coefficient test results also appear to  
471 asymptote to  $\mu_0$ , but more slowly.
- 472 • A theoretical model can be used to accurately predict the mean effective friction  
473 coefficient for a pipe on a rocky seabed, provided that the interface friction coefficient  
474 is known and the seabed profile is known to sufficiently high resolution. Developing  
475 improved methods and practices for testing interface friction coefficients for pipe  
476 coatings on rock and characterising seabed rugosity will ensure these requirements are  
477 achievable in practice.

478 While the results of this work contribute useful insight and understanding to the behaviour of  
479 pipes on rocky seabeds, they do not yet represent a complete description of pipe interaction

480 with rocky seabeds sufficient for general use in design. Further work which is presently  
481 underway extends on the work presented herein to account for hydrodynamic loading (altering  
482 the direction of loading), flexible pipelines and macro-scale variations in the seabed profile (not  
483 described by the characteristics of the rock).

484

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501

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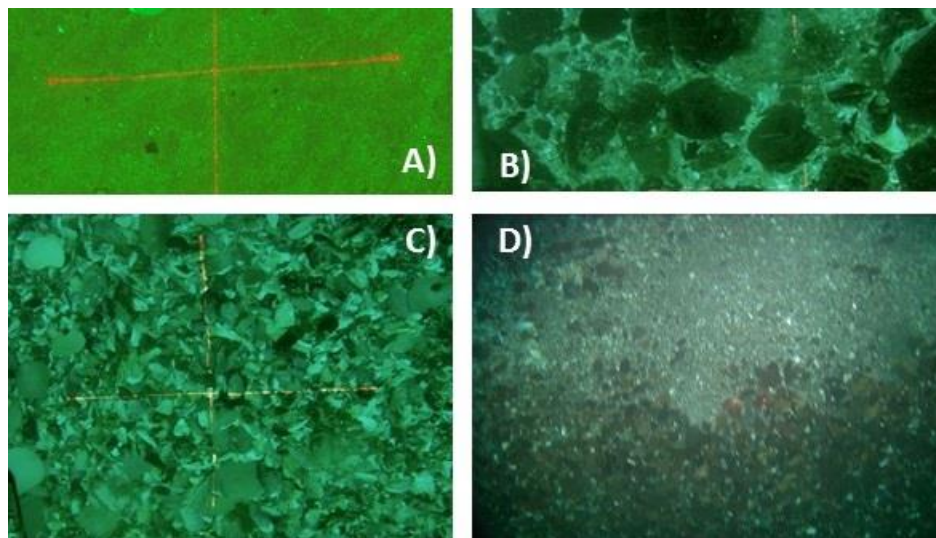


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**Figures**

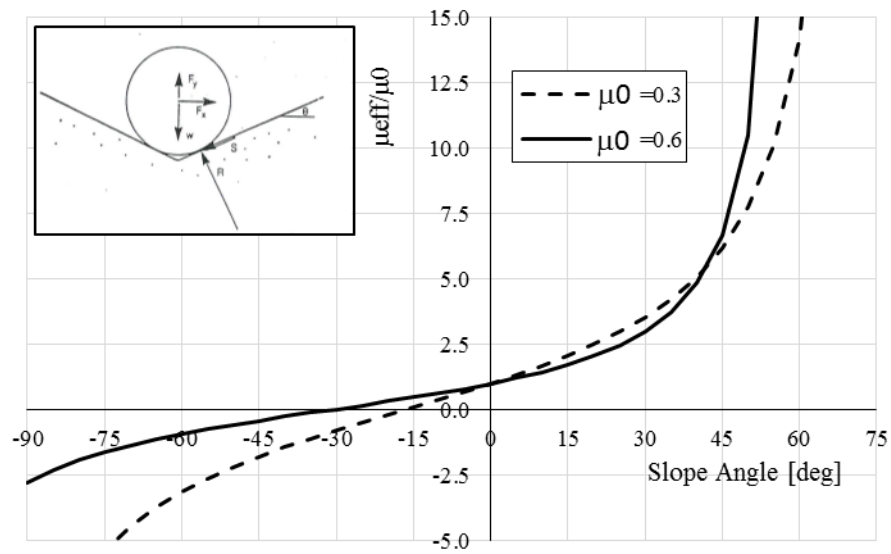


(1) at EMEC (Estate, 2015)



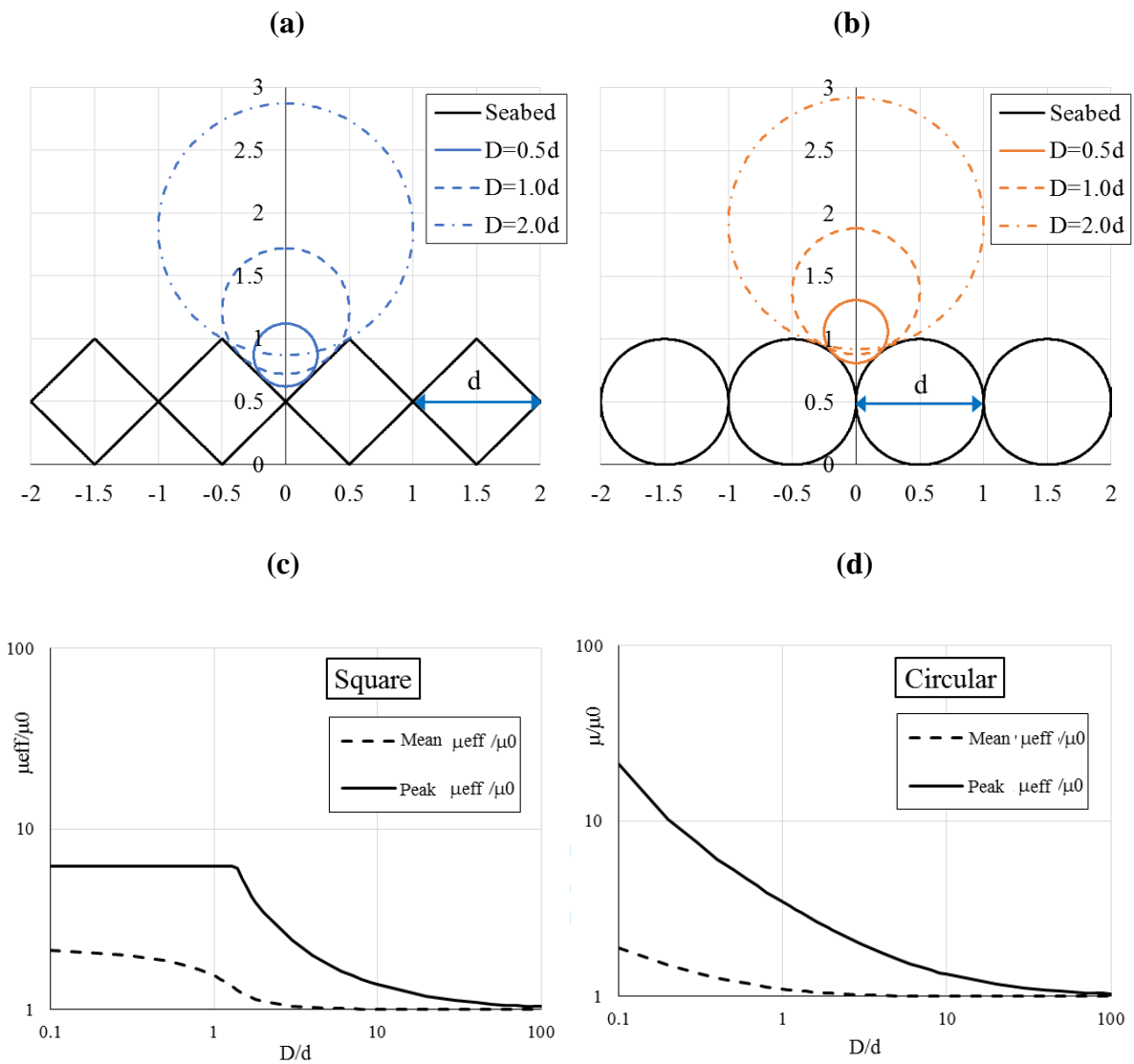
(2) Bay of Fundy (Stark et al., 2014)

**Figure 1: Example rocky seabeds**

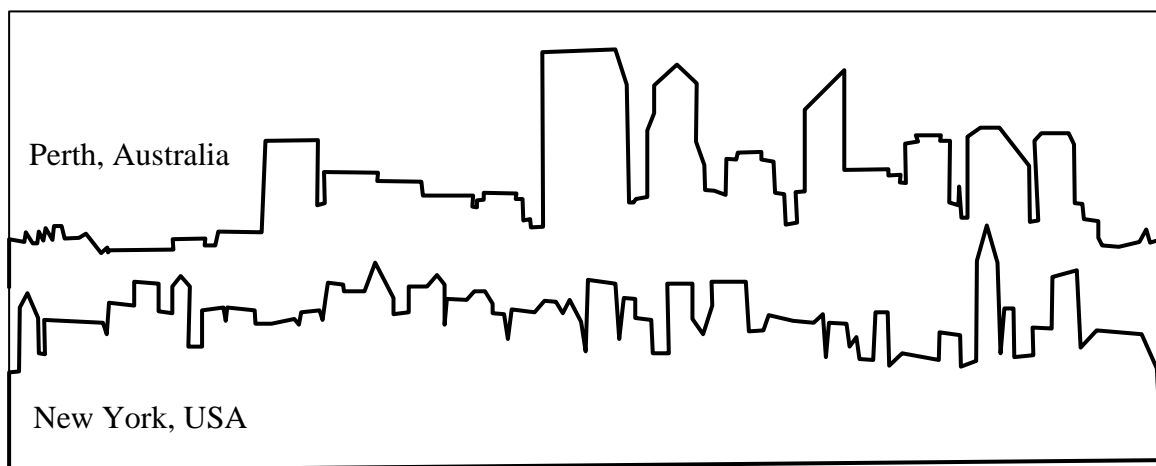


**Figure 2: Pipe effective friction due to trench side-wall friction**

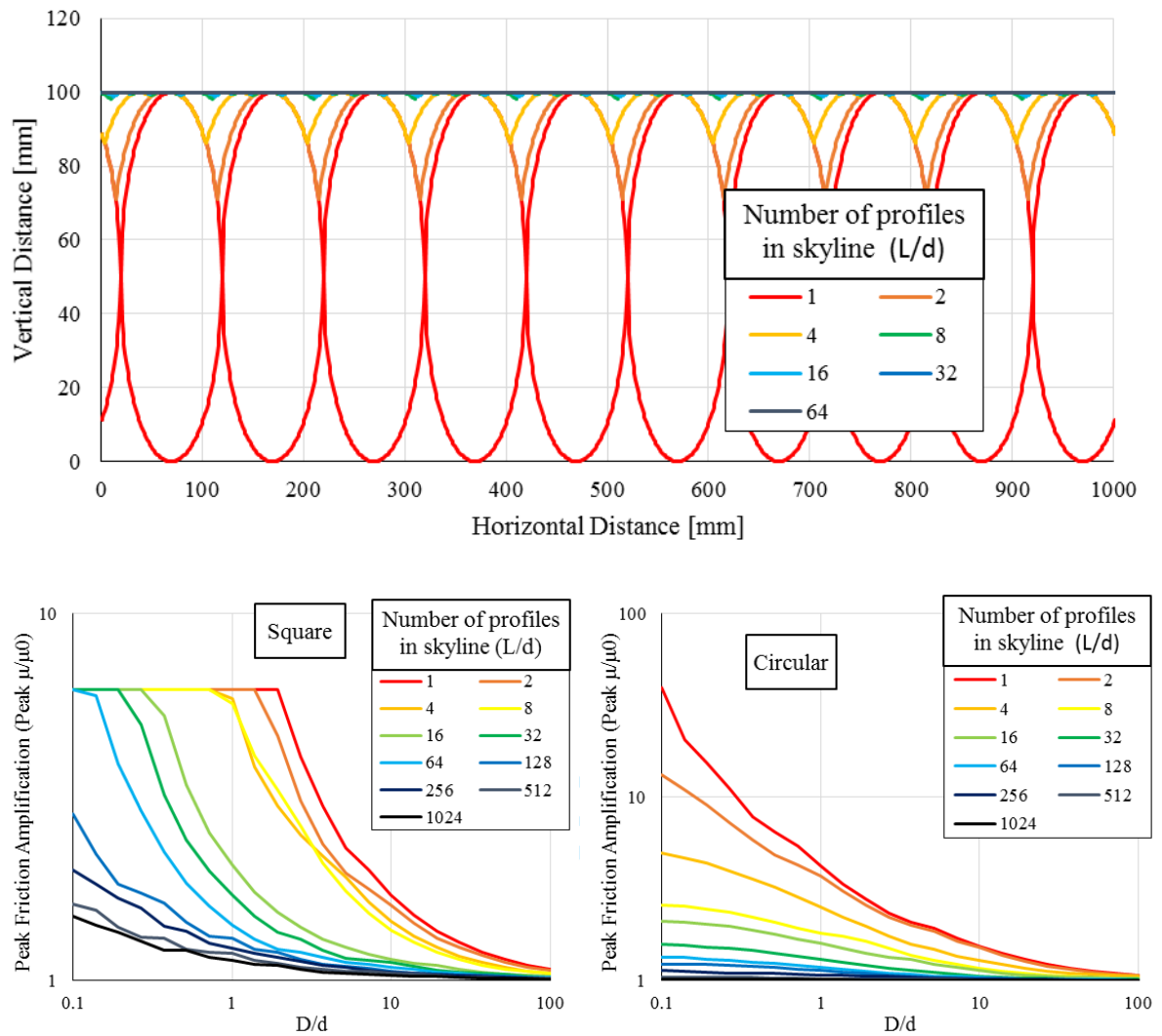
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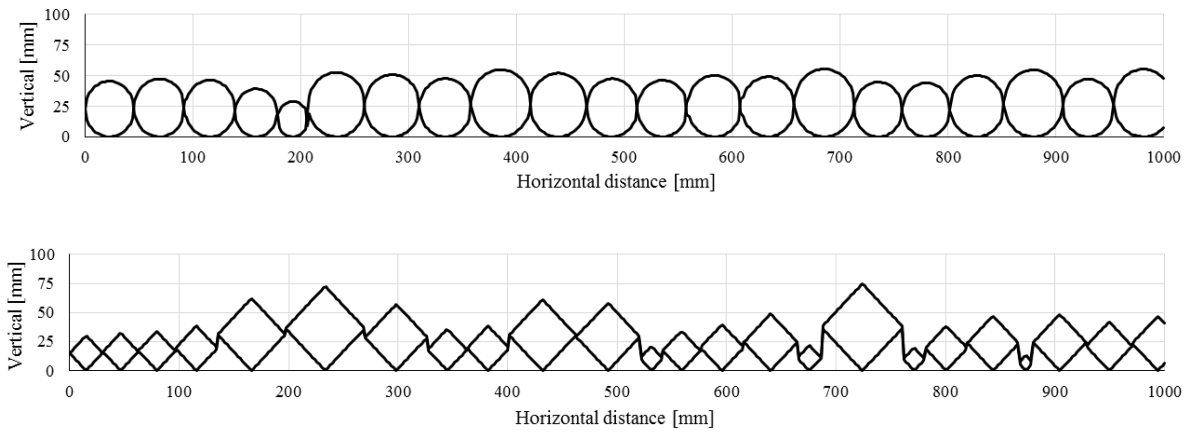
**Figure 3: Pipe mean and peak lateral resistance on 2D regular seabed profiles ( $\mu_0 = 0.3$ )**



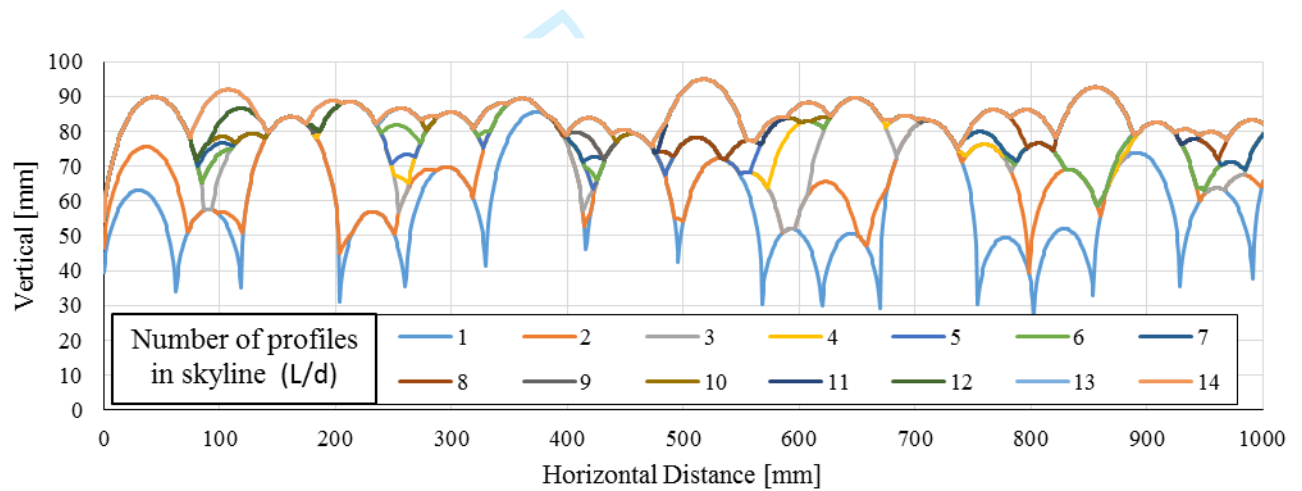
**Figure 4: Example city skyline profiles**



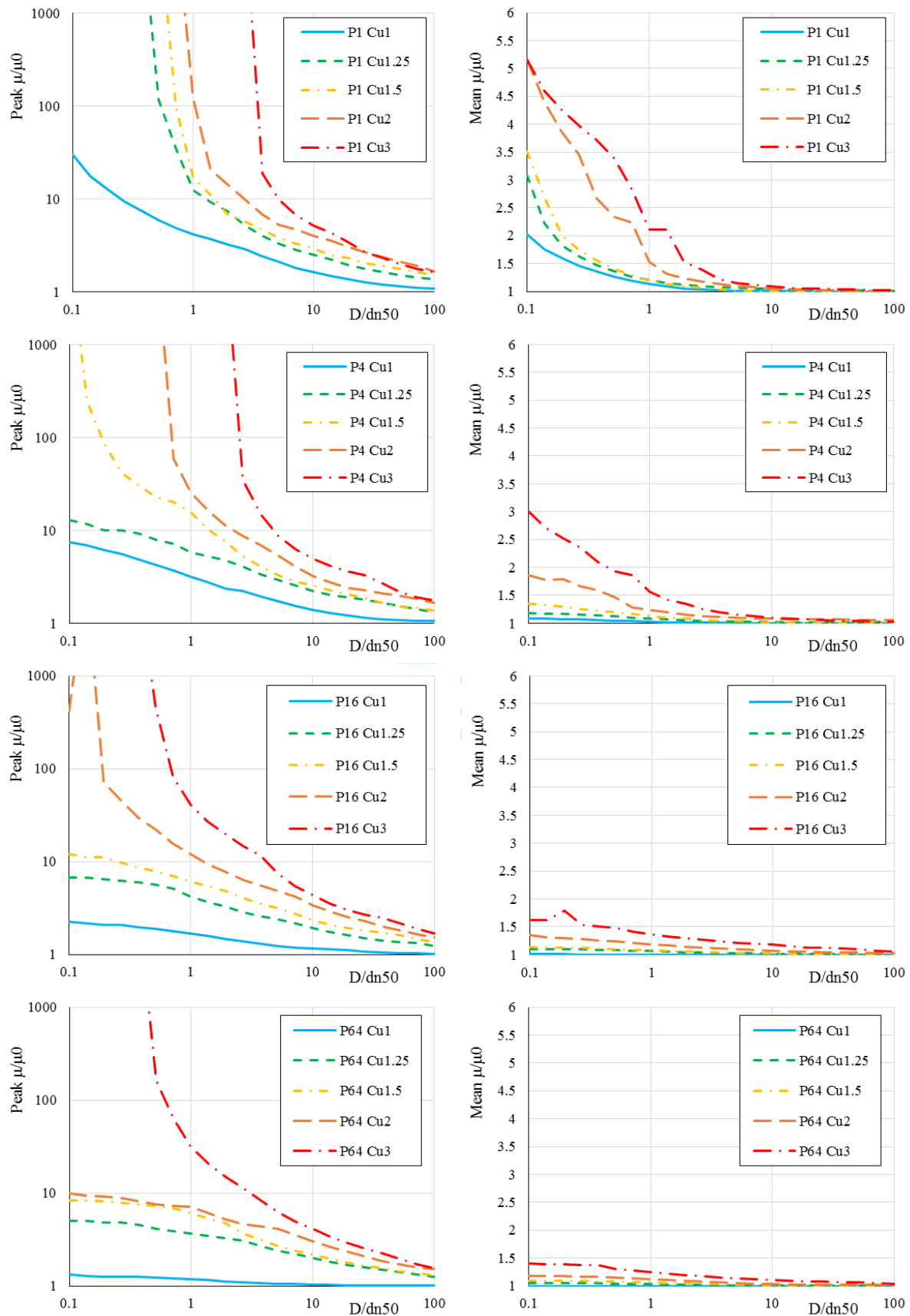
**Figure 5: Variation of pipe profile gradient maxima versus  $D/d$  and number of regular square profiles in skyline**



**Figure 6: Irregular 2D profiles:  $d_{n50} = 50$  mm; circular  $C_U = 1.3$ ; square  $C_U = 2.5$**

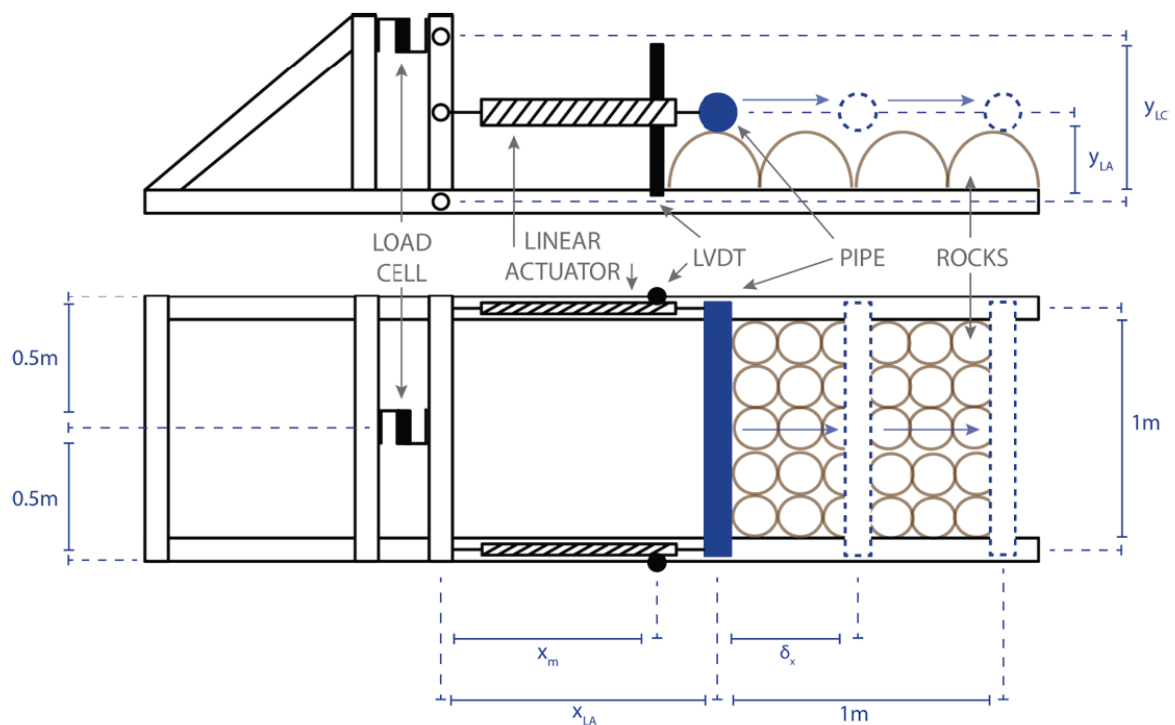


**Figure 7: Cumulative skyline profile formed from irregular circular particle size distribution ( $d_{n50} = 59.2$  mm,  $C_U = 1.36$ )**



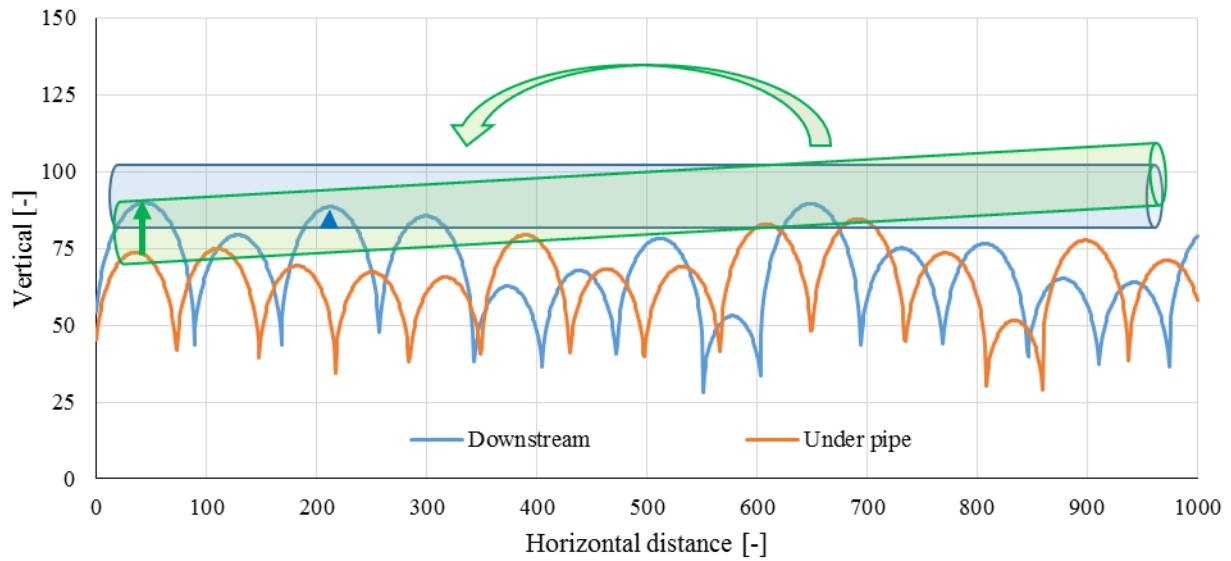
**Figure 8: Comparison of pipe mean and maximum friction ratios from irregular quasi-3D circular profiles with variation in number of profiles and  $C_u$**





**Figure 9: Photograph and schematic plan and elevation of lateral resistance test rig**





**Figure 10: Effect of pipe yawing on lateral resistance over finite irregular profiles. Blue arrow shows step height for irrotational pipe compared to green arrow for pipe able to ‘yaw’ ( $d_{n50} = 59.2$  mm,  $C_U = 1.36$ )**



**Figure 11: Four model pipes shown on round river stone test board**



a) Blue Gravel stone test board

b) White Round stone test board



c) White Angular stone test board

d) Brown Angular stone test board

**Figure 12: Stone test boards**

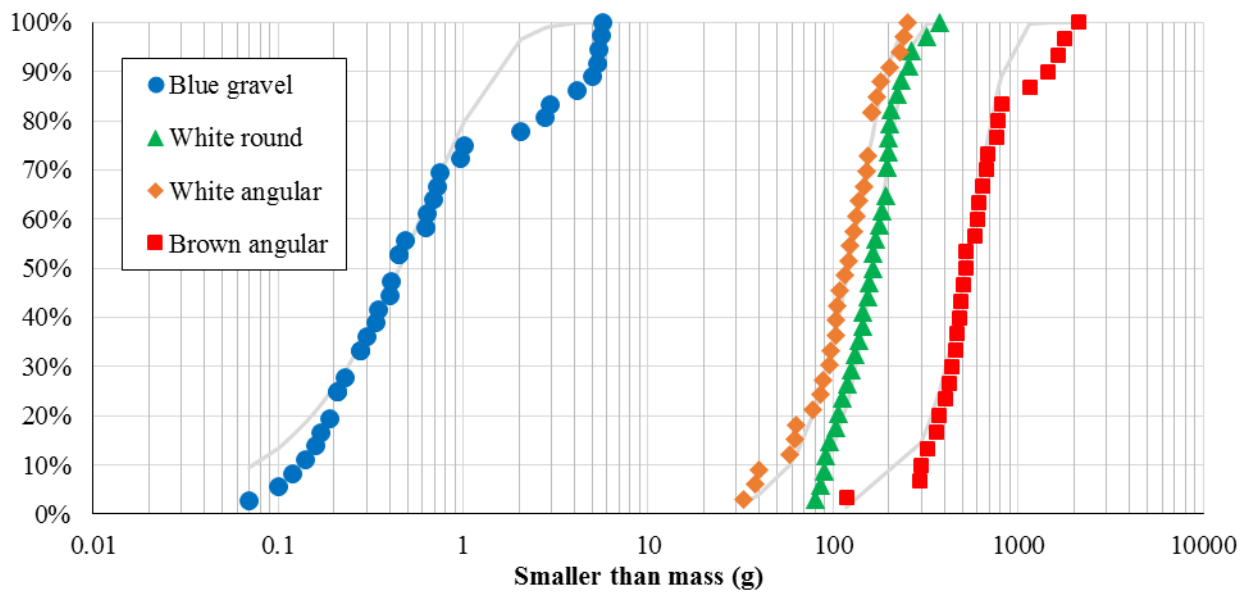
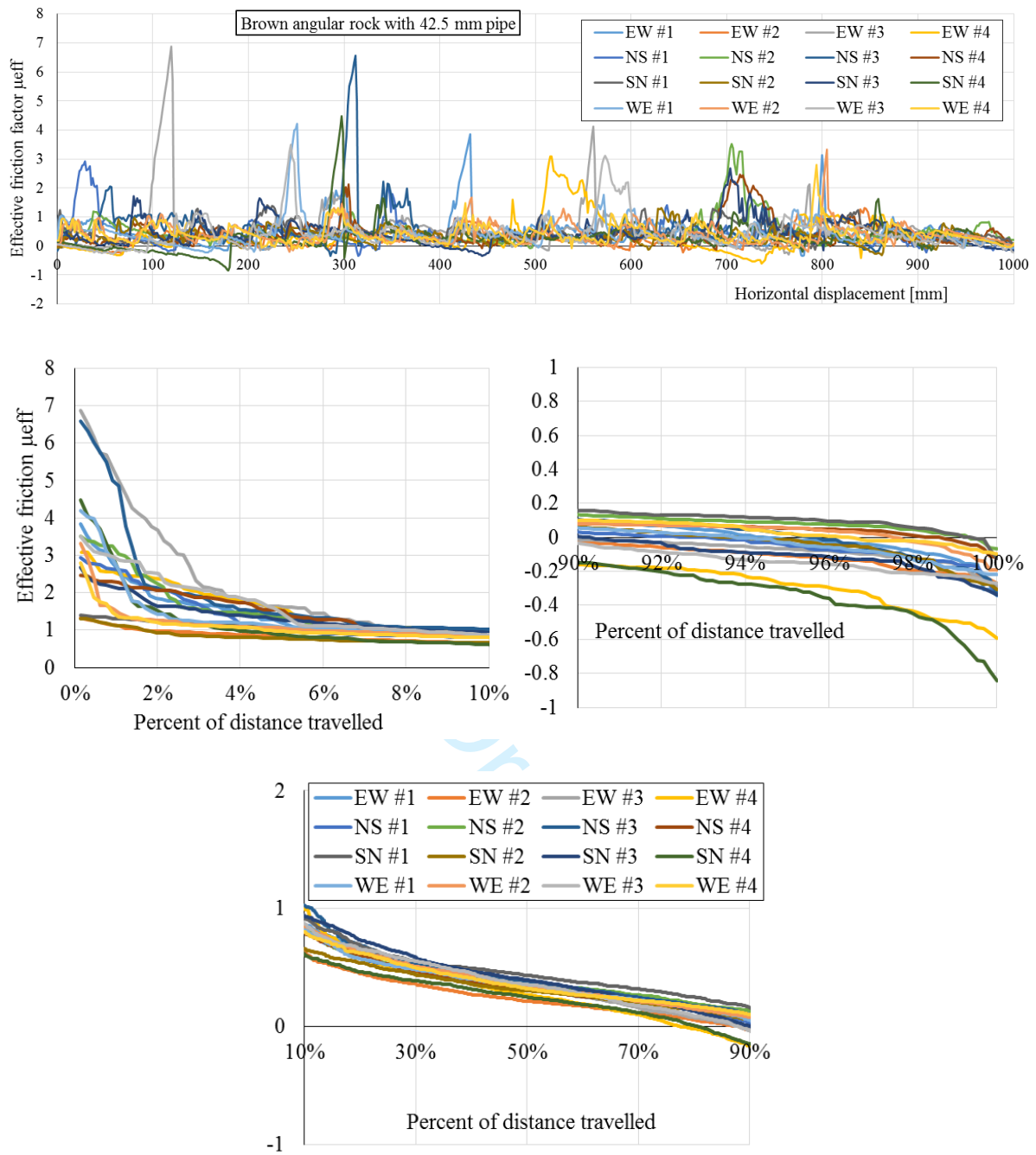


Figure 13: Rock sample grading curves (percentage passing by mass)

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**Figure 14: Effective friction factor vs displacement and sorted effective friction for Brown angular rock and 42.5 mm diameter pipe ( $D/d_{n50} = 0.72$ )**





**Figure 15: Testing issues – rock fracture (L) and rock/board adhesion failure (R)**

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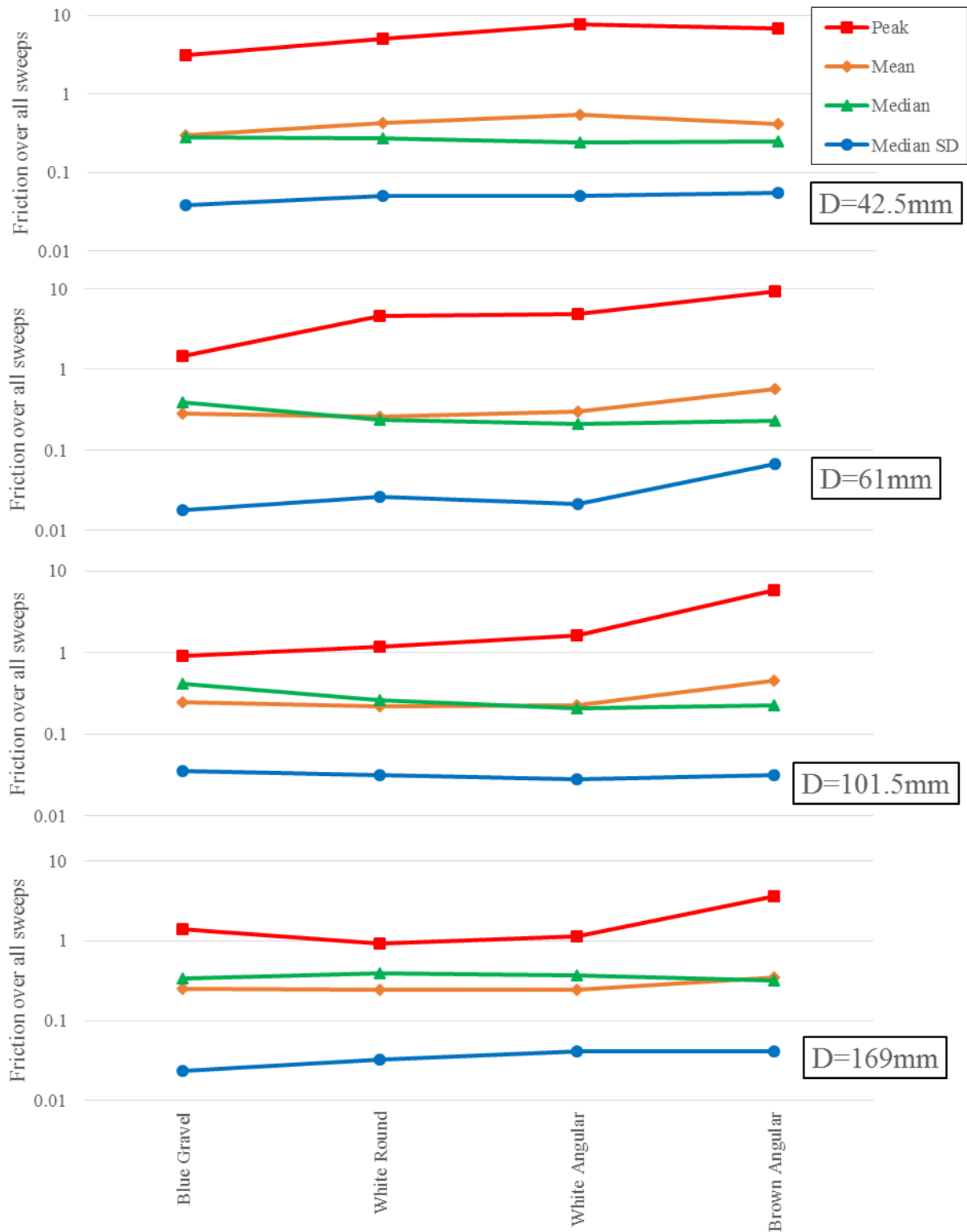
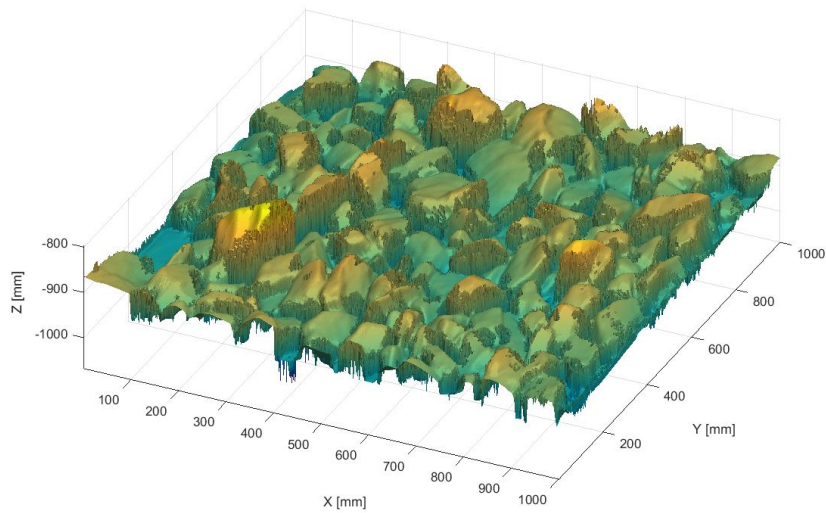
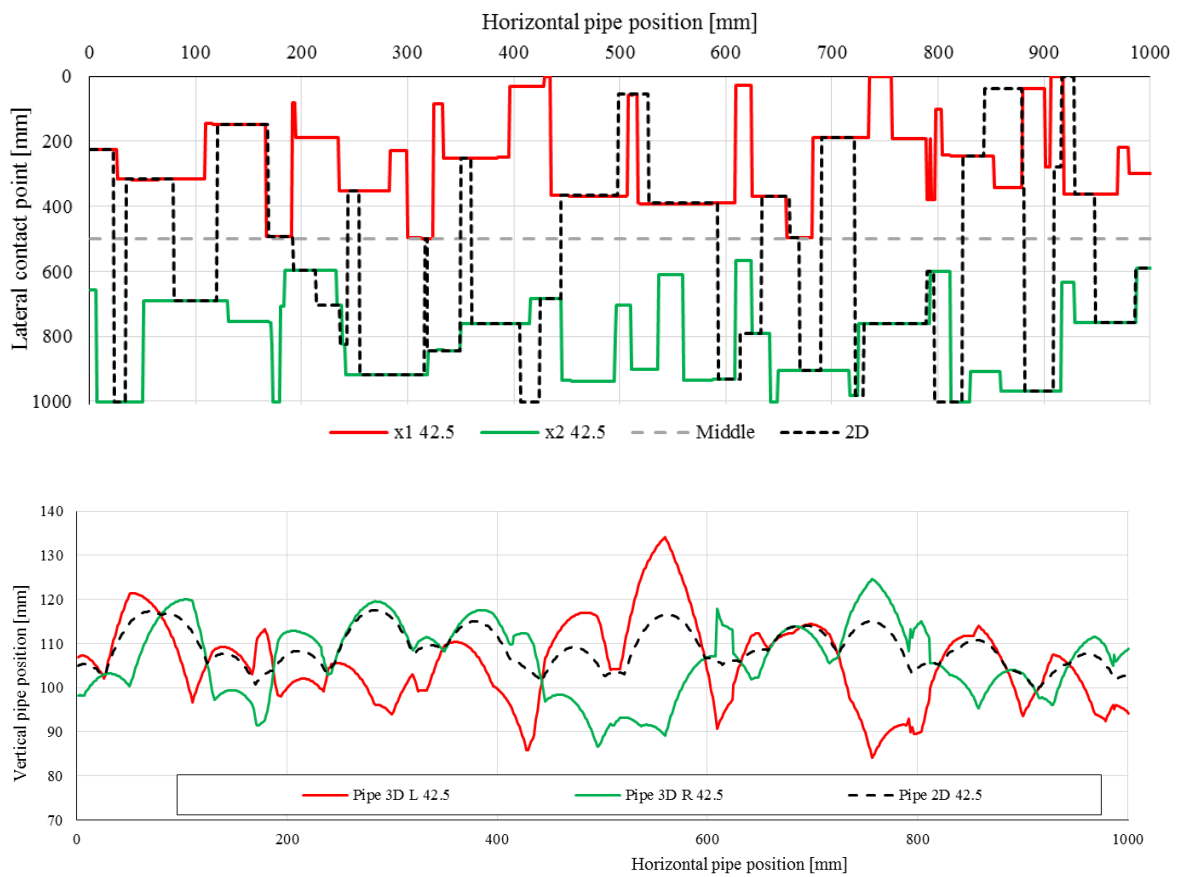


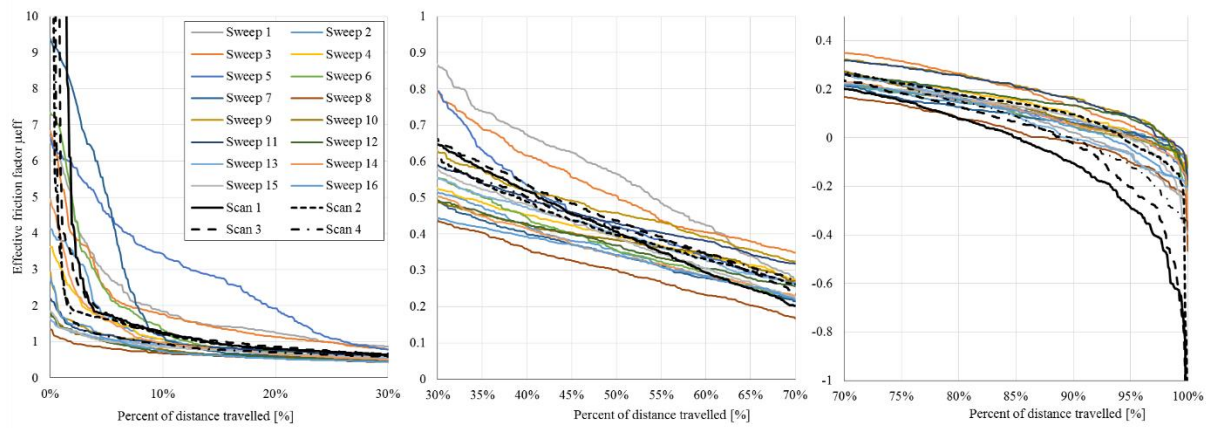
Figure 16: Physical test results summary



**Figure 17: Scan of Brown Angular rock**



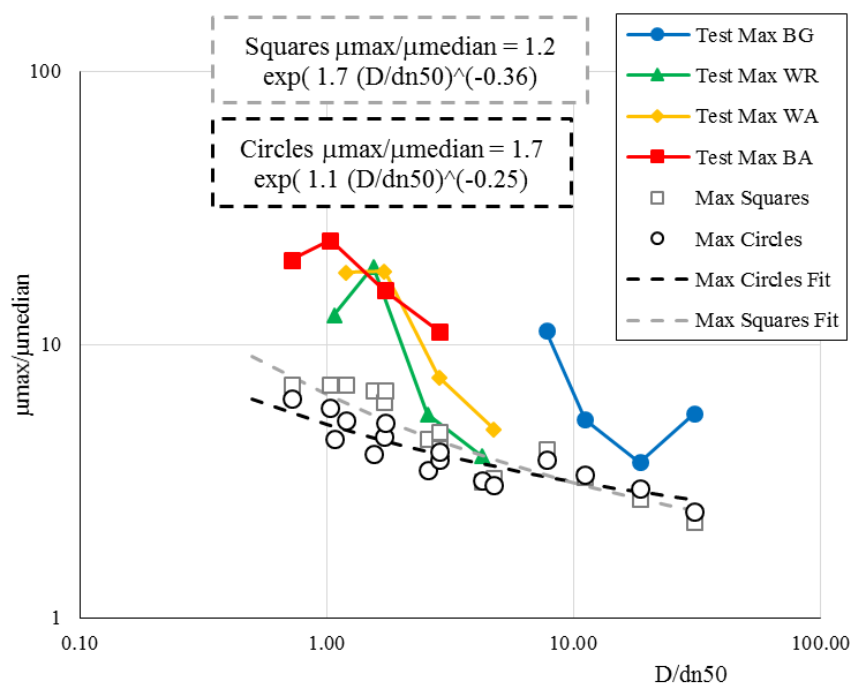
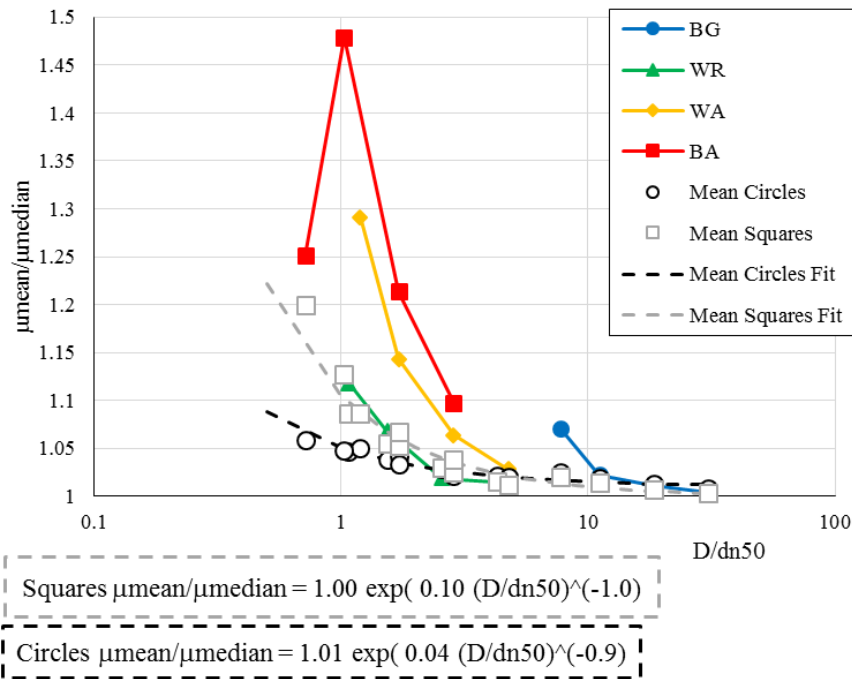
**Figure 18: Lateral contact location and pipe end vertical elevation for 2D versus 3D contact as a function of lateral pipe position (Brown angular rock scan 1)**



**Figure 19: Comparison of effective friction distribution from scanned and measured tests (Brown angular rock, 61 mm pipe)**

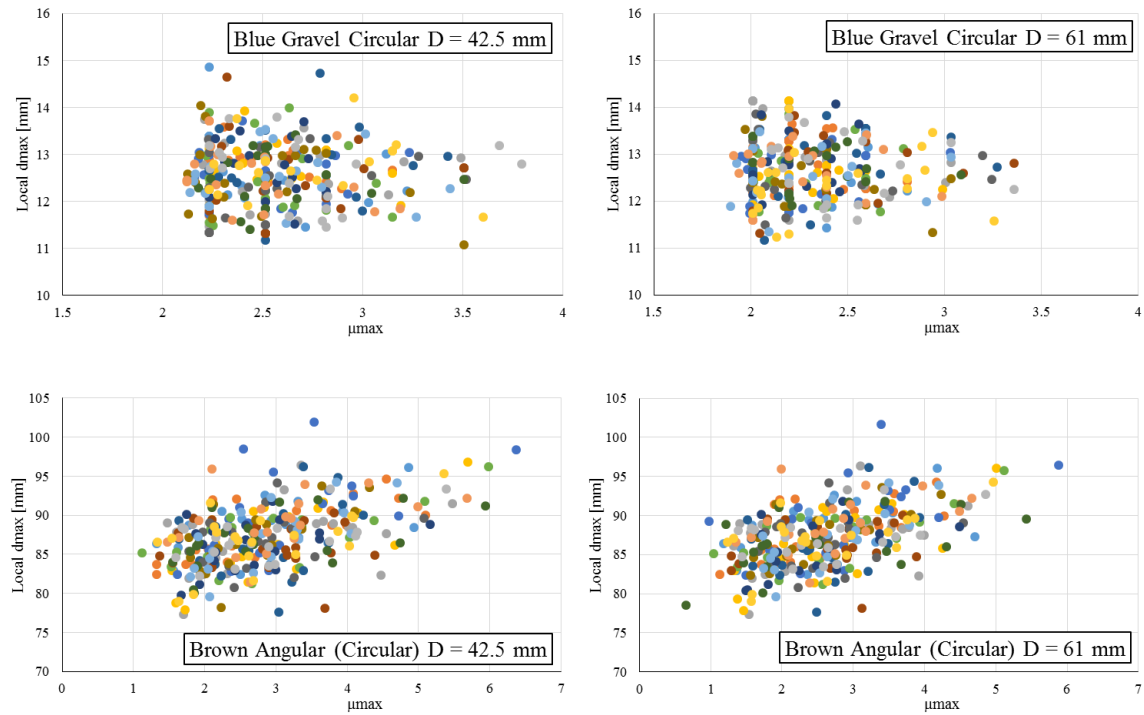
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**Figure 20: Comparison of maximum and mean friction amplification ratios for experimental and square / circular numerical results against  $D/d_{n50}$**

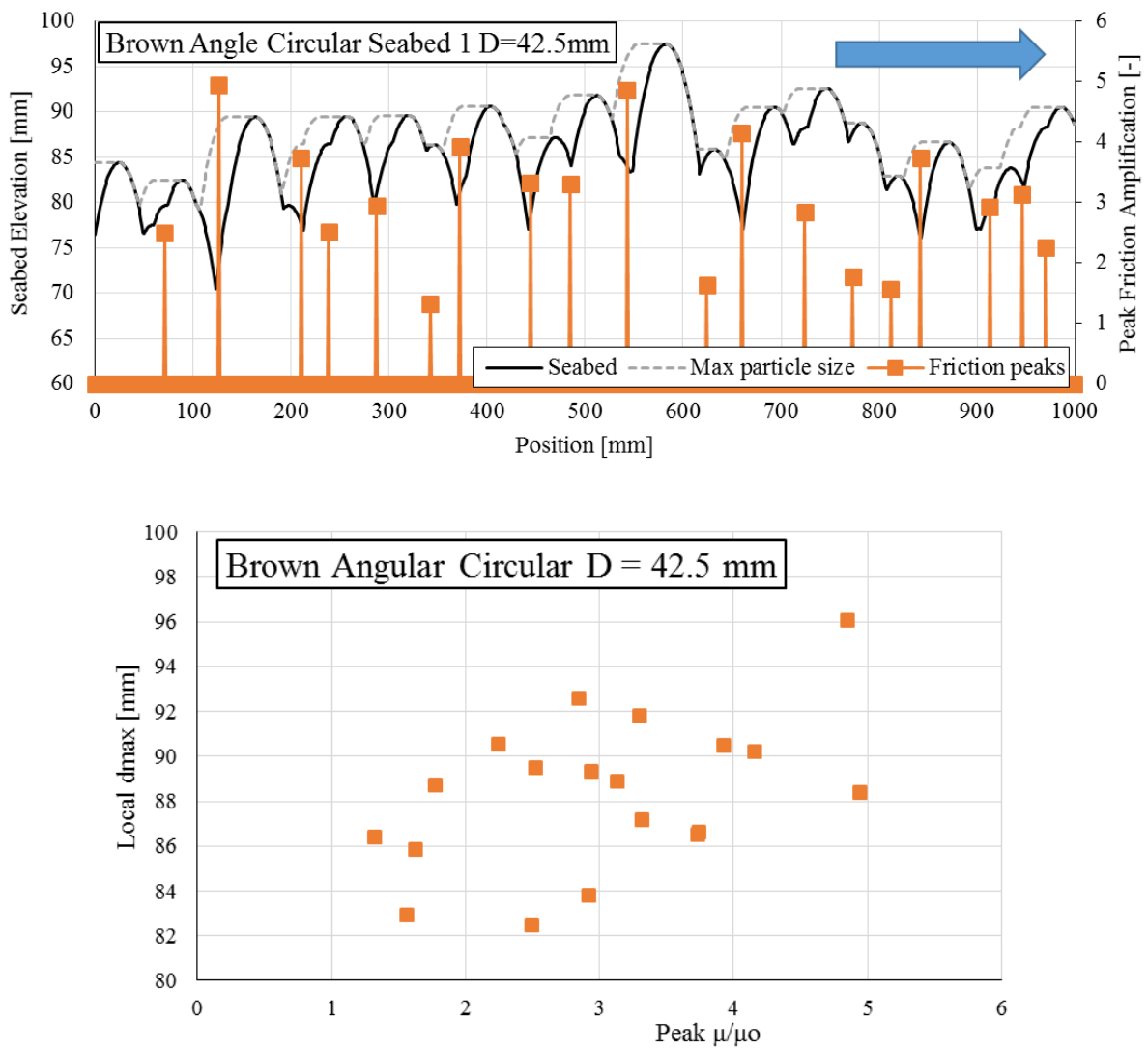
Note: it is envisaged that these plots ought NOT to be used in design practice given as-yet they only capture SOME of the necessary physics describing pipe-rock-fluid interaction



**Figure 21: Comparison of synthetic simulations using spherical particles of peak friction versus adjacent maximum rock diameter**

Note Blue gravel  $d_{n50} = 5.5$  mm,  $d_{max} = 18.1$  mm overall

Brown angular  $d_{n50} = 59.2$  mm,  $d_{max} = 102.5$  mm overall



**Figure 22: Correspondence between peak friction and particle size (Brown Angular rock simulation with circular particles D = 42.5 mm)**