

1 **Characterisation of Subfractions of Asphaltenes Extracted from an**  
2 **Oil Sand using NMR, DEPT and MALDI-TOF**  
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6 Ce Zheng<sup>1</sup>, Mingming Zhu<sup>1\*</sup>, Reza Zareie<sup>2</sup> and Dongke Zhang<sup>1</sup>

7 <sup>1</sup> Centre for Energy (M473) and <sup>2</sup> School of Chemistry and Biochemistry (M313), The  
8 University of Western Australia, 35 Stirling Highway, Crawley, WA 6009, Australia  
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24 \* Corresponding author:

25 Dr Mingming Zhu

26 Email: [mingming.zhu@uwa.edu.au](mailto:mingming.zhu@uwa.edu.au)

27 Phone: +61 8 6488 5528

28 Fax: +61 8 6488 7622  
29  
30

31 **Abstract**

32 This paper reports the findings of an investigation into molecular structures and properties of an oil  
33 sand asphaltene sample and its subfractions. The asphaltene sample extracted from Buton Oil Sand  
34 (Indonesia) was fractionated stepwise into four subfractions by precipitation in a binary solvent  
35 system made from mixtures of dichloromethane/*n*-heptane with volumetric ratios of 30/70, 20/80  
36 and 10/90, respectively. The average molecular structural parameters, including the average  
37 polycyclic aromatic hydrocarbon size, average side chain length and average molecular weight of  
38 the oil sand asphaltene sample and its subfractions, were measured and compared, using  
39 characterisation data obtained from nuclear magnetic resonance in combination with distortionless  
40 enhancement by polarisation transfer. The molecular weight distributions of the asphaltene samples  
41 were measured using a matrix-assisted laser desorption/ionisation time-of-flight mass spectrometry.  
42 The results indicated that the island molecular architecture was featured in all the asphaltene  
43 samples. The average polycyclic aromatic hydrocarbon size was found to be 7 rings for the least  
44 soluble subfraction, 6 rings for the other subfractions and the oil sand asphaltenes. The fractionation  
45 mechanism was dictated by polarity difference amongst subfractions as a result of relative  
46 luxuriance of the aliphatic parts. The use of <sup>13</sup>C NMR, DEPT and MALDI-TOF was shown to  
47 provide a useful means for characterisation and estimation of molecular structures of the  
48 asphaltenes.

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50 **Keywords:** Asphaltenes; DEPT; Molecular structure; NMR; Oil sand; Fractions

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## 52 **1. Introduction**

53 In dealing with heavy petroleum resources, asphaltenes are the most refractory compounds among  
54 all the petroleum fractions that often lead to many operational challenges from extraction to  
55 refining, exemplified by reservoir damage, well plugging, pipeline blockage, sediment formation in  
56 tanks and tankers and, during refining and processing, catalyst deactivation, reactor failure and plant  
57 outage (Ancheyta et al., 2010; Mullins, 2007). Although there has been a remarkable progress in the  
58 continuing effort to understand the fundamental properties of asphaltenes (Mullins, 2010, 2011;  
59 Mullins et al., 2012), the level of knowledge about the molecular structures of asphaltenes is still in  
60 a nascent stage. In the meantime, oil sand, also known as tar sand or bituminous sand, is an  
61 unconventional resource of liquid hydrocarbons that has received less attention. The bitumen  
62 extracted from oil sand is very viscous and sticky because of its high content of asphaltenes. While  
63 extensive studies have been carried out on petroleum asphaltenes and coal-derived asphaltenes  
64 (Andrews et al., 2011; Artok et al., 1999; Begon et al., 2003; Christopher et al., 1996; Clutter et al.,  
65 1972; Dickinson, 1980; Fergoug and Bouhadda, 2014; Kaminski et al., 2000; Masuda et al., 1996;  
66 Michon et al., 1997; Sanchez-Minero et al., 2013; Sheremata et al., 2004; Snape et al., 1979; Tojima  
67 et al., 1998; Trejo et al., 2007; Trejo et al., 2004), more effort has been called for in order to  
68 understand the chemical structures of asphaltenes in oil sand.

69 As a complex mixture, the polydispersity of asphaltenes has greatly hampered the progress of its  
70 characterisation studies. A great deal of work has been conducted on fractionation of asphaltenes in  
71 the past (Andersen et al., 1997; Andreatta et al., 2005; Buch et al., 2003; Buenrostro-Gonzalez et  
72 al., 2002; Dickie and Yen, 1967; Groenzin et al., 2003; Jacobs and Filby, 1983; Kaminski et al.,  
73 2000; Nalwaya et al., 1999; Ovalles et al., 2012; Petrova et al., 2013; Tojima et al., 1998; Trejo et  
74 al., 2004; Wiehe and Liang, 1996; Yang and Eser, 1999). In the literature, binary solvent systems  
75 are commonly used to fractionate asphaltenes. Tojima et al. (1998) used a toluene/heptane system to  
76 fractionate asphaltenes into heavy and light subfractions. In their findings, the least soluble  
77 subfraction defined as heavy asphaltenes, consisted of the most highly condensed polycyclic

78 aromatic hydrocarbons (PAHs) in the asphaltenes. Trejo et al. (2004) used the same binary solvent  
79 system to fractionate asphaltenes and compared the elemental composition across different  
80 fractions. Kaminski et al. (2000) reported fractionation work using a dichloromethane (DCM)/*n*-  
81 pentane system, in which the asphaltenes were firstly dissolved in DCM and then precipitated  
82 stepwise by adding increasing amounts of *n*-pentane. The precipitates were collected as subfractions  
83 by filtration when the DCM-to-*n*-pentane volumetric ratio reaching 30/70, 25/75, 20/80 and 10/90,  
84 respectively. Their results showed that the most polar subfraction (30/70) was not as soluble as the  
85 least polar subfraction (10/90). In addition, they also reported that unfractionated asphaltene  
86 samples appear to behave as a sum of their subfractions.

87 Many previous reports have discussed the trends amongst subfractions when using similar binary  
88 solvent systems, for example aromaticity was found to monotonically increase across subfractions  
89 with decreasing solubility and H/C ratio (Buch et al., 2003; Buenrostro-Gonzalez et al., 2002;  
90 Jacobs and Filby, 1983; Kaminski et al., 2000; Ovalles et al., 2012; Petrova et al., 2013; Tojima et  
91 al., 1998; Trejo et al., 2004; Yang and Eser, 1999). However effort to compare the subfractions in  
92 terms of their structural differences and use this approach to further probe the  
93 fractionation/precipitation mechanisms are scarce.

94 Among the spectroscopic techniques applied in characterising complex compounds, nuclear  
95 magnetic resonance (NMR) has been shown to have the potential for revealing the chemical nature  
96 of asphaltenes. Clutter and co-workers (1972) developed a technical method and protocol to  
97 characterise petroleum fractions with  $^1\text{H}$  and  $^{13}\text{C}$  NMR. They examined “aromaticity” through  
98 measuring aromatic carbon as a fraction of the total carbon, and brought forward a series of  
99 structural parameters, such as average number of aromatic rings and average number of alkyl  
100 substituents of an “average molecule”. Snape and Ladner (1979) compiled a survey of  $^{13}\text{C}$  chemical  
101 shift in aromatic hydrocarbons in coal-derived materials. Since then a large body of literature on  
102 asphaltene molecular parameters using  $^{13}\text{C}$  NMR has been reported (Artok et al., 1999; Begon et al.,  
103 2003; Buenrostro-Gonzalez et al., 2001; Calemma et al., 1995; Christopher et al., 1996; Dickinson,

104 1980; Fergoug and Bouhadda, 2014; Gillet et al., 1980; Korb et al., 2013; Masuda et al., 1996;  
105 Michon et al., 1997; Myhr et al., 1990; Netzel, 1987; Ostlund et al., 2004; Rafenomanantsoa et al.,  
106 1994; Sanchez-Minero et al., 2013; Sheremata et al., 2004; Storm et al., 1994; Trejo et al., 2007;  
107 Yoshida et al., 1980). Likewise, since its introduction in 1988, laser desorption ionisation mass  
108 spectrometry has been increasingly used in the study of heavy fractions of petroleum (Karas and  
109 Hillenkamp, 1988). Because this technique tends to use a laser intensity that is low enough to  
110 vaporise the compounds without breaking their covalent bounds, it is considered a “soft” mass  
111 spectrometric technique. Since the method is also used to analyse compounds that display low light  
112 absorption and therefore require the addition of a laser-absorbing matrix, it is often known as the  
113 matrix-assisted laser desorption ionisation (MALDI) mass spectrometry. In practice, for high laser-  
114 absorbing samples such as asphaltenes, matrices are found not to be necessary (Acevedo et al.,  
115 2005; Apicella et al., 2007; Martínez-Haya et al., 2007; Pantoja et al., 2013; Rizzi et al., 2006;  
116 Tanaka et al., 2004).

117 In the present contribution, asphaltenes extracted from an oil sand sample was fractionated using a  
118 binary solvent system. The chemical structures of the asphaltene sample, together with its four  
119 subfractions, were studied using  $^{13}\text{C}$  NMR in combination with DEPT-135, a method reported in  
120 our previous work (Zheng et al., 2017). A set of average structural parameters, such as average  
121 polycyclic aromatic hydrocarbon (PAH) size, average side chain length and average molecular  
122 weight (AMW), were calculated based on the NMR and DEPT results. MALDI-TOF was also  
123 employed to measure the molecular weight distributions (MWDs) of the asphaltene sample and its  
124 subfractions, in order to assist the interpretation of the  $^{13}\text{C}$  NMR spectra. It was expected that the  
125 combined use of the three techniques would provide further insights into the understanding of the  
126 structural differences of the subfractions and shed new light on the fractionation mechanisms of the  
127 asphaltenes in the binary solvent system.

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## 131 **2. Experimental**

### 132 *2.1 Materials and sample preparation*

133 The asphaltene sample was extracted from Buton Oil Sand from Indonesia. The “run of mine” oil  
134 sand was crushed and pulverised into fine powders with sizes  $<200\ \mu\text{m}$ . The crushed sample was  
135 then subjected to solvent extraction using toluene in a Soxhlet extractor to obtain the organic  
136 fraction. The asphaltenes were isolated from the organic fraction in accordance with the method  
137 prescribed by the ASTM D-3279. Briefly, a sample of the organic fraction of the oil sand was firstly  
138 subjected to Soxhlet extraction using *n*-heptane as the solvent for at least 48 hours until no  
139 discoloration was observed in the reflux. The extraction was then continued by replacing *n*-heptane  
140 with toluene as the solvent for a further period of at least 8 hours till no further discoloration. The  
141 final extract was filtered, evaporated to dryness at  $40^\circ\text{C}$  using a rotary evaporator and further dried  
142 at  $100^\circ\text{C}$  under vacuum in an electric oven. The asphaltene sample thus prepared, denoted as *Buton*,  
143 was collected in clean glass vials, sealed and stored in darkness before further characterisation and  
144 analysis.

145 The Buton asphaltene was subjected to fractionation following a procedure as illustrated in Figure  
146 1. Briefly, the asphaltene sample was initially dissolved in DCM and stirred for 2 hours. A solvent-  
147 to-sample ratio of 80:1 by weight was used, which had proven to be sufficient to fully dissolve the  
148 sample in preliminary filtration trials prior to this research. Subsequently, *n*-heptane was slowly  
149 added into the solution under stirring to form a binary solvent with a volumetric ratio of DCM/*n*-  
150 heptane being 30/70. The mixture was left stirring for further 24 hours. The *first fraction* (F1), also  
151 known as the *least soluble fraction*, was recovered by filtering the mixture through Waterman GF/B  
152 glass microfiber filters, while the filtrate in the DCM/*n*-heptane solvent was evaporated in a rotary  
153 evaporator to recover the soluble fraction. For the subsequent fractions, the same separation  
154 procedure was repeated except the ratio of the binary solvent was in turn changed to 20/80 and  
155 10/90. The insoluble fractions isolated from 20/80 and 10/90 were denoted as the *second fraction*

156 (F2) and *the third fraction* (F3), respectively. The soluble fraction from the last separation step was  
157 *the most soluble fraction* and was assigned as the *fourth fraction* (F4).

## 158 **2.2 Characterisation and Analysis**

159 The organic elemental compositions of the asphaltene sample and its fractions were acquired using  
160 a PerkinElmer 2400 Series II CHNS elemental analyser following ASTM D-5291. The analyses  
161 were repeated three times and the results are listed in Table 1.

162 The liquid  $^{13}\text{C}$  NMR spectroscopy analyses were performed using a Varian 400 NMR spectrometer  
163 operating at 100.55 MHz. When preparing a sample for the NMR analysis, 80 mg of each  
164 asphaltene sample was dissolved in 0.8 ml of Deuterated chloroform ( $\text{CDCl}_3$ ). Chromium  
165 acetylacetonate ( $\text{Cr}(\text{acac})_3$ ) was added into the solution at a concentration of 0.01M to ensure  
166 complete nuclear magnetic moment relaxation between pulses. In order to obtain quantitative  
167 results of  $^{13}\text{C}$  NMR, the inverse gated decoupling technique was applied to suppress the Nuclear  
168 Overhauser Effect (NOE). The spectra were collected with a  $45^\circ$  flip angle, 1.05 seconds  
169 acquisition time, 6.50 seconds recycle delay, 24,510 Hz spectral width and 30,000 scans.  $\text{CDCl}_3$   
170 peaks at 77.16 ppm were employed as the reference.

171 The DEPT  $135^\circ$  (DEPT-135) spectroscopy analyses were performed with the same samples and the  
172 same Varian 400 NMR spectrometer, but operated using the following parameters: a polarisation  
173 transfer delay corresponding to  $J = 140$  Hz and a relaxation delay of 6.50 seconds. To obtain  
174 sufficient signal-to-noise ratios, 7,500 scans were collected.

175 The MWDs of the asphaltene sample and the fractions were obtained using an Ultraflex III  
176 MALDI-TOF mass spectrometer (Bruker Daltonics, Bremen, Germany). Runs with/without a  
177 matrix were carried out in parallel to examine the effect of the matrix on the results. In the case of  
178 the matrix being employed, a solution of 90% v/v acetonitrile (ACN) and 10% v/v saturated  $\alpha$ -  
179 cyano-4-hydroxycinnamic acid (CHCA) in TA90 solution (90% ACN, 0.01% TFA) were used as the  
180 matrix to disperse the asphaltene sample. For a typical run, 1  $\mu\text{l}$  of 0.01  $\text{mg}\cdot\text{ml}^{-1}$  asphaltenes in a  
181 DCM solution was spotted on the plate. The sample was ionised in the positive ion mode. Spectra

182 were collected in the reflector mode with laser intensity in the range of 20% - 25% and upon  
183 accumulation of 1,000 shots. The detection range was from 0 to 4,000Da but the mass signals below  
184  $m/z = 200$  were suppressed to eliminate the background noise.

185

## 186 **3. Results and Discussion**

### 187 **3.1 Fractionation Yield**

188 The yields and the elemental analysis results for the subfraction samples are shown in Table 1,  
189 together with the calculated H/C ratios. The process loss was about 5% by weight, which was  
190 incurred during the recovery of F1. It was shown that oxygen enriched in the least soluble  
191 subfraction F1. The H/C ratios of the subfractions increased from F2 to F4, while which of F1 and  
192 Buton fell in between.

### 193 **3.2 Aromaticity**

194 An important structural parameter, the aromaticity ( $f_{ar}$ ) was determined using  $^{13}\text{C}$  NMR. This  
195 parameter has been used widely in the literature (Fergoug and Bouhadda, 2014; Luo et al., 2010;  
196 Ostlund et al., 2004; Sanchez-Minero et al., 2013) to gauge the aromatic fraction. Figure 2 shows  
197 typical  $^{13}\text{C}$  NMR spectra of Buton and its subfractions. The  $^{13}\text{C}$  NMR spectra were separated into  
198 the aromatic region from 100 to 160 ppm and the aliphatic region from 0 to 60 ppm. Based on this  
199 method,  $f_a$  can be calculated from Dickinson's equation (Dickinson, 1980; Knight, 1967):

$$200 \quad f_a = \frac{C_{ar}}{C_{ar} + C_{al}} \quad (1)$$

201 where  $C_{ar}$  and  $C_{al}$  stand for the integrations of the aromatic region and the aliphatic region,  
202 respectively. The calculated  $f_a$  values are listed in Table 2.

203 As shown in Row 1 of Table 2, the aromaticity of Buton revealed as an average of the aromaticities  
204 of its four subfractions. The aromaticities of the subfractions followed an increasing order of  $F2 >$   
205  $F1 > F3 > F4$ , where the aromaticity of F1 (44.21) is similar to that of Buton (44.44). The H/C  
206 atomic ratios shown in Table 1 followed the same pattern.

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208

### 209 **3.3 Carbon types**

210 As shown in Figure 2, the  $^{13}\text{C}$  NMR spectra suffered severe overlaps in both the aromatic and  
211 aliphatic regions. In order to distinguish the different types of carbon, DEPT-135 analyses were  
212 performed. Figure 3 shows the typical DEPT-135 spectra of the asphaltene samples and Figure 4  
213 shows their corresponding spectra in the aliphatic region with a chemical shift from 0 ppm to 60  
214 ppm. As commonly known (Doddrell et al., 1982), CH and  $\text{CH}_3$  peaks are shown facing upwards, in  
215 a direction opposite to that of the  $\text{CH}_2$  in a DEPT spectrum Which could be used to help resolve  
216 overlapping peaks in aliphatic region of  $^{13}\text{C}$  NMR spectra (Michon et al., 1997). As shown in  
217 Figure 4, the assignments of the regions representing different aliphatic carbons were determined  
218 with the help of corresponding DEPT-135 spectra. The assignments of the chemical shift regions  
219 for aliphatic carbons are summarised in Table 3.

220 However, it was still difficult to resolve the broad peaks in the aromatic region (100 to 160 ppm), as  
221 both the DEPT-135 and  $^{13}\text{C}$  NMR spectra suffered from the overlap of both protonated and  
222 bridgehead carbons as shown in Figures 2 and Figure 3. In literature reports, the chemical shift  
223 regions of bridgehead carbon region was assigned up to 137 ppm (Masuda et al., 1996; Michon et  
224 al., 1997; Rafenomanantsoa et al., 1994; Yoshida et al., 1980), as it was believed that hetero  
225 aromatic rings and hydroxyl group could push the chemical shift of some bridgehead carbon as far  
226 as 137 ppm in spectra. Considering the relative rarity of hetero aromatic ring in asphaltenes, the  
227 upper limit of the bridgehead carbon region was assigned to 133 ppm as suggested by Artok et al  
228 (1999) and Andrews et al (2011). The signals of the protonated aromatic carbon appeared at the  
229 chemical shift ranging from 100 to 130 ppm, overlaying with the potential region of bridgehead  
230 carbon with the chemical shift ranging from 124 to 133 ppm. Some literature reports tend to simply  
231 define the two regions at a cut-off point of 126.5 ppm (Begon et al., 2003; Fergoug and Bouhadda,  
232 2014; Masuda et al., 1996), that is, the chemical shift from 100 to 126.5 ppm was deemed to belong  
233 to the protonated aromatic carbon region and that from 126.5 to 133 ppm was regarded as the

234 bridgehead carbon region. This could be very biased and lead to a large deviation from the true  
235 composition of asphaltenes (Andrews et al., 2011).

236 In the present study, the method proposed by Andrews et al (2011) was adopted to determine the  
237 amount of protonated aromatic carbon using both DEPT-135 spectra and  $^{13}\text{C}$  NMR spectra. Instead  
238 of using a cut-off point, the intensity of protonated aromatic carbon was mathematically determined  
239 and subtracted from the overlapping region. Briefly, the intensity ratio between paraffinic methyl  
240 carbon and protonated aromatic carbon in the DEPT spectrum,  $CH_3\%/C_{pr}\%$  as shown in Table 3, was  
241 firstly obtained. In order to make sure  $CH_3\%/C_{pr}\%$  is of quantitative significance, the ratio was  
242 further normalised considering the polarisation intensities for carbons with different number of  
243 protons attached, as described below (Friebolin and Becconsall, 1993):

244  $CH: \text{Signal Intensity} = [\gamma(^1H)/\gamma(^{13}C)] \sin\theta;$

245  $CH_2: \text{Signal Intensity} = [\gamma(^1H)/\gamma(^{13}C)] \sin 2\theta;$

246  $CH_3: \text{Signal Intensity} = [3\gamma(^1H)/4\gamma(^{13}C)] (\sin\theta + \sin 3\theta)$

247 where  $\gamma$  is the magnetogyric ratio of the nucleus and  $\theta$  is the pulse angle. Then the  $CH_3\%/C_{pr}\%$  ratio  
248 was applied to the  $^{13}\text{C}$  NMR spectrum of the same sample to calculate the intensity of the  
249 protonated aromatic carbon in the overlapping region, using the intensity of paraffinic methyl  
250 carbon in the  $^{13}\text{C}$  NMR spectrum. The assignments of the regions representing different aromatic  
251 carbons are also summarised in Table 3.

252 Based on the chemical shift regions defined in Table 3, the average percentages of various aliphatic  
253 carbon and aromatic carbon were calculated by integrating the corresponding peaks on the  
254 quantitative  $^{13}\text{C}$  NMR spectra. The results are listed in Rows 2-11 of Table 2. It is evident that the  
255 percentage of phenolic aromatic carbon ( $C_{phen}\%$ , Row 11 in Table 3) in F1 was almost tripled in  
256 comparison to that of the other subfractions, which corresponded to a much higher oxygen content  
257 as shown in Table 1.

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259

### 260 3.4 PAH size

261 After subtracting the intensity of the protonated carbon in the overlapping region (100 to 133 ppm),  
262 the percentage ( $X_b$ ) of bridgehead carbon ( $C_{br\%}$ ) to the total aromatic carbon ( $C_{ar\%}$ ) was obtained. In  
263 order to estimate the average size of the PAH cores, the theory developed by Solum et al. (1989)  
264 was espoused in the present work, which established the connection between  $X_b$  and the number of  
265 aromatic carbon per PAH core ( $C_{ar}$ ), as represented by:

$$266 X_b = \frac{1 - \tanh\left(\frac{C_{ar} - C_0}{m}\right)}{2} X'_b + \frac{1 + \tanh\left(\frac{C_{ar} - C_0}{m}\right)}{2} X''_b \quad (2)$$

267 where  $C_0$  and  $m$  are adjustable parameters to give the best fit of the dependence of  $X_b$  on  $C_{ar}$ ;  
268 optimal values of  $C_0 = 19.57$  and  $m = 4.15$  were determined for all of the aromatic hydrocarbons  
269 projecting on to Coronene;  $X'_b$  ( $1/2 - 3/C_{ar}$ ) and  $X''_b$  ( $1 - \sqrt{6}/\sqrt{C_{ar}}$ ) represent the molar fractions  
270 of the bridgehead carbon in catacondensation and in pericondensation (Solum et al., 1989).

271 The calculated  $X_b$  and  $C_{ar}$  values for Buton and its subfractions are listed in Row 12-13 of Table 3.  
272 The  $X_b$  values obtained for Buton and its subfractions were roughly the same, except which for F1,  
273 as shown in Row 12 of Table 3. The  $C_{ar}$  obtained as shown in Row 13 of Table 3 showed that the  
274 differences among the subfractions were less than two carbons, indicating roughly the same average  
275 PAH size.

### 276 3.5 Molecular architecture

277 From the average numbers of aromatic carbon ( $C_{ar}$ ) estimated as shown in Row 13 of Table 2, an  
278 average PAH size of 5 or 6 rings was estimated for Buton and most of its subfractions (F2 to F4)  
279 depending on the type of condensation. The average PAH size for F1 could be 6 or 7 rings, slightly  
280 larger than those of the other fractions. In theory, the pericondensation is predominant when  $X_b$  is  
281 larger than 0.49 ( $C_{ar} \geq 24$ ), while the catacondensation is the governing mechanism when  $X_b$  is  
282 smaller than 0.29 ( $C_{ar} \leq 14$ ) (Solum et al., 1989). Considering asphaltenes are a group of different  
283 compounds containing PAH cores, the  $C_{ar}$  values of 22~24 for Buton and its subfractions indicated  
284 that an overwhelming majority of the mixed compounds were in the form of pericondensation. Thus  
285 it is believed that an average of 6 fused rings PAH in F2 to F4, and possibly a larger PAH core with

286 an average of 7 fused rings in F1 as a result of the two additional aromatic carbons. The average  
 287 PAH size of Buton was, as a sum of its subfractions, 6 fused rings in the form of pericondensation.  
 288 A great deal of literature publications have reported similar results on the molecular architecture of  
 289 asphaltenes isolated from both coal and petroleum (Andrews et al., 2011; Groenzin and Mullins,  
 290 1999; Groenzin and Mullins, 2000, 2007, 2010; Mullins et al., 2012). However, the detailed PAH  
 291 structures of asphaltenes are very complicated. There was a high level of heteroatoms such as  
 292 oxygen and sulphur, in the asphaltenes, which could present in aromatic rings as furan and  
 293 thiophene.

294 In order to further examine the average molecular architecture for the asphaltene samples, based on  
 295  $C_{ar}$ ,  $f_a$  and  $C_{sub\%}$  (as defined in Table 3), the average number of aliphatic substitutions per PAH core  
 296 ( $n_{sub}$ ) and the total number of the aliphatic and aromatic carbons ( $C$ ) were calculated using the  
 297 following equations:

$$298 \quad n_{sub} = (C_{sub\%}/f_a) \times C_{ar} \quad (3)$$

$$299 \quad C = C_{ar}/f_a \quad (4)$$

300 Knowing  $C$  and  $n_{sub}$ , the average number of aliphatic carbon per cluster ( $C_{al}$ ) and the average  
 301 carbon number per alkyl chain ( $N$ ) were calculated as follows:

$$302 \quad C_{al} = (1 - f_a) \times C \quad (5)$$

$$303 \quad N = C_{al}/n_{sub} \quad (6)$$

304 The calculated results are listed in Rows 14-17 in Table 2.

305 It is noted that the average molecular parameters obtained from the NMR spectra followed a  
 306 monotonic increasing or decreasing trend from F2 to F4. F1 was incongruous in the rows which will  
 307 be discussed in the following paragraphs. From F2 to F4, the aliphatic parts became larger while the  
 308 sizes of PAH cores stayed the same (Row 16 and Row 13 in Table 2) in an “average molecules”,  
 309 while the degree of aliphatic substitution on the PAH changed marginally (Row 14 in Table 2). As a  
 310 result, the average chain length of the aliphatic substitution increased significantly from 2.90 to 3.96  
 311 as shown in Row 17 of Table 2.

312 Hypothetically, the asphaltene molecules were attracted together as a result of  $\pi$ - $\pi$  stacking through  
313 the PAH cores while this attractive forces were offset by repulsive forces generated from the  
314 aliphatic substituents (Hashmi and Firoozabadi, 2013; Mullins, 2010; Mullins et al., 2012). The  
315 intermolecular forces amongst the asphaltene compounds in each fraction must be attractive and  
316 greater than the “pulling-apart” capability of the particular binary solvent system (Mullins, 2010).  
317 In other words, the fractionation of Buton in the DCM/*n*-heptane binary solvent system was  
318 achieved by sorting the asphaltene molecules with different levels of “net intermolecular attractive  
319 force”. In the present study, the “net intermolecular attractive force” was found to be determined  
320 primarily by the luxuriance of the aliphatic part, as the PAH sizes were roughly the same across the  
321 subfractions.

322 As the least soluble fraction in this binary solvent system, F1 was expected to show the highest  
323 aromaticity, or in other words the highest “net intermolecular attractive force”. However, the  
324 calculation results from NMR showed that F1 defied the trend observed in F2 to F4. It could be a  
325 result of the notably high heteroatom contents found in F1 as shown in Table 1. Sulphur and oxygen  
326 could potentially promote intermolecular bonding, which favoured aggregation (Foster, 1974;  
327 Murgich, 2002; Murgich et al., 1996) and therefore made some compounds precipitate in F1 with  
328 relatively lower aromaticity. More importantly, the compounds in F1 could be much more polarised  
329 than the ones in the other fractions due to the presence of some functional groups, such as hydroxyl,  
330 carboxylic and thiol. The presence of these functional groups would make the compounds too polar  
331 to stay in a nonpolar solvent, therefore precipitation happened as soon as *n*-heptane was added into  
332 the system. The high abundance of phenolic aromatic carbon in F1 as shown in Row 11 of Table 2  
333 provided the evidence for the existence of hydroxyl groups. As these functional groups could be  
334 present in the asphaltene molecules with any type or size, the average molecular parameters of F1,  
335 not surprisingly, were similar to those of Buton as clearly shown in Table 2. It is believed that a  
336 portion of the compounds in F1 was precipitated following the “net intermolecular attractive force”  
337 theory as discussed previously, and consequently showing a relatively larger average PAH size and

338 the shortest average substitution length; the other part of the compounds in F1 was precipitated due  
339 to the presence of polar functional groups, thus some of the average molecular parameters seemed  
340 “abnormal” in the group of subfractions. Leaving F1 aside, the average molecular parameters from  
341 F2 to F4 followed either an increasing or a decreasing pattern which was in consistence with the  
342 hypothesis of the “net intermolecular attractive force” theory.

343 Furthermore, there was a roughly 5 wt% loss during the fractionation process. It mainly happened  
344 during the course of recovering F1 from the glassfibre filter paper, as the isolated F1 did not  
345 completely dissolve back into the solution. In the solubility tests, the DCM solution with the same  
346 asphaltene concentration had left nothing on filters, which eliminates the possibility that asphaltenes  
347 were not fully dissolved at the very beginning. Other researchers have reported similar observations  
348 in the past (Andersen et al., 1997; Nalwaya et al., 1999), arguing that precipitation of asphaltenes  
349 was not a reversible process. Hirschberg et al. (1984) suggested that the process is reversible but  
350 very slow. In fact, even boiling toluene in which Buton was initially isolated, was not able to  
351 dissolve the insoluble residue on filter paper. This clearly indicated that the intermolecular forces  
352 had changed amongst those insoluble molecules, or micelles as some researcher reported (Andreatta  
353 et al., 2005). The hypothesis is that the fractionation process weakened the “peptising” or  
354 “facilitating” effect to those “heavy” molecules in F1, by removing the “light” molecules in F3 and  
355 F4. With the help of “light” molecules, DCM or toluene was able to keep the “heavy” molecules  
356 away from each other, thus stay in solution. The intermolecular interactions amongst the “heavy”  
357 molecules once formed are too strong for DCM, and thus the re-dissolution is impractical or at least  
358 very time-consuming. The 5 wt% loss may contain molecules with much higher aromaticity and  
359 would potentially be categorised as a part of F1 if they had been managed to re-dissolve in the  
360 solution.

361

362 ***3.6 Molecular weight***

363 Based on the assumption that only one PAH core existed in each molecule (Groenzin and Mullins,  
364 1999, 2000; Mullins, 2007, 2010, 2011; Sabbah et al., 2011), the numbers of other atoms, namely  
365 H, N, S and O, were calculated according to the organic elemental analyses shown in Table 1. The  
366 AMWs were computed as the sums of the atomic weights of all the elements in the given samples.  
367 The results are shown in Rows 18-22 of Table 2. In order to determine the number of PAH in an  
368 average asphaltene molecule and validate the AMWs calculated from the data derived from the  
369 NMR/DEPT spectra, the AMWs of Buton and its subfractions were calculated using MALDI-TOF.  
370 The typical MWDs of the samples measured using MALDI-TOF are shown in Figure 5. In  
371 consistence with the previous observations (Acevedo et al., 2005; Apicella et al., 2007; Martínez-  
372 Haya et al., 2007; Pantoja et al., 2013; Rizzi et al., 2006; Tanaka et al., 2004), no difference was  
373 found between the spectra obtained with and without a matrix. The results presented here were  
374 therefore obtained without the use of a conventional matrix and based on the asphaltene own “self-  
375 matrix” propensity. As shown in Figure 5, the samples had similar MWD profiles at the same  
376 intensity scale. It may be also seen that the majority of the detectable masses were present under the  
377 1,500-m/z range, although there were “grass peaks” visible in the region up to 3,000m/z in F1 and  
378 F2.

379 The MWDs of the samples can provide information necessary to help with understanding of the  
380 architecture of the asphaltene molecules, such as the number of PAH in an average asphaltene  
381 molecule. Based on the MWDs of the samples, the AMW values may be derived from the following  
382 equation (Hurt et al., 2013):

$$383 \quad AMW = \frac{\sum[(\frac{m}{z}) \times A_i]}{\sum A_i} \quad (7)$$

384 where  $A_i$  stands for the intensity of the individual peaks ( $1, 2, 3, \dots, i$ ) in the MWD spectrum, and  $z$   
385 is the charge. Since MALDI mainly generates single-charged species,  $z$  is always equal to 1 in this  
386 case. Thus we use “Dalton (Da)”, instead of “m/z”, in the following elucidation.

387 From Equation (7), it is evident that the AMW calculation is dependent on the summation range.  
388 There were practically no peaks observed beyond the 3,000Da limit and hence the AMW values

389 were calculated respectively in four summation ranges, namely 0–1,000Da, 0–1,500Da, 0–2,000Da  
390 and 0–3,000Da. The results are shown in Table 4, together with the AMW values derived from the  
391 NMR spectra for comparison.

392 The AMWs derived from MALDI-TOF revealed the same pattern as estimated from the NMR  
393 spectra. The AMWs decreased from F1 to F2 and then increased from F2 to F4 regardless of the  
394 summation range, except in the range 0–1,000Da where the AMWs constantly increased from F1 to  
395 F4 probably due to exclusion of some large molecules. Although the results in Table 4 become  
396 slightly larger as the summation range increases, each putative molecular weight in every range  
397 may only fit one PAH cluster. This confirms that each molecule contains only one PAH core, thus  
398 reassures the validity of the combined NMR/DEPT method in estimating the structural parameters  
399 of asphaltenes. In other words, the island molecular architecture is predominant in all the asphaltene  
400 samples studied. This is in consistence with previous studies of coal-derived and petroleum  
401 asphaltenes using various techniques such as time-resolved fluorescence depolarisation and two-  
402 step laser desorption ionisation (Groenzin and Mullins, 1999; Mullins, 2007, 2010; Sabbah et al.,  
403 2011).

404

#### 405 **4. Conclusions**

406 In the present work, an oil sand asphaltene sample was fractionated into four subfractions using the  
407 DCM/*n*-heptane binary solvent system. The combination of NMR/DEPT and MALDI-TOF allowed  
408 the chemical structure of the oil sand and its subfractions to be revealed.

409 F1, the least soluble fraction, was found to contain an extremely high amount of oxygen. The  
410 average PAH size of F1 was about 7 fused rings in the form of pericondensation, while the other  
411 subfractions, F2 to F4, were found to contain an average PAH size of 6 rings dominated by  
412 pericondensation as well. The island architecture was shown to be predominant across the oil sand  
413 asphaltene sample and its subfractions.



414 The AMWs of the samples were found to be <1000 Da. The AMW increased from F2 to F4, while  
415 that of F1 fell in between. The precipitation mechanism was dictated by the compound polarity. The  
416 most polar compounds precipitated in F1 while the remaining ones precipitated sequentially from  
417 F2 to F4 according to relative “lushness” of their aliphatic parts. The average molecular parameters  
418 of the oil sand asphaltene sample were shown to represent an average of its subfractions.

419

420

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430

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611 **Figure Captions**

612 Figure 1 A schematic illustration of the fractionation procedure

613 Figure 2 Typical  $^{13}\text{C}$  NMR spectra of Buton and its subfractions

614 Figure 3 DEPT-135 spectra of Buton and its subfractions

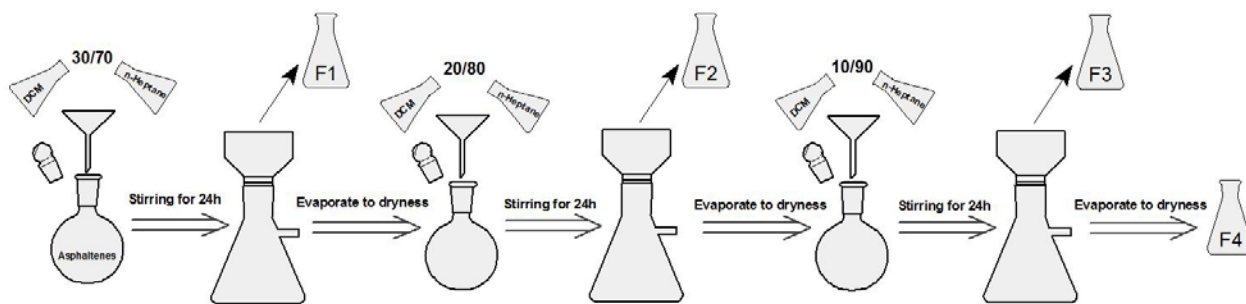
615 Figure 4 Subdivision of the aliphatic regions according to DEPT-135

616 Figure 5 MALDI-TOF spectra of Buton and its subfractions

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618

619 Figure 1

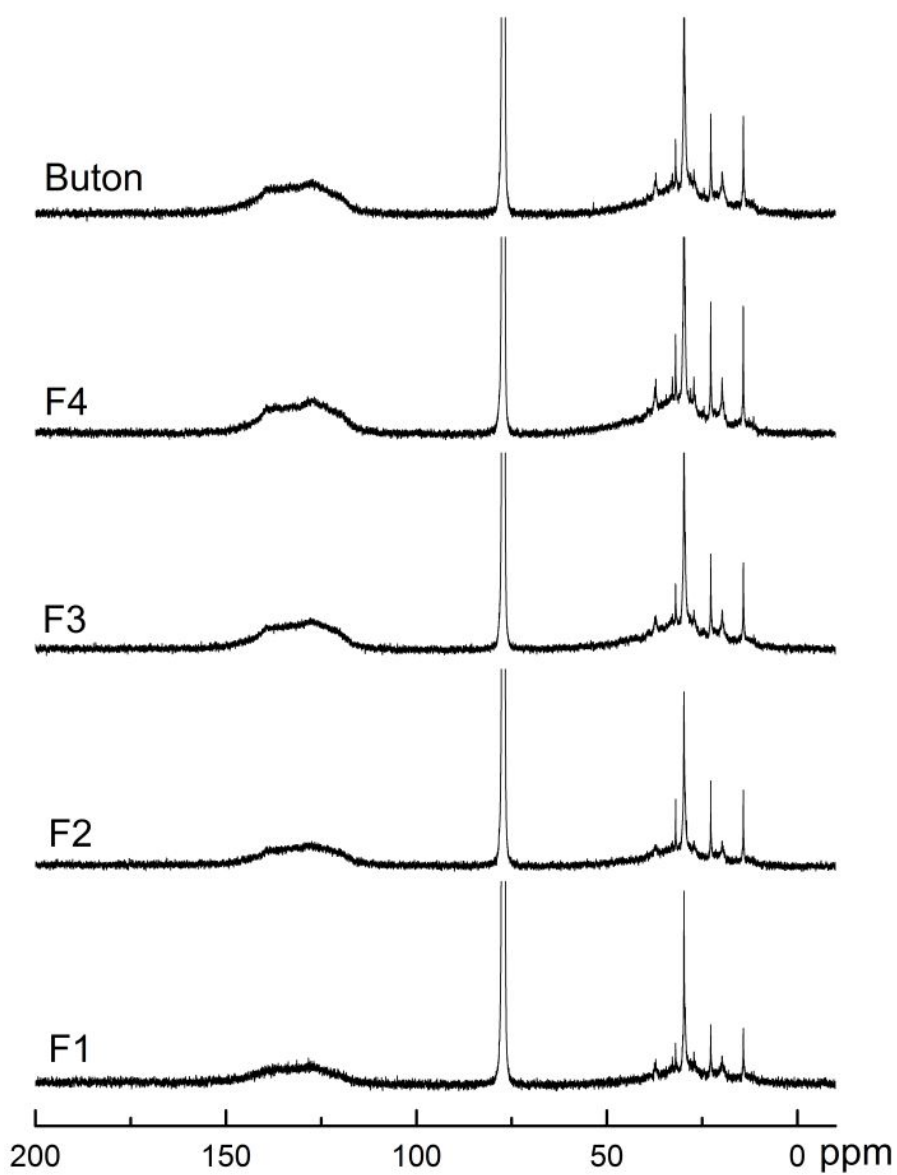


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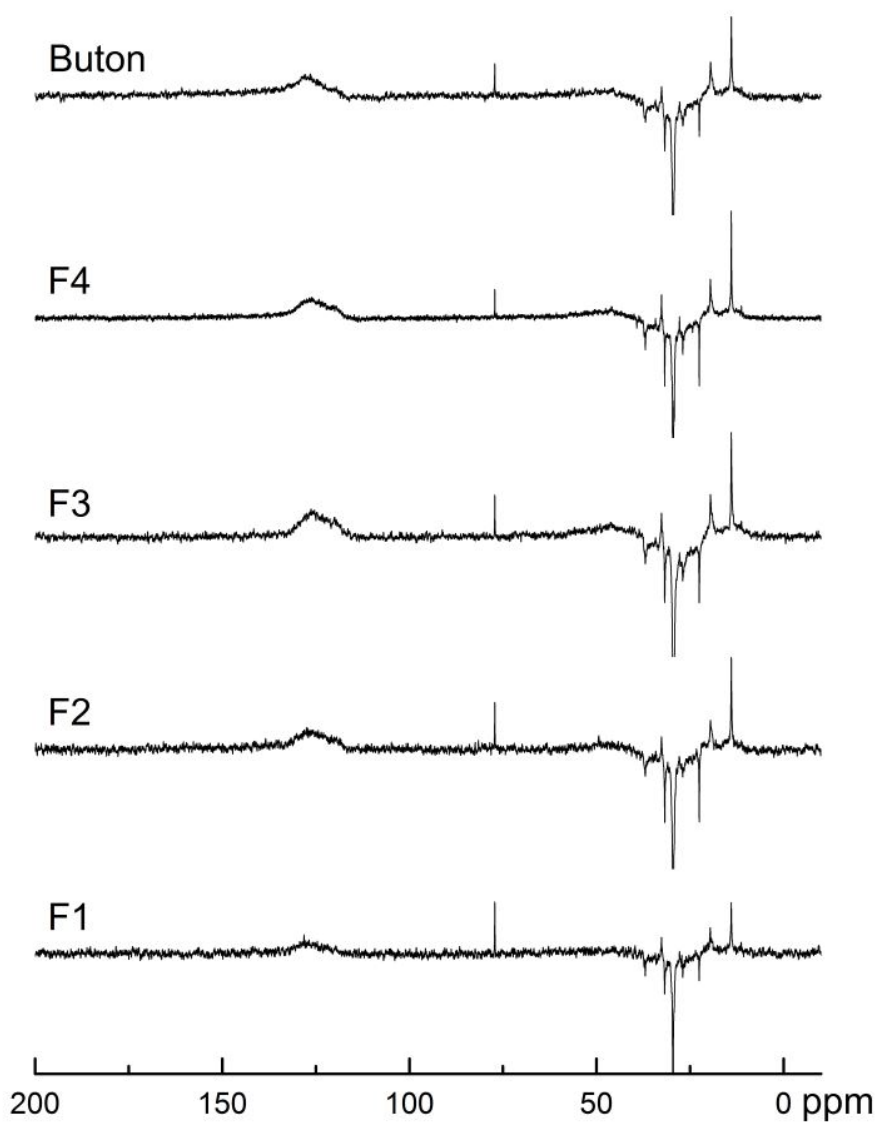


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626 Figure 2 Typical <sup>13</sup>C NMR spectra of Buton and its subfractions

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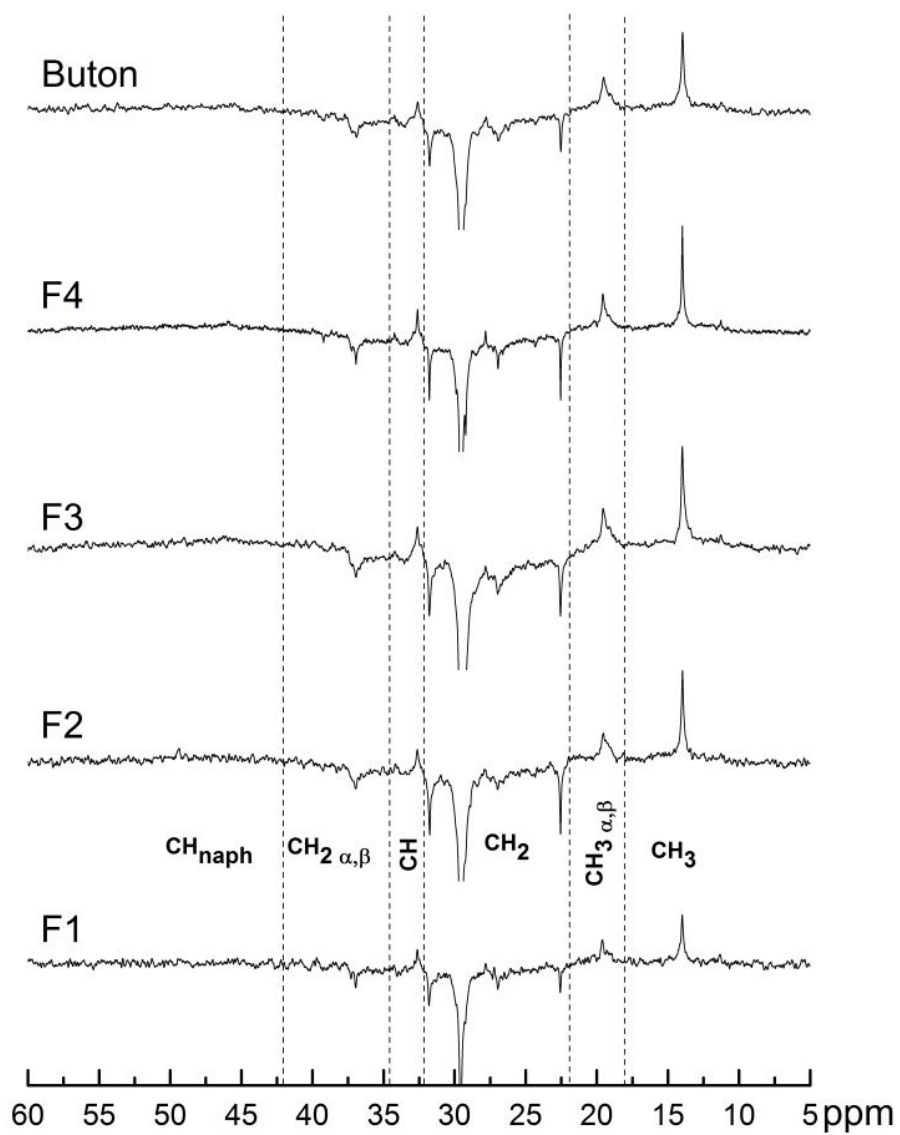


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631 Figure 3 DEPT-135 spectra of Buton and its subfractions

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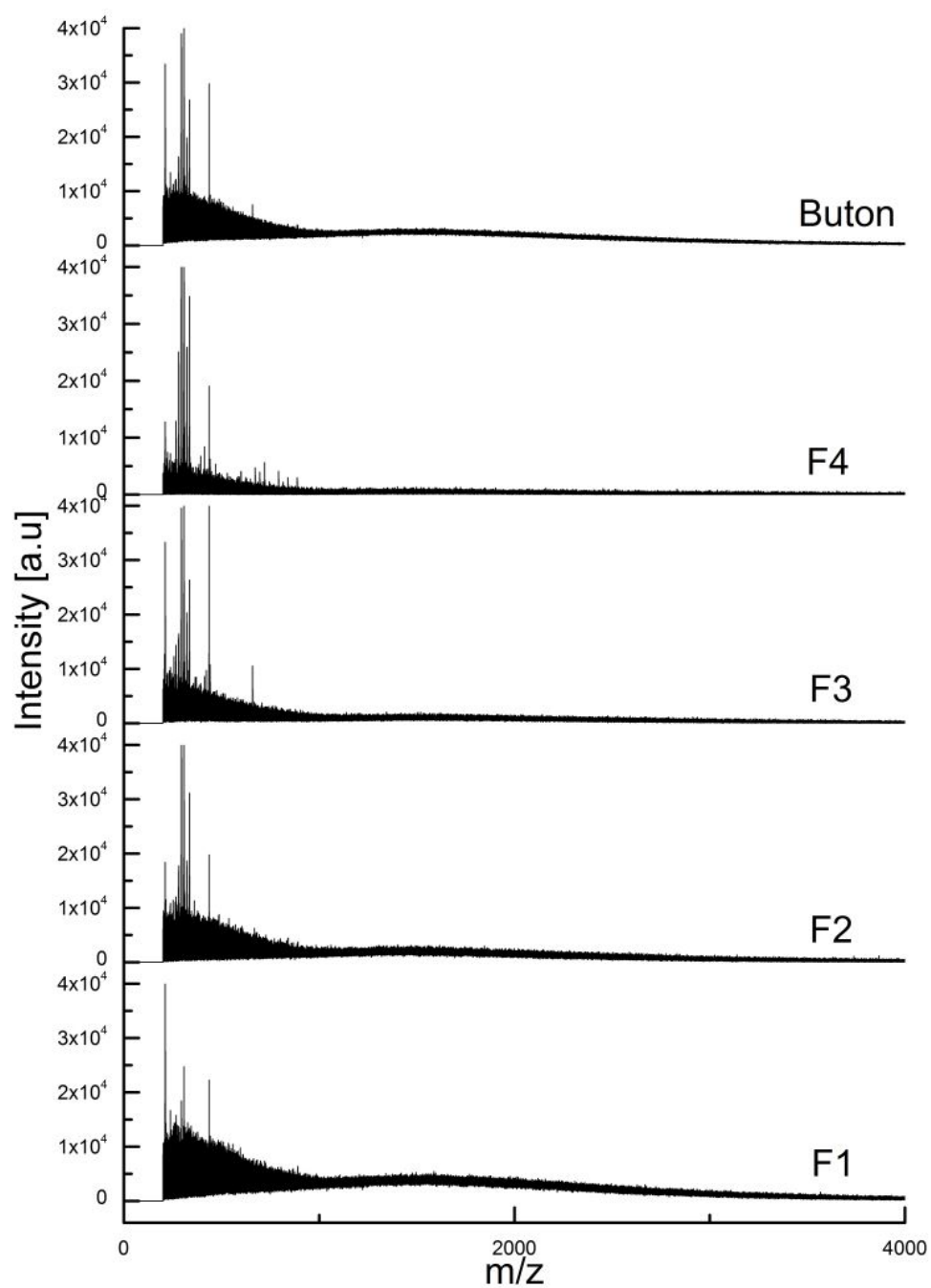
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636 Figure 4 Subdivision of the aliphatic regions according to DEPT-135

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641 Figure 5 MALDI-TOF spectra of Buton and its subfractions

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653 Table 1 Yields of the subfractions and their elemental compositions

	F1	F2	F3	F4	Buton	Loss
<i>Fractionation yield (wt%)</i>	28.56	12.42	20.08	33.92	N/A	5.02
<i>Elemental composition (wt%)</i>						
<i>Carbon</i>	72.11	77.62	79.27	79.85	75.06	N/A
<i>Hydrogen</i>	8.57	9.02	9.50	10.02	8.87	N/A
<i>Nitrogen</i>	0.89	0.96	0.91	0.85	0.90	N/A
<i>Sulphur</i>	7.66	8.71	8.51	8.04	8.13	N/A
<i>Oxygen (by difference)</i>	10.77	3.69	1.81	1.24	7.04	N/A
<i>H/C atomic ratio</i>	1.43	1.39	1.44	1.51	1.42	N/A

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657 Table 2 Average structural parameters of Buton and its subfractions, calculated from  $^{13}\text{C}$  NMR  
 658 and DEPT-135 spectra

Row	Average Molecular Parameters*	F1	F2	F3	F4	Buton
1	Aromaticity from $^{13}\text{C}$ NMR ( $f_a$ ) %	44.21	47.49	42.12	39.76	44.44
2	Terminal methyl group $\text{CH}_3\%$	7.68	4.85	6.90	5.59	6.25
3	Methyl group at $\alpha$ or $\beta$ position $\text{CH}_3_{\alpha,\beta}\%$	6.09	4.68	5.27	5.07	5.35
4	Methylene group $\text{CH}_2\%$	28.22	25.51	25.65	27.00	26.17
5	Methine group $\text{CH}\%$	4.61	4.82	4.82	5.50	4.76
6	Methylene group at $\alpha$ or $\beta$ position $\text{CH}_2$	7.64	9.16	9.33	10.76	9.06
7	Methine group in naphthenic rings	0.52	3.49	5.91	6.32	3.98
8	Protonated/hetero aromatic $C_{pr}\%$	1.73	7.19	5.46	6.16	4.68
9	Bridgehead aromatic $C_{br}\%$	21.44	21.42	19.08	17.97	20.13
10	Substituted aromatic $C_{sub}\%$	20.23	18.08	16.88	15.21	18.40
11	Phenolic aromatic $C_{phen}\%$	1.84	0.79	0.69	0.42	1.23
12	Percentage of bridgehead carbon $X_b$	0.485	0.451	0.453	0.452	0.453
13	Average aromatic PAH size $C_{ar}$	23.89	22.01	22.11	22.06	22.11
14	Substitutions per PAH $n_{sub}$	10.93	8.38	8.86	8.44	9.15
15	Molecular carbon atoms* $C$	54.04	46.35	52.49	55.48	49.75
16	Average number of aliphatic carbon $C_{al}$	30.15	24.34	30.38	33.42	27.64
17	Average carbon number per alkyl chain	2.76	2.90	3.43	3.96	3.02
18	Molecular hydrogen atoms*	77.04	64.67	75.53	83.57	70.55
19	Molecular nitrogen atoms*	0.57	0.49	0.52	0.51	0.51
20	Molecular sulphur atoms*	2.15	1.95	2.11	2.10	2.02
21	Molecular oxygen atoms*	6.05	1.65	0.90	0.65	3.50
22	Average molecular weight*	899	717	795	834	795

659 \* assuming that each molecule contains only one PAH core.

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662 Table 3 <sup>13</sup>C NMR chemical shift region assignments for the asphaltene samples

Regions in ppm		Types of Carbons	
<b>Aliphatic</b>	<b>5.0-60.0</b>	<i>C<sub>at</sub></i>	
	5.0-18.0	<i>CH<sub>3</sub></i>	Terminal or branched methyl groups in aliphatic chains, except where branched at <i>α</i> or <i>β</i> position from an aromatic ring or where two methyl groups are terminal
	18.0-22.0	<i>CH<sub>3</sub><sub>α,β</sub></i>	Methyl groups in aliphatic chains branched at <i>α</i> or <i>β</i> position from an aromatic ring, plus where two methyl groups are terminal
	22.0-32.0	<i>CH<sub>2</sub></i>	Methylene groups in aliphatic chains and in naphthenic rings, except where branched at <i>α</i> or <i>β</i> position from an aromatic ring, or at <i>α</i> position from a naphthenic ring
	32.0-34.5	<i>CH</i>	Methine groups in aliphatic chains
	34.5-42.0	<i>CH<sub>2</sub><sub>α,β</sub></i>	Methylene groups branched at <i>α</i> or <i>β</i> position from an aromatic ring, or at <i>α</i> position from a naphthenic ring
	42.0-60.0	<i>CH<sub>naph</sub></i>	Methine groups in naphthenic rings
<b>Aromatic</b>	<b>100-160</b>	<i>C<sub>ar</sub></i>	
	100-133	<i>C<sub>br</sub>, C<sub>pr</sub></i>	Bridgehead aromatic carbon, overlapping with protonated/hetero aromatic carbon
	133-150	<i>C<sub>sub</sub></i>	Substituted aromatic carbon
	150-160	<i>C<sub>phen</sub></i>	Phenolic carbon

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665 Table 4 AMWs estimated from MWDs using MALDI-TOF in different summation ranges and  
 666 from <sup>13</sup>C NMR spectra

Summation range	AMW (Da)				
	F1	F2	F3	F4	Buton
0–1,000Da	442	474	525	558	541
0–1,500Da	714	610	709	761	743
0–2,000Da	898	755	882	957	934
0–3,000Da	1125	952	1094	1204	1177
AMW estimated from NMR/DEPT	899	717	795	834	795

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