

1 **Fetal growth restriction and asthma; is the damage done?**

2

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5 Running Head: IUGR on asthma development

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24 **Abstract**

25 Trajectories of airway remodelling and functional impairment in asthma are consistent with the
26 notion that airway pathology precedes or coincides with the onset of asthma symptoms and
27 may be present at birth. An association between intrauterine growth restriction (IUGR) and
28 asthma development has also been established and there is value in understanding the
29 underlying mechanism. This review considers airway pathophysiology as a consequence of
30 IUGR that increases susceptibility to asthma.

31 **Context – defining and understanding asthma**

32 Asthma is a condition of variable and transient symptoms of breathlessness, wheeze,
33 chest tightness and cough, triggered by exposures such as viral infections, allergens, cold air
34 or exercise. Contraction of the airway smooth muscle (ASM) layer around the wall reduces
35 lumen diameter, increases resistance to airflow and the work of breathing. Reduced airflow is
36 most often measured as the forced expiratory volume in one second (FEV₁), which falls
37 proportionally more than forced vital capacity (FVC), the total volume of air exhaled out of the
38 lung. Some degree of airway narrowing can be induced in most people, but is excessive in
39 those with asthma, a condition referred to as ‘airway hyperresponsiveness’.

40

41 Pathology in asthma lies principally at the level of the bronchial tree, affecting both large
42 and small airways, but to varying degrees across patients (20). The transient, excessive airway
43 narrowing in asthma is determined by increased ASM thickness within an inflamed wall (21,
44 61). Excess secretion of mucus also plays a part in airway narrowing, especially in severe cases
45 (42), and there is more recent interest on the adverse consequences of ventilation
46 inhomogeneity (19) and/or heterogeneously distributed airway remodelling (20, 72).

47

48 A fundamental and unanswered question in asthma is how airway pathology, particularly
49 increased ASM thickness, evolves to produce respiratory impairment. The conventional
50 hypothesis is that inflammation drives remodelling in asthma (91). However, histological
51 studies in children have failed to identify inflammation prior to airway remodelling (15). There
52 is instead reason to suggest that airway remodelling is an independent developmental disorder
53 (outlined below), a concept that may explain the known association between intrauterine
54 growth restriction (IUGR) and asthma.

55

56 **Asthma – why should it be considered a disease of development?**

57 The answer to this question in many ways is addressed in other comprehensive reviews
58 (25, 53) describing a disease that first presents in childhood and the vast data demonstrating
59 immunological priming by prenatal or early postnatal inflammatory events. While it serves no
60 purpose to replicate the information contained within the above reviews, we wish to set the
61 scene by presenting evidence from a structural-functional (physiological) perspective that
62 implicates disrupted developmental processes in the onset of asthma.

63

64 Let us first consider the trajectory of one of the primary structural abnormalities in
65 asthma; the ASM layer. Children diagnosed with asthma have increased thickness of the ASM
66 layer (75) indicating that the principal effector for bronchoconstriction is abnormal early in the
67 clinical course of the disease. It can even be argued that ASM thickening precedes diagnosis
68 of asthma since children presenting with ASM remodelling at pre-school age go on to develop
69 asthma (66). When further examining changes in ASM thickness in adult life, there seems to
70 be little to no impact of age, age of diagnosis or duration of disease on ASM thickening (33).
71 The damage may well be done; the ASM layer is thickened early in life (prior to or shortly after
72 birth) with little or no meaningful progression in remodelling thereafter.

73

74 Temporal changes in airway structure in asthma mirror the trajectory of function.
75 Measures of airway function soon after birth (69) have shown functional impairments that
76 likely reflect the airway geometry (16). For example, the Perth Infant Asthma Follow-up study
77 assessed airway responsiveness from 1 month to 24 years of age. Data from this longitudinal
78 cohort demonstrate that: (i) airflow capacity is partially established in infancy (70) as low lung
79 function at birth correlates with poorer lung function in adulthood (69), and; (ii) reduced lung
80 function at 1 month of age is predictive of persistent asthma and wheeze at 24 years of age (69,

81 70). Another study of the same cohort showed that increased airway responsiveness to
82 histamine in infancy is associated with childhood wheeze (88). These data are consistent with
83 the idea that abnormal lung development and growth that occur *in utero* or very early in life
84 will alter respiratory function from the beginning of the postnatal period and subsequently
85 increase risk to diagnosed asthma and other respiratory illness.

86

87 **Historical perspective of the ‘fetal origins of adult disease hypothesis’**

88 In 1986, Barker and Osmond observed that poorer parts of the United Kingdom with
89 high infant mortality also had elevated rates of adults with ischaemic heart disease, and
90 implicated poor nutrition in early life as a cause of disease (9). Barker identified a similar
91 association between poor nutrition and obstructive lung disease and introduced the concept of
92 ‘programming’, wherein an adverse *in utero* environment retards fetal weight gain and
93 constrains irrecoverably the growth of the airways (7). Several years later, Lucas refined the
94 definition of ‘programming’ as the “*induction, deletion, or impaired development of a*
95 *permanent somatic structure or the ‘setting’ of a physiological system by which an early*
96 *stimulus or insult, operating at a ‘sensitive’ period, results in long-term consequences for*
97 *function*” (50). In 1992, Hales and Barker proposed the ‘*thrifty phenotype*’ hypothesis in the
98 context of type 2 diabetes, whereby the fetus and infant was considered nutritionally thrifty in
99 an environment of scarce nutrition, resulting in impaired development of the pancreas (29). It
100 was argued that the negative health consequences to the infant would be minimised if similar
101 nutritional conditions were maintained in postnatal life, whereas risk of type 2 diabetes would
102 increase if extrauterine conditions abruptly shifted from low to high nutrition (29). In ensuing
103 years, Gluckman *et al.* dubbed this nutritional mismatch the ‘Predictive Adaptive Response’
104 theory (27).

105

106 The seminal studies described above provided the grounding for Barker to coin the overarching
107 paradigm; the ‘fetal origins of adult disease (FOAD)’ hypothesis which states that
108 environmental factors, particularly nutrition, act in early life to programme the risks for the
109 early onset of cardiovascular and metabolic disease in adult life and premature death (6). The
110 hypothesis described a framework to identify why fetal undernutrition occurring at different
111 trimesters leads to the development of cardiovascular disease in later life. Although there is
112 now an overwhelming body of evidence from population studies supporting the FOAD, the
113 hypothesis was not initially universally accepted. Critics pointed to the limitations of Barker’s
114 epidemiology studies, such as a lack of data to show nutrition as the underlying factor for the
115 associations and any specific correlation between fetal growth and adult disease (71). Several
116 of these sceptics become converts after their own data collection supported Barker’s hypothesis
117 (26). An interview with David Barker about the legacy of his findings, published in The New
118 Yorker, discussed the opposition he faced throughout his career, and his strong belief in his
119 data (30).

120

121 **Association between IUGR, low birth weight and asthma**

122 Intrauterine growth restriction is a condition of pregnancy where the developing fetus
123 undergoes a range of neuroendocrine and cardiovascular adaptations in response to reduced
124 nutrient and oxygen supply (including placental insufficiency) that affects normal growth and
125 development (54). Given that fetal lung development is controlled by numerous humoral,
126 mechanical and genetic signals that are susceptible to deviations from homeostatic conditions,
127 the FOAD hypothesis fittingly extends to the respiratory system. Indeed a relationship between
128 IUGR and chronic obstructive pulmonary disease has been proposed for several decades (8).
129 A similar association between IUGR and asthma has now also been realised (39), and there is

130 an intriguing correlation between reduced fetal size and incidence of wheeze and asthma at 5,
131 10 and 15 years of age (85-87).

132

133 The clinical definition of IUGR is a birth weight less than 2 standard deviation below
134 normal weight (or less than the 10th percentile). In a study of 763,666 children from the Swedish
135 Medical Birth Register, the odds ratio for asthma was 1.24 in IUGR affected children compared
136 with those born at a healthy weight (38). Other studies demonstrate that IUGR is associated
137 with reduced lung function (FEV_{0.4}, FEV₁, FVC, FEV%, forced expiratory flow₂₅₋₇₅) (18, 40,
138 60) and increased airway resistance (81). The definition of IUGR is distinct from low birth
139 weight (<2.5 kg), though there is a clear and intuitive relationship between these conditions.
140 Epidemiological studies around the globe have repeatedly shown that babies with low birth
141 weight have an increased risk of respiratory disease in adult life, including asthma (8, 10, 68,
142 90). Two meta-analyses concluded that low birth weight is associated with increased risk of
143 asthma both in children and adults (59, 102), suggesting adverse intrauterine conditions and
144 impaired fetal growth as a determinant of obstructive airway disease.

145

146 **Onset of airway pathology after IUGR**

147 There is mounting evidence that IUGR causes airway pathology in the postnatal period
148 and we will separately consider direct effects on structure-function (a particular focus) as well
149 as inflammation. Notwithstanding the postulated interrelationship between airway structure-
150 function and inflammation, it is reasonable to assume that any developmental insult (e.g.,
151 undernutrition has already been highlighted) which modifies airway structure-function or
152 inflammation will increase susceptibility to disease, but these disorders do not have to originate
153 from the same pathway. In a mouse model where airway remodelling and inflammation were
154 independently induced, both abnormalities caused exaggerated constriction of the airway

155 lumen alone and was further exacerbated when remodelling and inflammation occurred
156 simultaneously (93). The findings of this biological simulation emphasised that airway
157 pathologies acquired through different pathways will combine to worsen function and disease
158 outcomes. An effect of IUGR on airway structure-function and/or inflammation may therefore
159 tip the scales in favour of airway disease.

160

161 Animal models of IUGR have been employed to better understand the implications to
162 postnatal health, including rats, mice and sheep. The general approach to induce IUGR is to
163 reduce the availability of nutrients or oxygen through maternal undernutrition or hypoxia, or
164 placental restriction after surgical intervention (36, 58, 83). Using maternal hypoxia-induced
165 IUGR in rodents as an example, a decrease in birth weight of at least 22% was observed,
166 corresponding to the 10th percentile of the normal population, comparing well with what is
167 observed clinically (36). A decrease in body weight alone is of course unlikely to explain any
168 association with asthma, however, can be considered a marker of a perturbed system.

169

170 There are a variety of animal studies that have examined changes in airway structure-
171 function and/or inflammation after IUGR, findings of which will be discussed below. In
172 addition to the acknowledged differences in species and methodological approach to IUGR
173 induction, the duration and timing of prenatal intervention is an important variable that will
174 affect study findings. Airway development begins relatively early in gestation
175 (pseudoglandular phase), compared with alveoli that appear later in gestation (saccular phase)
176 and continue to populate into the postnatal period (79). Due to these temporal differences in
177 airway and lung (alveolar) development, the phenotypic response to IUGR may theoretically
178 differ if the exposure occurs early or later in gestation. That is, an airway disorder with early
179 disruption and/or a lung parenchymal disorder with later exposure. This observation is

180 demonstrated nicely using data from the Dutch famine cohort; individuals that were exposed
181 to restricted nutrients in early and mid-gestation, but not late gestation, had an increased
182 prevalence of obstructive airway disease (49).

183

184 *Airway structure-function*

185 A first consideration is whether lung (and/or airway) size is reduced after IUGR and
186 can account for lower exhaled volumes and flow. There is a clear and consistent decrease in
187 absolute lung weight after IUGR (46, 48, 73, 82, 95), but importantly, not relative to body
188 weight (2, 48, 73, 97). That lung size remains proportional to body mass is significant because
189 lung function is always normalised to anthropometric parameters, as was the case in the Perth
190 Infant Asthma Follow-up study, where maximal flow was standardised to infant body length
191 (70). Direct measurements of lung volume (by plethysmography or stereology) have been
192 performed in rodents, and are not affected by IUGR at juvenile (92) or adult timepoints (92,
193 94). Assuming that basement membrane perimeter is an appropriate index of airway size (35),
194 there is also no evidence of an effect of IUGR on airway size in mice, rats or sheep. Respiratory
195 consequences after IUGR may therefore be more complicated than a simple reduction in organ
196 growth.

197

198 Any physiological change after IUGR that favours narrowing of the airway lumen is
199 expected to increase susceptibility to airflow limitation in asthma. There is a well-established
200 relationship between airway structure and function (57) that describes a balance between forces
201 produced by the ASM layer and opposing mechanical after-loads. Activation of the ASM is
202 regulated by the effectiveness of physical barriers such as the airway epithelium (67), while
203 the extent of lumen narrowing is determined by wall compliance and encroachment of the

204 folded mucosa (64, 65). Many of these morphological properties have been shown to be
205 susceptible to IUGR.

206

207 Controversy endures regarding the physiological role of the ASM layer under
208 conditions of health (56), with most recent data strengthening support for the idea that
209 contraction of ASM minimises anatomical deadspace (24). To the contrary, there is little doubt
210 about the adverse consequences of a thickened ASM layer in asthma severity (33), and with
211 this in mind, several studies have examined changes in ASM thickness after IUGR. In a mouse
212 model of IUGR produced by maternal exposure to hypoxia during mid gestation (specifically
213 the pseudoglandular-canalicular phase), there was an increase in the thickness of the ASM layer
214 (95). Thickness of the ASM layer was also inversely correlated with body weight (Figure 1).
215 The mechanism of ASM thickening was initially thought to reflect increased proliferation of
216 ASM cells in view of studies demonstrating hyper-proliferation of fetal ASM cells from human
217 subjects that were cultured under hypoxic conditions (31). However, proliferation of fetal ASM
218 after maternal hypoxia was not different from the Control group, nor was there evidence of
219 differential apoptosis (in fact apoptosis of fetal ASM cells was not observed in either group)
220 (95). Alternative explanations for the increased thickness of the ASM layer seen in the IUGR
221 group is cell hypertrophy or increased deposition of extracellular matrix (ECM) within the
222 layer, changes which have been observed respectively in patients with asthma (34) and fixed
223 airflow obstruction (37).

224

225 Thickening of the ASM layer after IUGR, as proposed above, could certainly contribute
226 to increased susceptibility to asthma, requiring only a broncho-constricting stimulus
227 (inflammation in response to allergen) to promote airway narrowing. The mouse study was
228 nonetheless preliminary observations and it is important to appreciate that ASM remodelling

229 does not persist after the pregnant mice are returned to normoxic conditions 3-4 days prior to
230 birth (95) and was not detectable in juvenile and adult mice after IUGR (92). There were
231 similarly no changes in ASM thickness in a sheep model of late gestation placental restriction
232 (97). Changes in mRNA expression of α -smooth muscle actin has however been observed after
233 IUGR (45). Caffeine exposure from gestational days 7-20 produced IUGR and was associated
234 with increased α -smooth muscle actin (45) and may contribute to airway and/or vascular
235 remodelling.

236

237 In addition to potential changes in ASM structure, the reactivity of the airway wall to a
238 contractile stimulus is altered by IUGR. In a rodent model of isocaloric protein restriction,
239 respiratory system resistance was increased after methacholine inhalation challenge in young
240 male offspring, and before and after methacholine in adult male offspring (1). The study did
241 not assess female offspring, which do not necessarily respond to IUGR in the same manner as
242 male counterparts. Male offspring from maternal hypoxia-induced IUGR mice are
243 hyperresponsive to methacholine in early life and hyporesponsive in later life (92). The latter
244 is accounted for by reduced ASM contractility, as was demonstrated in tracheal segments *in*
245 *vitro*, where force per muscle cross-sectional area was reduced (62). In comparison, female
246 offspring are normo-responsive in early life and hyperresponsive in later life (92) (Figure 2).
247 Observations of sexual dimorphism in the response to IUGR are not inconsistent with asthma
248 trajectories where there is a higher prevalence of asthma in males during early life but a higher
249 prevalence in females in later life (78). An effect of IUGR which favours airway
250 hyperresponsiveness in females and hyporesponsiveness in males with age would be expected
251 to shift asthma towards a female dominated disease. Sex differences in the response to IUGR
252 logically point to hormonal influences, a research area that warrants attention, as it may have
253 relevance to asthma prevalence in males and females (43).

254

255 The extent of bronchoconstriction produced by ASM contraction is dependent on
256 opposing mechanical afterloads (57). Wignarajah *et al.* proposed that a likely consequence of
257 IUGR is increased airway compliance, based on findings of reduced cartilage area in sheep
258 airways after placental restriction (97). In pigs, cartilage abundance is inversely related to both
259 compliance and airway narrowing induced by parasympathetic nerve stimulation (65). A
260 change in airway wall compliance has been noted in infants with wheezing disorders (23).
261 Direct measurements of airway compliance (stiffness) after IUGR do not support the proposal
262 of an increase in airway compliance. Tracheal segments from adult mice who were exposed to
263 hypoxia-induced IUGR were notably stiffer compared with Control mice, and in this instance
264 the effect was independent of sex (62). An increase in airway stiffness has also been
265 demonstrated in asthma (14), though its significance to airway function is disputed. Stiffening
266 could certainly be protective in providing a mechanical opposition to ASM shortening (55).
267 The counter-argument is that airway stiffness adversely effects airway function. Airway
268 stiffness regulates the response to dynamic stresses and strains produced by breathing
269 movements (4, 63). Stiffening of the airway wall attenuates mechanically mediated
270 bronchodilation, particularly in response to deep inspiration (63), which in turn facilitates a
271 more pronounced narrowing response. There is also evidence that when in contact with a more
272 rigid substrate, contractile performance of ASM cells is enhanced (3). Together, there is an
273 argument to be made that airway wall stiffening after IUGR contributes to asthma by blunting
274 inhibitory control of ASM or upregulating a contractile phenotype of ASM.

275

276 Non-uniform ventilation (heterogeneity) has been implicated in asthma pathogenesis.
277 Using nitrogen washout protocols, ventilation heterogeneity was correlated with airway
278 hyperresponsiveness in asthma, independent of inflammation (19). Ventilation heterogeneities

279 are related to both poor asthma control and more frequent exacerbations (13) and increase with
280 bronchoconstriction (89). Given that ventilation heterogeneities in patients with asthma remain
281 relatively fixed over time (six months apart) (17), changes may reflect an underlying structural
282 or mechanical mechanism. In a rat model of maternal hypoxia-induced IUGR, male offspring
283 exhibited increased variability of lumen calibre in histological sections (94). It was suggested
284 that the most likely explanation was a variation in airway compliance, particularly as lungs
285 were fixed at a distending pressure of 20 cmH₂O, raising the possibility of variable inflation
286 between airways. Subsequent modelling assessed potential consequences for human lung
287 function based on variable lumen calibre driven by changes in compliance (94) (Figure 3). The
288 results of this mathematical simulation suggested that bronchoconstrictor response would be
289 enhanced in an IUGR individual.

290

291 The airway epithelial barrier is abnormal in asthma, a defect which presents early in
292 life. Most notably, there is a dysregulation of epithelial tight junctions in children with asthma
293 (47), contributing to a ‘leaky’ membrane and by extension reduced protection against inhaled
294 allergens. There is very little evidence to suggest that the epithelial barrier is compromised
295 after IUGR. Maternal-hypoxia induced IUGR in mice does not affect epithelial expression of
296 claudin-1, claudin-18, occludin and zonula occluden-1 (48). In growth-restricted sheep, the
297 airway epithelial area is reduced at eight weeks postnatal age (97), however, it is not clear how
298 such changes in epithelial area would affect epithelial barrier function. Percentage epithelial
299 loss of epithelium is positively correlated to contractile response to acetylcholine (67),
300 suggesting that gross morphology of the epithelium does somewhat influence functional
301 response. The same study did not observe any correlation between epithelial area and
302 permeability.

303

304 A variety of other respiratory changes after IUGR have been documented that are not
305 necessarily characteristic of an asthmatic phenotype. In the aforementioned late gestation sheep
306 model of IUGR, there was a thicker alveolar blood-air barrier due to expansion of the basement
307 membrane, reduced number of alveoli and a thicker septum following increased ECM
308 deposition (51, 52). The rat model of IUGR induced via caffeine exposure (introduced during
309 periods of both airway and lung parenchymal development) showed similar findings to the
310 sheep model of IUGR, where there was a thickened alveoli septum with increased pulmonary
311 interstitium in the IUGR offspring (45). Somewhat surprising, structural differences in sheep
312 were not accompanied by changes in lung compliance (52), in contrast to IUGR rats where
313 there was a reduction in lung compliance and a concomitant profibrotic remodelling of the
314 ECM (1). A modification in the amount and type of ECM is a feature of asthma (5), including
315 changes within the lung parenchyma (96). Mechanical changes to the lung in asthma are
316 reflected by reduction in lung elastic recoil pressure (increased compliance) (84) and therefore
317 do not mirror changes observed in animals after IUGR. Changes to lung parenchyma after
318 IUGR may therefore reflect the effects of late gestation exposure. Finally, there is a subtle
319 change in diaphragmatic function after IUGR, characterised by delayed relaxation following
320 electrical field stimulation (22). In asthma, impaired respiratory skeletal muscle function (80)
321 may be expected due to a reduced sarcomere length following lung hyperinflation (76). To the
322 best of our knowledge, intrinsic physiological abnormalities of respiratory skeletal muscles
323 have not been identified in asthma.

324

325 *Inflammation*

326 A shift in inflammatory profile after IUGR is expected to modify susceptibility to
327 diseases of immunity, including asthma. In the maternal hypoxia-induced IUGR rodent model,
328 total inflammatory cells are increased in the bronchoalveolar lavage fluid in juvenile and adult

329 mice (48) (Figure 4), with a predominance towards neutrophils in adult rats (94). Various
330 cytokines in the bronchoalveolar lavage fluid are also modified; IUGR in mice is associated
331 with an increase in interleukin (IL)-2, IL-13 and eotaxin, but only in male offspring (48), again
332 highlighting the potential differential effect of sex on the response to developmental disorders.
333 In a rat model of IUGR following caffeine exposure, there was increased expression of
334 transforming growth factor β , IL-1 β , and IL-8 in the lungs of female offspring (45).
335 Collectively these data suggest that the inflammatory profile of IUGR offspring is abnormal
336 and may play a role in the inflammatory cascade present in asthma. The inflammatory changes
337 may be specific to the lungs, as was proposed in the IUGR mouse study, where changes within
338 bronchoalveolar lavage fluid were not replicated in fluid obtained after peritoneal gavage, an
339 index of systemic inflammation (48) (Figure 4). Shorter term systemic inflammation is
340 probable, as was the case after single umbilical artery ligation in sheep, which increased pro-
341 inflammatory cytokines in IUGR fetuses 1 week after ligation (11).

342

343 It is important to appreciate that the above studies reflect the naïve state of an
344 unchallenged immune system. Symptoms of asthma are however brought on through exposure
345 to various environmental triggers, particularly allergens, but also cold air, exercise or
346 respiratory tract viral infection. Although wheezing in infancy is associated with reduced lung
347 function, the development of sensitivity to aeroallergens remains the biggest postnatal risk
348 factor for persistent wheezing and diagnosed asthma in childhood (32). The question is then
349 whether the response to environmental triggers differs in an individual subject to growth
350 restriction *in utero*.

351

352 Numerous studies have examined the effect of exposing IUGR offspring to
353 environmental stimuli. Following ovalbumin (allergic) sensitisation and challenge, the

354 offspring of mice with protein restriction-induced IUGR showed , increased concentrations of
355 IL-13, IL-14, tumour necrosis factor- α (TNF- α) and number of eosinophils, neutrophils and
356 macrophages in the bronchoalveolar lavage fluid, as well as immunoglobulin E in the serum
357 (Xing *et al.*, 2020). Of significance, this inflammatory response to ovalbumin was greater in
358 IUGR offspring, the mechanism of which was attributed to epigenetic modifications of the
359 vascular non-inflammatory molecule 1 (Vnn-1) gene (100). Methylation of the Vnn-1 promoter
360 is apparent in asthmatic children who respond poorly to corticosteroid treatment (99). In a rat
361 model of IUGR induced by undernutrition, offspring exposed to ovalbumin exhibited increased
362 lung infiltration of eosinophils and greater plasma immunoglobulin E, compared with age-
363 matched animals that were not growth restricted (101). The mechanism was again attributed to
364 epigenetics, specifically histone acetylation of the endothelin-1 gene promoter. Response to
365 other non-allergic stimuli has also been reported to change in IUGR-affected animals. In the
366 offspring of IUGR rats exposed to lipopolysaccharide, there were increased inflammatory cell
367 infiltration, lung injury scores and levels of IL-6 and TNF- α in the lungs compared with Control
368 offspring (45). Exposure to lipopolysaccharide is implicated in the resistance to corticosteroid
369 therapy and more severe asthma (28). Overall, it appears that the inflammatory response to
370 environmental triggers is excessive after IUGR and likely contributes to its association with
371 asthma. Not to be discounted, this ‘second hit’ scenario could certainly interact with
372 remodelling processes and further increase ASM thickness.

373

374 **Future avenues for study**

375 The remit of this review was to challenge convention and present a controversial
376 viewpoint that, right or wrong, would stimulate new discussion on the pathogenesis of asthma.
377 With this in mind, we are proposing that developmental interruptions such as IUGR give ‘birth’
378 to an abnormal airway wall that predisposes to asthma. Changes in airway compliance are

379 noted, as well as changes in lung immunity that appear to involve epigenetic phenomenon, an
380 area of research that should continue. Sex-dependent effects of IUGR are also of interest and
381 may provide important information on hormonal influences in disease development. A
382 particularly intriguing question is whether the ASM is abnormal at birth? This speculation is
383 raised with knowledge of the trajectory of airway structure-function and preliminary evidence
384 from animal studies which together provides only circumstantial evidence. The underlying
385 assumption of all animal models is that the methodological approach used to simulate IUGR
386 (undernutrition, hypoxia and placental restriction induced during early or late gestation for
387 variable durations) is relevant to the human condition. The good news is that this should in
388 theory be a testable hypothesis; directly assess airway structure at birth and track its association
389 with future disease. Concrete data on the kinetics of ASM remodelling should inform strategies
390 for disease mitigation and possibly pharmacological ablation of the ASM layer, proposed in
391 our recent review (91).

392

393 Computed tomography (CT) has been used to assess lung growth and airway
394 dimensions from healthy individuals from birth to adulthood (41, 74). A parameter of particular
395 interest includes lumen cross sectional area across airway generations, as has been assessed in
396 infants with chronic lung disease (77). Studies could be designed to examine how airway
397 dimensions (mean and variability i.e., heterogeneity) is impacted by IUGR. The limitation of
398 CT (in addition to concerns relating to dose of radiation) is that resolution of the airway wall
399 is low, and it is not possible to discern the ASM layer. Other imaging approaches can be
400 considered, particularly polarised-sensitive optical coherence tomography (44) that extracts
401 quantitative images of the ASM layer based on tissue orientation and organisation. Probes are
402 however delivered bronchoscopically (98), and therefore more invasive compared with the CT-
403 based approach. If these logistical constraints can be overcome, polarised-sensitive optical

404 coherence tomography could be used to determine if the ASM layer is thickened in IUGR
405 individuals who go on to develop asthma.

406

407 One last suggestion for future study is the assessment of airway reactivity in individuals
408 who were identified as having been affected by IUGR. It would be interesting to establish
409 whether these subjects have an innately different bronchoconstrictor response, as has been
410 suggested in part by animal studies. This scenario is akin to asymptomatic hyperresponders
411 (12) who have more reactive airways despite no overt burden of disease. Individuals who
412 exhibit increased responsiveness to contractile stimuli are potentially at greatest risk of asthma
413 development, if they also acquire an inflammatory disorder, particularly allergy.

414

415 **Conclusion**

416 There is good reason to suggest that asthma is a disease of development. Identifying
417 the mechanism for any association between IUGR and asthma serves to advance our broader
418 knowledge of airway disease. With solid scientific evidence demonstrating an effect of IUGR
419 on airway pathophysiology (altered structure-function and inflammation), strategies for
420 intervention prior to and after birth can therefore be designed, possibly through manipulation
421 of specific developmental processes.

422

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428

429 **Conflict of interest**

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432

433 **Authors' contribution**

434 All authors drafted the manuscript, critically revised the manuscript and approved the
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724 **Figure Legend**

725 **Figure 1.** Why is IUGR associated with asthma development? The figure illustrates a working
726 paradigm where IUGR (A) is accompanied by changes in airway structure-function, and
727 potentially the airway smooth muscle layer (B). The thickness of the ASM was inversely
728 related to fetal body weight in growth restricted mice. Functional dysfunction of the airway
729 will contribute to asthma pathogenesis when combined with other environmental triggers that
730 drive a potentially enhanced inflammatory response in IUGR affected individuals (C). The end
731 point is a physiologically abnormal airway that is also inflamed (D), giving rise to symptoms
732 of wheeze, chest tightness and cough. Control (open circles); IUGR, intrauterine growth
733 restriction (closed circles); ASM, airway smooth muscle; ECM, extracellular matrix; P_{bm} ,
734 perimeter of basement membrane. Data (B) are from mice, originally published in
735 10.1111/resp.13851, (95).

736

737 **Figure 2.** Bronchial challenge to methacholine in female mice (8 weeks) after IUGR. Animals
738 from IUGR-affected pregnancies exhibited a greater bronchoconstrictor response compared
739 with the Control group. Values are mean \pm SEM. *Significantly different from Control
740 ($P < 0.05$). Control (open circles); IUGR, intrauterine growth restriction (filled circles); MCh,
741 methacholine. Data originally published in 10.1042/CS20171554, (92).

742

743 **Figure 3.** A mathematical simulation of human lung resistance based on the observed variation
744 in lumen calibre in IUGR male rats (7 weeks). The underlying mechanism was purported to
745 arise from a variable distribution of airway compliance. The model simulates
746 bronchoconstriction to increasing log concentrations (Log agonist) of a contractile agonist.
747 Control (open circles); IUGR, intrauterine growth restriction (closed circles). Data originally
748 published in 10.1111/resp.13071, (94).

749

750 **Figure 4.** Total inflammatory cells in BAL fluid (A) and the peritoneal cavity (B) from male
751 and female mice at 8 weeks of age. In IUGR offspring, there was an increase in inflammation,
752 which appeared to be specific to the lungs, as there was no change in fluid obtained from the
753 peritoneal cavity (and index of systemic inflammation). Data are mean \pm SEM. *Significantly
754 different from Control ($P < 0.05$). Male, open bars; Female, closed bars. IUGR, intrauterine
755 growth restriction; BAL, bronchoalveolar lavage. Data originally published in
756 10.1017/S2040174420000744, (48).