

Quantum Optical Metrology of Correlated Phase and Loss

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Optical absorption measurements characterize a wide variety of systems from atomic gases to *in vivo* diagnostics of living organisms. Here we study the potential of nonclassical techniques to reduce statistical noise below the shot-noise limit in absorption measurements with concomitant phase shifts imparted by a sample. We consider both cases where there is a known relationship between absorption and a phase shift, and where this relationship is unknown. For each case we derive the fundamental limit and provide a practical strategy to reduce statistical noise. Furthermore, we find an intuitive correspondence between measurements of absorption and of lossy phase shifts, which both show the same analytical form for precision enhancement for bright states. Our results demonstrate that nonclassical techniques can aid real-world tasks with present-day laboratory techniques.

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The precision of optically measuring an object is limited by fundamental fluctuations in the optical field due to the quantum nature of light [1]. When using laser light as an optical probe, the limit of this statistical noise is the shot-noise limit which can be reduced by increasing probe intensity or enhancing interaction with the sample. However, some systems are incompatible with increased intensities, for example if light causes undesired technical effects [2,3] or the sample to deform [4,5]. If high-intensity light cannot be used then shot noise will limit the achievable precision [2,3,6].

While of a fundamental origin, shot noise is not the ultimate quantum limit—nonclassical probes can be used to exceed the shot-noise limit [7]. Many previous theoretical and experimental studies have investigated potential benefits of using nonclassical states for phase estimation in the presence of loss [8–14], and for loss estimation [5,10,15–21]. In addition, a number of studies have investigated quantum bounds for multiparameter estimation including unitary [22–24] and nonunitary [25–27] channels.

At a fundamental level, changes in absorption over a narrow spectral range must be accompanied by changes in refractive index (and hence phase shifts), governed by the Kramers-Kronig relations [28]. It is therefore important to consider how the estimation capabilities of any strategy are affected by correlation between these two variables. Here we address this and seek a unified understanding of optimal quantum strategies for measuring a single parameter which

drives both absorption and phase of a single optical mode. We consider estimating an unknown parameter χ , which governs both phase $\theta(\chi) \in [0, 2\pi)$ and loss $1 - \eta(\chi) \in [0, 1]$ imparted by a channel Λ_χ which we call correlated phase and loss estimation (CPLE). Formally, Λ_χ is defined by its action on a basis of coherent states $|\alpha\rangle \mapsto |\sqrt{\eta}e^{i\theta}\alpha\rangle$. Lossy-phase estimation ($\partial_\chi \eta = 0$ where $\partial_\bullet \equiv \partial/\partial\bullet$) and loss estimation ($\partial_\chi \theta = 0$) [16–18] are special cases of CPLE.

We first find the fundamental upper bound on the precision achievable with CPLE, quantified using the quantum Fisher information (QFI) per input photon. We investigate the saturability of this bound using squeezed coherent states, which can readily be generated experimentally [29]. We also consider direct absorption estimation (DAE), where $\eta(\chi)$ is to be estimated but its relationship to $\theta(\chi)$ is not known and therefore the information contained in the phase cannot be accessed. By explicitly considering large displacements, Eq. (11), we find that the quantum advantage for both DAE and CPLE have the same analytical form and dependence on the input state squeezing parameter r and total channel transmission η . We conclude by investigating multipass strategies for CPLE and DAE, and by investigating the advantage attainable in all cases by current experimental capabilities.

Fundamental limit for CPLE.—We use the established Fisher information (FI) formalism to provide bounds on

precision for estimating an unknown parameter χ encoded within a quantum state ρ_χ :

$$\frac{1}{\text{Var}(\chi)} \leq F_{\mathbf{M}}^\chi(\rho_\chi) \leq \mathcal{F}^\chi(\rho_\chi).$$

Inequality 1 is the Crámer-Rao bound (CRB) [30] and relates the variance of unbiased estimates $\text{Var}(\chi)$ to the FI $F_{\mathbf{M}}^\chi(\rho_\chi) = \sum_i p(i|\chi) [\partial_\chi \log p(i|\chi)]^2$. The FI is a function of the probabilities $p(i|\chi) = \text{tr}(m_i \rho_\chi)$, given by the measurement of ρ_χ , with a positive-operator valued measure (POVM) $\mathbf{M} = \{m_i\}$ and $\sum_i m_i = \mathbb{1}$. Inequality 2 is the quantum CRB [31] which relates $F_{\mathbf{M}}^\chi(\rho_\chi)$ to its maximum value \mathcal{F}^χ (the QFI) which is found by optimizing over all POVMs [32]. \mathcal{F} serves as a measurement basis independent evaluation of the information that ρ_χ contains on χ . When χ is encoded onto a pure probe state by unitary $\mathcal{U}_\chi |\psi\rangle = |\psi^\chi\rangle$ the QFI becomes $4(\|\partial_\chi \psi^\chi\|^2 - |\langle \psi^\chi | \partial_\chi \psi^\chi \rangle|^2)$ where $|\partial_\chi \psi\rangle \equiv \partial_\chi |\psi\rangle$ and $\|\cdot\|$ is the 2-norm.

Loss enacts a nonunitary evolution. Reference [13] showed that for such a nonunitary map Λ_χ acting on a pure state $|\psi\rangle$,

$$\mathcal{F}[\Lambda_\chi(|\psi\rangle)] = \min_{\mathcal{U}_\chi} [\mathcal{F}[\mathcal{U}_\chi |\psi\rangle_S |0\rangle_E]], \quad (1)$$

where \mathcal{U}_χ is a unitary dilation of the channel, acting on a larger Hilbert space containing system mode S and environment mode E , and satisfying $\Lambda_\chi(\bullet) = \text{tr}_E[\mathcal{U}_\chi(\bullet_S \otimes |0\rangle_E) \mathcal{U}_\chi^\dagger]$. For lossy-phase estimation \mathcal{U}_χ can be chosen such that $\mathcal{F}[\mathcal{U}_\chi |\psi\rangle_S |0\rangle_E]$ provides informative bounds on the achievable precision dependent only on the mean number of probe photons $\langle \hat{n} \rangle_{\text{in}} \equiv \langle \psi | \hat{n}_S | \psi \rangle$ [13].

Seeking an upper bound on the precision for CPLE we choose a unitary dilation of S , with a single free environmental parameter ς which dictates the phase imparted onto E . This dilation takes the form $\mathcal{U}_{\chi,\varsigma} = U_2(\theta, \varsigma) U_1(\eta)$ where $U_1(\eta)$ and $U_2(\theta, \varsigma)$ enact system loss $(1 - \eta)$ and phase θ of \mathcal{U} respectively. These unitaries are given by $U_1 = \exp[i\hat{H}_1 \xi(\eta)]$, $\hat{H}_1 = (i/2)(\hat{a}_S^\dagger \hat{a}_E - \hat{a}_E^\dagger \hat{a}_S)$, $\xi(\eta) = \arccos(2\eta - 1)$ and $U_2 = \exp[i\hat{H}_2(\varsigma)\theta]$, $\hat{H}_2(\varsigma) = \hat{n}_S + \varsigma \hat{n}_E$. We verify that \mathcal{U}_χ is a dilation of Λ_χ in Supplemental Material A [33]. In Supplemental Material B [33] we show that for $|\Psi_{\chi,\varsigma}\rangle \equiv \mathcal{U}_{\chi,\varsigma} |\psi\rangle_S |0\rangle_E$:

$$\begin{aligned} \mathcal{F}^\chi(|\Psi_{\chi,\varsigma}\rangle) &= (\partial_\chi \theta)^2 4(\|\partial_\theta \Psi_{\chi,\varsigma}\|^2 - |\langle \Psi_{\chi,\varsigma} | \partial_\theta \Psi_{\chi,\varsigma} \rangle|^2) \\ &+ (\partial_\chi \eta)^2 4(\|\partial_\eta \Psi_{\chi,\varsigma}\|^2 - |\langle \Psi_{\chi,\varsigma} | \partial_\eta \Psi_{\chi,\varsigma} \rangle|^2). \end{aligned} \quad (2)$$

For any probe state, the second term in Eq. (2) is given by

$$(\partial_\chi \eta)^2 4(\|\partial_\eta \Psi_{\chi,\varsigma}\|^2 - |\langle \Psi_{\chi,\varsigma} | \partial_\eta \Psi_{\chi,\varsigma} \rangle|^2) = \frac{(\partial_\chi \eta)^2 \langle \hat{n} \rangle_{\text{in}}}{\eta(1 - \eta)},$$

which is independent of ς [33]. Therefore, the optimal ς is given by minimization of $\|\partial_\theta \Psi_{\chi,\varsigma}\|^2 - |\langle \Psi_{\chi,\varsigma} | \partial_\theta \Psi_{\chi,\varsigma} \rangle|^2$, in accordance with Eq. (1). This same expression was minimized in Ref. [13] and hence has the same optimal value:

$$\varsigma_{\text{opt}} = 1 - \text{Var}_{\text{in}}(\hat{n}) / [(1 - \eta)\text{Var}_{\text{in}}(\hat{n}) + \eta \langle \hat{n} \rangle_{\text{in}}], \quad (3)$$

with $\text{Var}_{\text{in}}(\hat{n}) = \langle \psi | \hat{n}_S^2 | \psi \rangle - (\langle \hat{n} \rangle_{\text{in}})^2$. Therefore the limit we have found for CPLE is simply the sum of the limits on QFI for phase estimation (first term) and loss estimation (second term) [21]. Inserting ς_{opt} [Eq. (3)] into Eq. (2) yields

$$\begin{aligned} \mathcal{F}^\chi(\rho_\chi) &\leq (\partial_\chi \theta)^2 \left[\frac{4\eta \langle \hat{n} \rangle_{\text{in}} \text{Var}_{\text{in}}(\hat{n})}{(1 - \eta)\text{Var}_{\text{in}}(\hat{n}) + \eta \langle \hat{n} \rangle_{\text{in}}} \right] \\ &+ (\partial_\chi \eta)^2 \frac{\langle \hat{n} \rangle_{\text{in}}}{\eta(1 - \eta)} \\ &\leq \langle \hat{n} \rangle_{\text{in}} \frac{4\eta^2 (\partial_\chi \theta)^2 + (\partial_\chi \eta)^2}{\eta(1 - \eta)} =: \mathcal{Q}_\chi, \end{aligned} \quad (4)$$

where the last expression depends only on $\langle \hat{n} \rangle_{\text{in}}$. \mathcal{Q}_χ denotes the maximum information available on χ for any quantum probe and measurement, and therefore the bound we aim to saturate.

Probe states for CPLE.—Having found the fundamental limit for CPLE, we next seek an effective strategy for experimentally achieving this bound using single-mode Gaussian states and homodyne measurements. These were shown to be optimal for lossy-phase estimation in the large photon number limit [34].

Gaussian states are specified by a displacement vector \mathbf{d} comprised of means, $d_i = \langle \hat{x}_i \rangle$, and a matrix $\mathbf{\Gamma}$ comprised of covariances, $\Gamma_{ij} = \frac{1}{2} \langle \hat{x}_i \hat{x}_j + \hat{x}_j \hat{x}_i \rangle - \langle \hat{x}_i \rangle \langle \hat{x}_j \rangle$, of the quadrature operators $\hat{x}_1 = \frac{1}{2}(\hat{a}^\dagger + \hat{a})$ and $\hat{x}_2 = \frac{1}{2}i(\hat{a}^\dagger - \hat{a})$ [35,36]. Homodyne measurement of a single-mode state provides a measurement of the \hat{x}_1 quadrature [37]. An arbitrary single-mode pure Gaussian state can be defined by the squeezing $\hat{S}(r, \phi) = \exp[\frac{1}{2}r(e^{-i\phi} \hat{a}^2 - e^{i\phi} \hat{a}^{\dagger 2})]$, displacement $\hat{D}(\alpha) = \exp[\alpha(\hat{a}^\dagger - \hat{a})]$, and rotation $\hat{R}(\varphi) = \exp(i\hat{a}^\dagger \hat{a} \varphi)$ operators acting on vacuum: $|\psi^G\rangle = \hat{R}(\varphi) \hat{D}(\alpha) \hat{S}(r, \phi) |0\rangle$ where all arguments are real and the mean number of photons within the state is $\langle \hat{n} \rangle = \alpha^2 + \sinh^2(r)$. The actions of squeezing, displacement, rotation (phase shift), and loss modify \mathbf{d} and $\mathbf{\Gamma}$ [38]. $|\psi^G\rangle$ will be transformed by Λ_χ to $|\tilde{\rho}\rangle = \Lambda_\chi(|\psi^G\rangle)$ with

$$\tilde{\mathbf{d}} = \mathcal{R}(\varphi + \theta) \begin{pmatrix} \alpha\sqrt{\eta} \\ 0 \end{pmatrix}$$

and

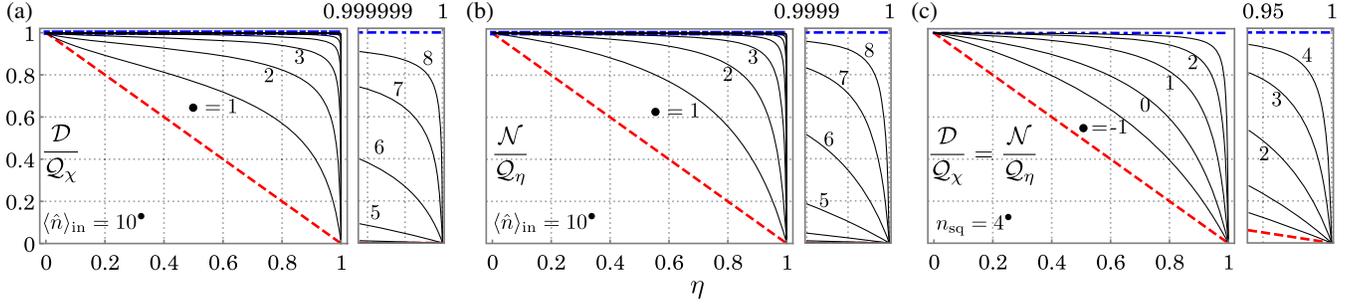


FIG. 2. Comparing strategies for CPLE and DAE with their respective quantum limits: Within each plot the red dashed line shows the SQL and all plots are normalized to the quantum limit \mathcal{Q}_χ or \mathcal{Q}_η . Plots (a) and (b) display the amount of statistical information \mathcal{D} and \mathcal{N} encoded onto the displacement vector (mean number of photons) of a squeezed coherent state for CPLE and DAE, respectively. The inset shows that even for very low absorption these states approach the quantum limit for modest energies. Statistical information plotted for varying input mean photon number operating with the optimal squeezing value presented in (a) Eq. (9) and (b) Supplemental Material E [33]. (c) Amount of statistical information \mathcal{D} (\mathcal{N}) encoded onto the displacement vector of a squeezed coherent state for CPLE (DAE) when α is large. We note that for (a)–(c), inset figures display the same y-axis scale as the main plots, while having an x-axis scale as labeled at the top of the plot.

a small fraction of the total energy needed, for example, to generate the quantum probe.

For finite $\langle \hat{n} \rangle_{\text{in}}$, \mathcal{D} can be optimized by choosing the best value of n_{sq} . The optimal amount of squeezing is derived in the Supplemental Material D [33] to be

$$n_{\text{sq}} = \frac{(\sqrt{1 - 4(\eta - 1)\eta\langle \hat{n} \rangle_{\text{in}}} - 1)^2}{4(1 - \eta)(\sqrt{1 - 4(\eta - 1)\eta\langle \hat{n} \rangle_{\text{in}}} - \eta)}, \quad (9)$$

which results in

$$\mathcal{D} = \mathcal{Q}_\chi \frac{2(\eta - 1)\langle \hat{n} \rangle_{\text{in}} + \sqrt{1 - 4(\eta - 1)\eta\langle \hat{n} \rangle_{\text{in}}} - 1}{2(\eta - 1)\langle \hat{n} \rangle_{\text{in}}}.$$

In Fig. 2(a) the optimal \mathcal{D} for a selection of different values of $\langle \hat{n} \rangle_{\text{in}}$ is plotted over $\eta \in (0, 1)$. The range of $\langle \hat{n} \rangle_{\text{in}} = 10^i$, $i \in \{0, 1, \dots, 8\}$ scales to large numbers but corresponds to low energy e.g., 10^8 photons at $\lambda = 500$ nm equates to 4×10^{-11} J. The plot shows that Gaussian states with modest energies can provide large precision gains for CPLE.

Probe states for DAE.—We now turn to DAEs, which refer to measurements of absorption which do not exploit information about any phase imparted by a sample. Previously, a limit on QFI was found for transmission estimation where no phase is imparted by the sample, i.e., $\theta = 0$ [17], and Fock states were identified as optimal for this [18]. This bound applies equally for DAE since Fock states are invariant under phase shifts. Since θ is uncorrelated with η and unknown, it cannot increase the QFI associated with η [31], and therefore the limit on QFI for DAE is $\mathcal{Q}|_{\partial_\chi \theta = 0, \partial_\chi \eta = 1} := \mathcal{Q}_\eta$. Similarly $\mathcal{S}_\chi|_{\partial_\chi \theta = 0, \partial_\chi \eta = 1} := \mathcal{S}_\eta$ is the SQL for DAE [18]. However when a Gaussian probe is used, DAE is inequivalent to CPLE with $\partial_\chi \theta = 0$ since the probe state will be transformed by any phase shift

present. For instance, the strategy for CPLE described above using Gaussian states does not work for DAE as the correct homodyne measurement setting depends on the phase imparted by the sample—we therefore seek an alternative strategy.

Intensity measurements are unaffected by the phase of the detected light, and therefore provide a way to decouple the effects of sample absorption and any phase shift. To find useful strategies for DAE, we consider the statistical information \mathcal{N} contained measurement of the mean intensity which will be detected $\langle \hat{n} \rangle_{\text{out}} = \eta \langle \hat{n} \rangle_{\text{in}}$, which can be found most simply using standard error propagation:

$$\begin{aligned} \mathcal{N} &:= 1/\text{Var}(\eta) = (\partial_\eta \langle \hat{n} \rangle_{\text{out}})^2 / \text{Var}_{\text{out}}(\hat{n}) \\ &= (\langle \hat{n} \rangle_{\text{in}})^2 / [\eta^2 \text{Var}_{\text{in}}(\hat{n}) + \eta(1 - \eta)\langle \hat{n} \rangle_{\text{in}}], \end{aligned} \quad (10)$$

which applies for arbitrary states. Considering only the mean intensity ensures complex measurement and estimation procedures are not needed and \mathcal{N} plays a role analogous to FI.

Loss reduces the amplitude of a Gaussian state, and so a natural probe state to consider for DAE is an amplitude-squeezed Gaussian state, $|\psi^G\rangle|_{\phi=0}$. Note that for this state $\text{Var}_{\text{in}}(\hat{n}) = 2\text{tr}^2\mathbf{\Gamma} - \frac{3}{4} + (\langle \hat{n} \rangle_{\text{in}} - n_{\text{sq}})e^{-2r}$ [44] and $\text{tr}^2\mathbf{\Gamma} = \mathcal{O}(n_{\text{sq}}^2)$. Asymptotic optimality $\lim_{\langle \hat{n} \rangle_{\text{in}} \rightarrow \infty} \mathcal{N}/\langle \hat{n} \rangle_{\text{in}} = \mathcal{Q}_\eta/\langle \hat{n} \rangle_{\text{in}}$ can be achieved if n_{sq} is unbounded (to ensure e^{-2r} vanishes) and also a vanishing proportion of $\sqrt{\langle \hat{n} \rangle_{\text{in}}}$. This ensures that the photon number variance of the input state contributes negligibly to the denominator of expression on the second line of Eq. (10). Also shown in Eq. (10) is that in order to maximize \mathcal{N} , the photon number variance of the input should be minimized for a given $\langle \hat{n} \rangle_{\text{in}}$ independently of η . In Fig. 2(b) the optimal \mathcal{N} for different values of $\langle \hat{n} \rangle_{\text{in}}$ is plotted over $\eta \in (0, 1)$. (see Supplemental Material E [33] for the optimization). This plot shows that

Gaussian states with modest energies can provide large precision gains for DAE.

Multipass strategies.—Rather than using nonclassical states, it is sometimes possible to increase precision beyond the SQL by sending a classical (coherent state) optical probe through the sample multiple times [34] or by optimizing experimental parameters [45]. Recently it was shown that, for lossy-phase estimation, multipass strategies could obtain 60% of the quantum limit on FI for a given number of photons incident upon the sample over all passes and for any values of the phase shift and loss. In Supplemental Material F [33] we extend this result and show that multipass strategies provide exactly the same benefits for CPLE and DAE as they do for lossy-phase estimation. This exact correspondence holds even when lossy components are used to perform the multipass strategy.

Practical application.—At present the highest amount of optical squeezing measured is 15 dB [46] ($n_{sq} = 7.4$). By explicitly considering large α we can quantify the quantum advantage, Δ , squeezing brings to both CPLE and DAE:

$$\Delta = \lim_{\alpha \rightarrow \infty} \mathcal{N}/\mathcal{S}_\eta = \lim_{\alpha \rightarrow \infty} \mathcal{D}/\mathcal{S}_\chi = \frac{1}{e^{-2r\eta} + (1 - \eta)}, \quad (11)$$

observing that the enhancement provided for both DAE and CPLE is the same and for a fixed sample transmission η depends only on the input squeezing parameter r . The precision gains which squeezing brings to probe states with large α is plotted in Fig. 2(c).

For CPLE, Eq. (11) encouragingly indicates that a small amount of squeezing can substantially increase the precision of a measurement. Generating and detecting Fock states is a nontrivial task and as such only low photon number Fock states have been generated [47,48]; these states may prove useful for the measurement of samples which are damaged by very few photons. The Gaussian probe state we have studied can be created by the displacement of a squeezed vacuum state to contain much larger amounts of power [49], benefiting absorption measurements far beyond the few photon regime.

We highlight Ref. [6] which reported absorption measurements with 10 μ W of incident laser light (10^{13} photons per second) at 633 nm to detect the presence of single molecules. Using a balanced photodetector the effective intensity fluctuations in the laser light were reduced to the shot-noise limit. Using Eq. (11) and taking η to be 0.95, 15 dB of squeezing [50] in this experiment would reduce the contribution to the mean-squared error (MSE) from fundamental fluctuations by a factor of 12.5. This is 79% of the advantage provided by using 10^{13} photons per second in ideal Fock states. This would allow an increase in precision while maintaining incident optical power, for example at a level just below the photobleaching threshold. Strategies using squeezed light in general can offer

improvements due to other limitations. Examples include Refs. [51,52] which introduce a squeezed light source to tackle the competing interferometric noise contributions from shot and backaction noise in gravitational wave astronomy.

As well as reducing the MSE, an alternative benefit for this quantum strategy is that the same precision can be achieved with a factor of 12.5 reduction in input intensity. This can provide an opportunity to increase the frame rate of the sensor, allowing faster dynamics to be observed [53]. In general, squeezing strategies should target systems that are shot-noise limited [54] and seek an increase in precision in the range that quantum states of light can provide [55].

Conclusion.—Our results further indicate that for estimating parameters of linear optical transformations with nonunit transmissivity, the information encoded in the coarse-grained properties of a state, such as the mean intensity or mean quadrature value, is very close to the fundamental limit on the information encoded on an entire state [14,34]. We anticipate the quantum limit on CPLE and our Gaussian state strategy can be generalized to multiparameter estimation problems [56] and perhaps even to precision estimation of general-linear mode transformations.

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