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# Advanced analytical techniques for studying the morphology and chemistry of Proterozoic microfossils

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**Abstract:** This paper outlines the suite of advanced multi-scalar techniques currently available in the toolkit of the modern Proterozoic palaeobiologist. These include non-intrusive and nondestructive optical, laser and X-ray techniques, plus more destructive ion beam and electron beam methods. Together, these provide morphological, mineralogical and biochemical data at flexible spatial scales from that of an individual atom to the largest Proterozoic microfossils. An overview is given of each technique and a case study from the exceptionally well-preserved Torridonian biota of NW Scotland is presented. This microfossil assemblage was first recognized over a century ago, but its great diversity and evolutionary importance has only recently come to light, due in no small part to the research efforts of Martin Brasier.

Modern palaeobiology primarily exists to discover, describe and decode the ancient biosphere, and to understand the course of global evolutionary change. Stemming from its roots in Victorian natural history, palaeobiology has made good use of technological advances to shed light on new discoveries (see Sutton *et al.* 2014; Wacey 2014 and references cited therein) and to reveal previously unimagined details in historical material (Brasier *et al.* 2015). As with any modern field of science, palaeobiological research must continually look forwards to the next potential discovery, utilizing all the available tools and techniques.

Historically, major discoveries have predominantly dated from the Phanerozoic as a result of the relatively well-preserved and easily recoverable fossils of the macroscopic organisms alive during this time. In the search for life's origins and early record, attention has inevitably turned to the more poorly understood Proterozoic and Archaean fossil records. The evolutionary history of these expanses of time is much less well established because there is a shortage of exposed rock of the appropriate age, a relative paucity of fossil material and limitations in extracting the relevant information. Fossils from these times are typically microscopic, enigmatic and poorly preserved, although a number of exceptionally preserved deposits have come to characterize the Proterozoic fossil record (e.g. the Torridonian biota, Strother et al. 2011; the Doushantuo biota, Yin & Li 1978). In both 'traditional' and 'exceptional' examples of preservation, our

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understanding is still limited by the observational
 and analytical techniques used to characterize these
 important specimens.

62 The approaches traditionally used to study 63 early fossil material are essentially borrowed and 64 adapted from the methods used in the study of Palae-65 ozoic fossils and are best suited to hard-bodied 66 macroscopic fossils or compressed organic material 67 extracted by acid maceration. However, as our 68 understanding of Precambrian environments has 69 fundamentally improved, it has become clear that 70 entirely different preservational styles are possible, 71 some of which require novel analytical approaches. 72 Although many Proterozoic carbonaceous fossils 73 can still be found compressed within shales (Javaux 74 et al. 2004; Agic et al. 2015) and can be extracted 75 for study by palynological acid maceration tech-76 niques, microfossil material can also be hosted in 77 a variety of other media, including chert (e.g. Bar-78 ghoorn & Tyler 1965), pyrite (e.g. Rasmussen 79 2000), authigenic aluminosilicates (e.g. Wacey 80 et al. 2014) and cryptocrystalline phosphate (e.g. Strother et al. 2011). These alternative preservatio-81 82 nal styles originate from the biogeochemical con-83 ditions that prevailed in specific environments 84 or across specific periods of time. They are able 85 to exceptionally preserve microfossils of a wide 86 range of affinities in their original spatial context, 87 often in three dimensions, reflecting a broad spec-88 trum of taphonomic decay. In these cellular Lager-89 stätte, challenges are posed by the small scale, 90 enigmatic nature and relative scarcity of Proterozoic 91 fossils, as well as by their complex taphonomic and 92 metamorphic histories. Thus a thorough understanding of Proterozoic and Archaean life necessarily 93 94 calls for state of the art, high spatial resolution and 95 holistic imaging and analysis techniques.

96 An increasing number of researchers are 97 now making use of such techniques to study both 98 Proterozoic and Archaean material, revealing 99 unprecedented levels of detail and allowing the 100 reconstruction of the complex Precambrian bio-101 sphere. It is still common, however, for these differ-102 ent approaches to be attempted separately, often by 103 different individual research groups, which can par-104 tially preclude the synthesis of information and an 105 overall understanding of local, regional or even 106global palaeoecologies. Here we present a holistic 107 methodology for studying Proterozoic fossil depos-108 its with a consideration of their unique preservational styles and histories. A set of complementary 109 110 microanalysis techniques has already been pre-111 sented with respect to Archaean material (Wacey 2014). However, with the expansion of the bio-112 113 sphere (Knoll 1994), the evolution of eukaryotic 114 cells (Knoll et al. 2006) and the advent of various 115 metabolic pathways and trophic tiering (Knoll 116 2015), the Proterozoic fossil record is more complex and - as a result of its younger age (c. 2500– 540 Ma) – arguably better preserved. Thus a greater potential wealth of information might be gleaned from such deposits, necessitating their study on a variety of spatial scales, as well as assessing both their morphology and chemistry.

The following sections detail, in a logical order for practical investigation, multiple approaches to examining a Proterozoic microfossil assemblage, including the following: 'traditional' field study and optical microscopy; X-ray based techniques, including X-ray computed tomography (CT) and X-ray spectroscopy; laser-based techniques, including Raman spectroscopy and confocal laser scanning microscopy (CLSM); infrared spectroscopy; electron-based techniques, including scanning electron microscopy (SEM) and transmission electron microscopy (TEM); and ion-based techniques, including focused ion beam (FIB) milling and secondary ion mass spectrometry (SIMS). A combination of several of these techniques when investigating a single fossil deposit provides the best opportunity to fully reveal the palaeocology of the Proterozoic biosphere. An example of their application to the microfossiliferous rocks of the 1200-1000 Ma Torridonian Supergroup of NW Scotland is presented as a demonstrative case study.

### Standard palaeobiological techniques

### Field study and optical microscopy

A crucial starting point for any palaeobiological investigation remains a comprehensive field study and the preparation of candidate material for optical microscopy. As a preliminary investigation, this can provide an important palaeoenvironmental context and enable the quantification of the richness, morphology and spatial distribution of fossils, plus the depositional setting and taphonomic history of the fossil deposit.

A detailed sedimentological and stratigraphic study should initially be made of the fossiliferous rocks, and the rocks associated with them, to allow accurate palaeoenvironmental, metamorphic and tectonic interpretations. Such a study will provide regional, local and fine-scale information pertaining to the location, type and energy of the environment of deposition, as well as any subsequent chemical or structural changes that may have taken place since lithification. Fine-scale field observations will also allow the identification of candidate fossiliferous material. This may be related to specific preservational mineralogies, such as cherts (e.g. the c. 1900 Ma Gunflint Formation; Barghoorn & Tyler 1965) or phosphates (e.g. the c. 600 Ma Doushantuo Formation; She et al. 2013) or be found in association with macroscopic fossil structures, including siliceous 117 and phosphatic stromatolites (e.g. the c. 1900 Ma Belcher Supergroup; Hofmann 1976) and micro-118 119 bially induced sedimentary structures (e.g. the c. 120 1000 Ma Diabaig Formation; Callow et al. 2011). 121 The collection and documentation of candidate 122 material should be methodical and include global 123 positioning system localities, orientation data and 124 specific relationships with larger scale structures.

125 Polished, uncovered (which are more useful 126 than covered for subsequent techniques) petrographic thin sections can be prepared from the 127 128 collected samples for analysis using optical micro-129 scopy. Ideally, thin sections should be prepared 130 both perpendicular and parallel to the bedding direc-131 tion to capture the full spatial distribution of micro-132 scopic fossils. Although sections 30 µm thick are 133 required for mineral identification using cross-134 polarized light, the detection of fossil material may 135 be facilitated by the use of sections up to c. 150  $\mu$ m 136 thick, provided the encasing medium is sufficiently light-coloured and free of dark impurities. This 137 138 increases the chances of capturing entire cellular 139 material and the in situ relationships between differ-140 ent fossil taxa.

141 The primary purpose of optical microscopy is 142 to locate and identify fossil material and to docu-143 ment its spatial distribution and relationship with 144 non-biological minerals. For the majority of Prote-145 rozoic carbonaceous fossil deposits, examination 146 and imaging at all magnifications up to  $1000 \times$  are 147 needed to provide a complete context. This can 148 allow the observation of fine structural details up 149 to c. 0.2  $\mu$ m across, but note that oil immersion is 150 required at the highest resolutions to increase clarity, which may be detrimental to some subsequent 151 152 techniques. The position of fossil material can be 153 identified and recorded for future reference using 154 standard graticules, When fossil material is pre-155 served with some degree of three dimensionality, 156 focusing through the thickness of the slide can 157 reveal its shape, organization and extent. A range 158 of different photomicrography suites is now avail-159 able for capturing images of such samples (e.g. 160 Synchroscopy Auto-Montage, as demonstrated by 161 Brasier et al. 2005). Many packages contain algo-162 rithms for stacking focused images from different 163 depths within a section to produce a single, focused image, or for stitching together images of adjacent 164165 fields of view to produce a high-resolution 'map' 166 of a thin section. 167

Using a variety of optical micrographic tools, the preliminary identification and quantification of fossil material may be carried out, larger scale spatial relationships determined and candidate fossils selected for further analysis. This work is vital for the initial study of a fossil deposit, but the intrinsic limitations of this approach preclude its use for finer scale analyses. Certain media may be

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unsuitable for investigation by optical microscopy. Dark-coloured material, or enclosing media with many impurities, for example, may mask fossil details and reduce their visibility, especially through thick sections. Larger microfossils may be cross-cut by the sectioning process, limiting interpretation. Another limitation is that the identification of the chemical constituents of samples is limited to that which can be determined by standard petrographic methods and may not be sufficient for fine-grained or finely crystalline material. As carbonaceous fossils are often dark coloured, optical analyses will only be able to resolve their surface shape and structure, with the fossils themselves masking any underlying ultrastructure or interior features. Thus more versatile high spatial resolution techniques are required for a better understanding of both fossil material and its preservational medium.

# *Non-destructive moderate to high spatial resolution techniques*

Non-destructive techniques are classified here as techniques that can be applied to a standard geological thin section, rock chip or rock hand sample with minimal sample preparation and that do not consume or alter the specimen of interest during the analysis. Hence they can be applied to type specimens (including holotypes on loan from museums) and can be utilized as a precursor to more destructive techniques on newly discovered material.

X-ray computed tomography. X-ray CT maps the X-ray attenuation within a rotating sample. Data are captured as a series of projections that can be reconstructed as two-dimensional (2D) slices and three-dimensional (3D) visualizations (see Kak & Slaney 2001; Cnudde & Boone 2013 for overviews). The X-ray attenuation is dictated by factors such as the elemental composition and density, hence X-ray CT can often detect variations in the style of fossil preservation and mineralization, as well as building up 3D models of entire specimens (Conroy & Vannier 1984: Haubitz et al. 1988: Sutton et al. 2001). The high-resolution form of X-ray CT used for fossils is known as X-ray microtomography (µCT) and has been utilized in palaeobiology for almost two decades (Rowe et al. 2001; Sutton 2008). It is routinely applied to Phanerozoic vertebrate and invertebrate fossils, ranging from echinoderms (Rahman & Zamora 2009) to dinosaurs (Brasier et al. 2016) and from plants (Spencer et al. 2013) to arthropods (Garwood & Sutton 2010). The study of microfossils using CT has become viable in recent years with the use of synchrotron-based systems in which more intense, monochromatic X-rays result in improved contrast and greater spatial resolution (Donoghue et al. 2006; Huldtgren et al. 2011).

175Recent years have also seen improvements in the176spatial resolution of laboratory-based  $\mu$ CT and177nano-CT systems where sub-micrometre resolutions178are now possible (Hagadorn *et al.* 2006; Schiffbauer179*et al.* 2012; Sutton *et al.* 2014).

180 Despite these technological advances, configur-181 ing the correct instrumental parameters for µCT scanning a given microfossil specimen is challeng-182 183 ing and some specimens will not be suited to µCT 184 techniques due to a lack of X-ray attenuation con-185 trast between the specimen and the matrix and/or 186 the presence of X-ray opaque minerals. In general, µCT is applied to small rock chips. It is not suited 187 to geological thin sections because of their highly 188 189 anisotropic nature, although thin sections can be 190 cut down to a more isotropic shape if allowed by 191 the owner, or the fossils can be liberated using a 192 micro-corer. Elsewhere in this volume, Hickman-193 Lewis et al. (2016) report several case studies of 194 the µCT scanning of Precambrian microfossil-195 bearing rocks using two laboratory-based CT scan-196 ners with spatial resolutions (minimum voxel sizes) 197 of about 5 and 0.5 µm, respectively. They show 198 that  $\mu$ CT can be a valuable tool to decode the 3D 199 petrographic context of such biological material -200 for example, by highlighting potential organic 201 grains and laminations, fractures within the matrix, 202 assemblages of detrital heavy minerals and the 203 replacement of silica by carbonate rhombs (which 204 are known to reduce the quality of microfossil pres-205 ervation). Detecting individual microfossils using 206 laboratory-based CT remains challenging unless 207 the preservation window is particularly favourable 208 (e.g. pyritized microfossils in a silica matrix; see 209 Hickman-Lewis et al. 2016). The use of a synchro-210 tron-based CT (or laboratory-based nano-CT) sys-211 tem can improve results by providing more intense 212 X-rays and improved spatial resolution, but this 213 requires more specialist sample preparation (e.g. 214 micro-coring) to obtain sub-millimetre pieces of 215 fossiliferous rock, meaning that it can no longer be 216 realistically classified as a non-destructive tech-217 nique and can seldom be applied to holotype 218 material.

220 X-ray spectroscopy. A logical extension to examin-221 ing the morphology of microfossils using X-ray 222 microtomography is to investigate their chemistry 223 using X-ray spectroscopy. A range of X-ray tech-224 niques is available to characterize fossiliferous 225 rocks, most performed on a synchrotron beamline 226 (for overviews, see Fenter et al. 2002; Templeton 227 & Knowles 2009) and utilizing both hard X-rays 228 (more penetrating with wavelengths of 1-20 Å and 229 photon energies >5-10 keV) and soft X-rays (less 230 penetrating with wavelengths of 20-200 Å and 231 photon energies <5 keV). X-ray fluorescence map-232 ping provides semi-quantitative element-specific

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maps over flexible spatial scales (micrometres to millimetres, e.g. Edwards et al. 2014). Near-edge X-ray absorption fine structure and X-ray absorption near-edge structure spectrometry are techniques that use soft (low-energy) and hard (high-energy) X-rays, respectively, to excite the core electrons in an element (Templeton & Knowles 2009). The resulting spectra provide information on both the coordination chemistry and valence of the element of interest. Scanning transmission X-ray microscopy uses soft X-rays to obtain both spectral data and images of these spectral data (e.g. maps of the spatial distribution of specific elements, valence states or functional groups) at the nanometre scale, created by rastering samples through an X-ray beam at stepwise-increasing incident X-ray energies to cover the absorption edges of the elements of interest (e.g. Lawrence et al. 2003). Although these types of analyses do not destroy the specimen, specialist sample preparation (e.g. micro-cored rock chips; doubly polished thin sections no more than c. 100  $\mu$ m thick) means that permission for holotype specimens to be analysed in this way is unlikely to be granted. Beam damage can also affect subsequent chemical analyses.

In terms of Proterozoic microfossils, much of the interest in X-ray spectroscopy surrounds the chemical bonding of carbon. The energy resolution of X-ray absorption fine structure/X-ray absorption near-edge structure is excellent (c. 0.1 eV), so closely spaced peaks can be resolved. Hence carbon bound in aromatic groups, aliphatic groups, ketones, peptides, carbonyls, carboxyls and carbonate can all be distinguished from one another (Bernard et al. 2007). Such spectra may help to characterize cellular v. extracellular organic components and the interfering signals from carbonate minerals can be subtracted. De Gregorio et al. (2009) applied this methodology to powders of organic material from the 1878 Ma Gunflint Formation and showed that polyaromatic carbon, carboxyl and phenol groups had all been preserved in this ancient kerogen. Similarly, the bonding characteristics of other elements common in organic material (e.g. S, N, P, O) may help to determine whether they are present as organic or inorganic forms in ancient fossiliferous rocks. For example, Lemelle et al. (2008) used X-ray fluorescence to quantify the amounts of sulphur within the cell walls of coccoid microfossils from the c. 750 Ma Draken Formation, Svalbard before using X-ray absorption near-edge structure techniques to determine the speciation of sulphur. They showed that the sulphur was a reduced organic form and was most likely present as a thiophene-like compound.

*Confocal laser scanning microscopy.* The technique of CLSM provides high spatial resolution morphological data (<100 nm is possible) allowing 233 the visualization of microfossils in three dimensions 234 (for overviews, see Halbhuber & Konig 2003; 235 Sutton et al. 2014). Under ideal conditions data col-236 lection from standard polished or unpolished geo-237 logical thin sections is rapid and CLSM is able to 238 resolve tiny morphological features that may be 239 unclear or hidden when viewed under light micro-240 scopy, as well as giving a true 3D perspective to 241 the distribution of microfossils (Schopf et al. 242 2006; Cavalazzi et al. 2011). However, natural sam-243 ples are rarely ideal for the application of this tech-244 nique. CLSM relies on the fact that organic material 245 auto-fluoresces when excited by a laser of a specific 246 wavelength. The system can accurately focus and 247 scan at different depths within a microfossil speci-248 men and can exclude fluorescence outside the 249 plane of focus; 3D images are then built up combin-250 ing the data acquired from successive planes of 251 focus (see Amos & White 2003). Hence anything 252 that interferes with the transmission or detection 253 of this signal severely degrades the quality of the 254 final images obtained. For example, specimens situ-255 ated a long way below the surface of a thin section or 256 with thick opaque walls will not provide sharp 257 CLSM images. Similarly, a specimen surrounded 258 by plentiful fluorescing organic detritus, or one 259 that is embedded in a mineral that internally reflects 260 the fluorescence signal, may be problematic. The 261 maturity of the organic material also affects the 262 quality of the data, with the auto-fluorescence signal 263 dissipating as the organic material becomes more 264 geochemically mature and loses more of its hetero-265 atoms (i.e. evolves towards the structure of graph-266 ite). Hence CLSM is of greatest use when applied 267 to thin-walled organic microfossils preserved in 268 silica (and, to a lesser extent, phosphate) and housed 269 in rocks of low metamorphic grade. In these cases 270 significant insights into the 3D morphology and taphonomic preservation of Proterozoic micro-271 272 fossils may be obtained. For example, in the Neo-273 proterozoic Buxa Formation, CLSM was able to 274 demonstrate the 3D organization of groups of fila-275 mentous microfossils (Schopf et al. 2008). In the 276 850 Ma Bitter Springs Formation and the 650 Ma 277 Chichkan Formation, notches, tears, grooves and 278 surface ornamentation were all detected in micro-279 fossils using CLSM (Schopf et al. 2006), whereas 280in the c. 580 Ma Doushantuo Formation CLSM 281 revealed parts of fibrous tissues and cell walls within 282 fossil alga that were not visible by any other means 283 (Chi et al. 2006). 284

285Laser Raman microspectroscopy and imagery.286Raman spectroscopy is a versatile, non-intrusive287and non-destructive in situ technique. It can be288used to identify the mineralogy of microfossils and289their host rocks and is particularly sensitive to the290molecular structure and geochemical maturity of

carbonaceous phases such as kerogen - the prime constituent of organic-walled microfossils (for details, see Beyssac et al. 2002; Fries & Steele 2011). In addition, when used in the confocal imaging mode, Raman spectroscopy can provide 2D and 3D chemical and structural maps of microfossils at moderate spatial resolution (potentially  $<1 \,\mu m$ ). Raman spectroscopy can be applied to rock chips and standard uncovered geological thin sections. Data are acquired via laser excitation of the chemical bonds within the sample. This excitation produces characteristic spectra depending on the minerals and compounds present. Maps can be constructed of the spatial distribution of various spectral parameters, including the intensity of a given peak (also sometimes referred to as a band) or the ratios of two given peaks.

For the field of Proterozoic palaeobiology, the peaks of interest are often associated with carbon. In perfectly crystalline graphite, a single firstorder peak occurs at 1582 cm<sup>-1</sup>, attributed to stretching of the C-C bonds in basal graphite planes (known as the G or graphite peak) (Jehlicka et al. 2003). Second-order peaks occur at c. 2695 and 2735 cm<sup>-1</sup>. Imperfectly crystallized graphitic carbons, including kerogens, have additional peaks at c.  $1355 \text{ cm}^{-1}$  (known as the D1 or disordered peak) and c. 1620  $\text{cm}^{-1}$  (D2, occurring as a shoulder to the G peak) and a single broad second-order peak at c.  $2700 \text{ cm}^{-1}$ . The specific position, width and relative intensities of these peaks vary depending on the degree of ordering of the carbon and these parameters have been characterized in carbon of varying metamorphic grade in an attempt to use Raman spectroscopy as an indicator of the antiquity of carbon in ancient rocks (Tice et al. 2004). This is by no means an exact science because the starting composition of organic material in different metamorphic terrains, both geographically and temporally, may differ. Putative carbonaceous microfossils should, however, exhibit very similar Raman spectral features to other carbonaceous material in the same rock specimen because both should have undergone the same maturation processes. Raman spectra cannot be used to unequivocally determine the biogenicity of an ancient carbonaceous object because similar spectra to those of biogenic kerogens are seen in laboratorysynthesized abiological disordered carbonaceous material (Pasteris & Wopenka 2003). However, the co-occurrence of a kerogenous composition with features that optically resemble cellular material provides promising preliminary data regarding biogenicity that can be further tested using techniques with a higher spatial resolution.

As with CLSM, the highest quality data are obtained from specimens close to the surface of a thin section and it has been suggested that for viable

291 3D maps of kerogen to be produced, the entire speci-292 men of interest should be no more than  $6-8 \,\mu\text{m}$ 293 below the surface (Marshall & Olcott Marshall 294 2013). The best data will come from specimens 295 lying under translucent minerals such as quartz 296 c.  $1-5 \,\mu\text{m}$  below the surface of a thin section; 297 microfossils associated with phases that fluoresce 298 strongly under the laser excitation beam may not 299 provide usable spectra. Care must also be taken 300 not to confuse the carbon signature of interest with 301 that produced by (1) the polymer used to attach 302 the thin section to the glass slide, (2) any coating 303 that may have been applied to the section during 304 previous analyses and (3) overlapping peaks in the 305 vicinity of carbon peaks - of particular note here is the  $1320 \text{ cm}^{-1}$  peak of hematite (Marshall *et al.* 306 307 2011). The carbon spectrum can also be artificially 308 modified by using too high a laser power or by ana-309 lysing right at the surface of a thin section that has 310 been polished (Fries & Steele 2011). Both of these 311 conditions should always be avoided. Raman spec-312 troscopy can also be used to elucidate some struc-313 tural information from the minerals that host 314 putative microfossils. Several minerals produce 315 Raman spectral peaks that vary in intensity depend-316 ing on their crystallographic orientation relative to 317 the incoming laser. This feature can be used, for 318 example, to image the distribution of the crystallo-319 graphic axes of quartz to see whether putative 320 microfossil material occurs between grain boundar-321 ies, is enclosed by entire grains or occurs in cracks 322 (Fries & Steele 2011).

323 Examples of Raman spectroscopy applied to 324 Proterozoic microfossils include a study by Fries 325 & Steele (2011), who mapped the carbon D to 326 carbon G peak intensity ratio (an indicator of 327 graphite domain size) to show micron-sized varia-328 tions in the structure of kerogen within and around 329 examples of Huroniospora from the 1878 Ma 330 Gunflint Formation. This potentially reflects initial 331 heterogeneities in the biological material. Also 332 within the Gunflint Formation, Wacey et al. (2013) 333 used Raman spectroscopy to demonstrate that Gun-334 flintia microfossils were dominantly carbonaceous 335 in composition, but were preserved as pyrite in 336 microenvironments where anoxia had allowed the 337 formation of pyrite via the metabolic activity of 338 sulphate-reducing bacteria. Raman spectroscopy 339 has been used extensively by Schopf and coworkers 340 to characterize Proterozoic microfossils (Schopf 341 et al. 2005, 2008; Schopf & Kudryavtsev 2005, 342 2009), culminating in the Raman index of preserva-343 tion. This correlates the geochemical maturity of 344 the kerogen, the fidelity of microfossil preservation, 345 the H: C and N: C ratios of organic material and 346 the metamorphic grade of the rocks. Examples have 347 been reported from 22 chert units ranging in age 348 from 2100 to 400 Ma (Schopf et al. 2005).

Micro-Fourier transform infrared spectroscopy. Micro-Fourier transform infrared (FTIR) spectroscopy is a vibrational technique that provides complementary information to that obtained from organic material using Raman spectroscopy. In particular, it provides data pertaining to the functional groups attached to carbon chains and their bonding environment within organic material (Mayo et al. 2004; Dutta et al. 2013; Chen et al. 2015). Different peaks in an IR spectrum arise due to different vibrational behaviours in the bonds of groups such as CH<sub>2</sub>, CH<sub>3</sub>, C-N, C=O and others. FTIR spectroscopy can be applied non-destructively, but requires doubly polished thin sections. The main drawback is currently the limited spatial resolution that can be obtained, with recent studies reporting only a c. 15  $\mu$ m<sup>2</sup> spot size in the transmission mode (Qu et al. 2015). This is sufficient to characterize larger Proterozoic acritarchs in palynological extracts (Arouri et al. 1999; Marshall et al. 2005) and groups of smaller filamentous and coccoid microfossils (Igisu et al. 2009), but is insufficient to determine the difference between the wall chemistry and internal chemistry of most Proterozoic organisms. The spatial resolution problem may be circumvented by using a micro-FTIR system attached to a synchrotron beamline, where spot sizes of  $<5 \,\mu m$ have been achieved for some parts of the spectra (Bambery 2016). However, this may require more specialist, often extremely difficult, sample preparation (e.g.  $<20 \,\mu m$  thickness, unglued slice).

Of particular interest are data from extant microorganisms, which suggest that FTIR may provide domain-specific information, whereby specific components (e.g. lipids) of different domains of life (i.e. prokaryote, eukaryote and archaea) may have characteristic ratios of CH<sub>2</sub> and CH<sub>3</sub> groups in their IR spectra (Igisu et al. 2009, 2012). This has led to FTIR being used in Proterozoic assemblages in an attempt to decode the phylogenetic affinity of microfossils (Igisu et al. 2009, 2014). Igisu Q5 et al. (2009) analysed microfossils in their mineral matrix and thus concentrated on the CH<sub>x</sub> (2500- $3100 \text{ cm}^{-1}$ ) region of the spectrum. This type of research is very much in its infancy and a better understanding, both of the changes in CH<sub>2</sub>/CH<sub>3</sub> during post-mortem alteration processes and of the spectral parameters of differentiated cells in multicellular organisms, is required for these data to become a robust domain-level signature. Insufficient data currently exist for comparisons of organic material from different terranes and of different metamorphic grades using this technique. Nevertheless, FTIR analyses from the 850 Ma Bitter Springs Formation, Australia and the 1878 Ma Gunflint Formation, Canada suggest that organisms in these fossil assemblages belong to Bacteria rather than Archaea or Eukarya (Igisu et al. 2009). Likewise,

349 combined FTIR and Raman data from the 1485 Ma 350 Wumishan Formation, China (Qu et al. 2015) sug-351 gested that the organic material was derived from 352 prokaryote cyanobacteria and was characterized 353 by a homogenous and low CH<sub>3</sub>/CH<sub>2</sub> ratio. FTIR 354 data from acritarchs from the c. 575 Ma Tanana For-355 mation, Australia suggest that Tanarium are proba-356 bly eukaryotic micro-algae, but Leiosphaeridia may 357 be Bacteria (Igisu et al. 2009, based on data pre-358 sented in Marshall et al. 2005).

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### Destructive high spatial resolution techniques

*Focused ion beam milling and scanning electron microscopy.* The technique of SEM has traditionally been of limited use in characterizing Proterozoic microfossils in geological thin sections because the majority of microfossils are embedded within the thin section and below the reach of this surfacebased technique. SEM has, however, provided high spatial resolution morphological data from the surfaces of individual microfossils in acid-etched rocks or those extracted from their host rock using acid maceration. This has revealed, for example, delicate wall ultrastructures that could not be resolved under the light microscope (Javaux *et al.* 2004; Moczydlowska & Willman 2009; Agic *et al.* 2015).

376 The use of SEM in Precambrian palaeobiology 377 has been reinvigorated by a new generation of dual-378 beam instruments where the user has access to both 379 an FIB and an electron beam (for overview, see 380 Young & Moore 2005). A highly focused beam of 381 heavy ions (usually Ga<sup>+</sup>) can be used to sputter 382 ions from the sample surface, essentially cutting 383 into the sample with very high (nano-scale) preci-384 sion (for details, see Wirth 2009). The electron 385 beam can be used to image the results. Additional 386 detectors can be inserted to image backscattered 387 electrons as well as secondary electrons, allow ele-388 mental analysis (using an energy-dispersive X-ray 389 spectroscopy (EDS) detector), or even phase detec-390 tion and crystallographic mapping (using an elec-391 tron backscatter diffraction detector). FIB milling 392 can be used to cut into, or through, specific features 393 in a thin section or rock chip, allowing the structure 394 perpendicular to the surface to be better visualized 395 (Westall et al. 2006). A number of sequential slices 396 can be milled through an object, with images or 397 other data acquired after each slice has been milled. 398 The latter is termed FIB-SEM nano-tomography 399 and allows the 3D reconstruction and visualization 400 of microfossils at very high spatial resolutions (for 401 details, see Wacey et al. 2012). The resolution 402 attainable is essentially dictated by the 3D size of 403 the object to be analysed, plus the available time, 404 although instrumental resolution limits may come 405 into play for very small objects. Slice thicknesses 406 are set by the user and can be <50 nm; however,

for practical reasons 100-200 nm slices have commonly been used. Proterozoic microfossils have been visualized using FIB-SEM nano-tomography from the 1878 Ma Gunflint Formation (Wacey et al. 2012, 2013), the c. 1700 Ma Ruyang Group (Schiffbauer & Xiao 2009; Pang et al. 2013) and the c. 1000 Ma Torridon Group. In the former, FIB-SEM data were key in revealing heterotrophic bacteria attached to, and fossilized in the act of decomposing, larger organisms (Wacey et al. 2013). The drawbacks of FIB-SEM nano-tomography include its destructive nature - the analysed specimen is completely consumed and only a digital record of its existence will remain - plus the restrictive timescales involved both in analysing objects c. > 30  $\mu$ m in diameter (24 hours or more beamtime required) and in processing and reconstructing the data. A number of options exist for processing and visualizing such data (and data from other 3D techniques such as X-ray CT), ranging from freeware products - such as the serial palaeontological image editing and rendering systems SPIERS (Sutton et al. 2012), Drishti (Limaye 2012) and Blender (Garwood & Dunlop 2014) - to more advanced (but expensive) products such as AVIZO (http://www. vsg3d.com). The choice of software will depend on the budget, time constraints, the quality of the raw data and whether there is an interest in producing just images, or images plus movies (for an overview of the options, see Sutton et al. 2014).

Transmission electron microscopy. The technique of TEM covers a number of separate sub-techniques that can all be performed in a transmission electron microscope. At its most simple, TEM is a very high spatial resolution imaging technique, capable of resolving objects separated by as little as c. 0.1 nm. A standard TEM image results from variable electron scattering as a beam of electrons is accelerated at high voltage through an ultrathin (ideally  $\leq 100$  nm) sample; a true high-resolution image is a phase-contrast image with atomic-scale resolution, allowing the visualization of the arrangement of atoms within a sample (Williams & Carter 2009). This provides information about the crystallinity of a sample, its lattice structure and any defects it may have.

Sample preparation is the key to obtaining highquality data and in this regard FIB has revolutionized the use of TEM in Precambrian palaeobiology. Before the advent of FIB, sample preparation for TEM involved either grinding up a rock, extracting organic material by acid maceration, or using ion polishing, meaning that the context of the putative microfossils was often lost. It was very difficult to obtain samples of uniform (and ultrathin) thickness and contamination was widespread. FIB milling now allows individual microfossils, or even specific 407 parts of individual microfossils, to be targeted with 408 great accuracy in their host thin section. Ultrathin 409 wafers (typically about 15  $\mu$ m × 10  $\mu$ m × 100 nm) 410 can then be extracted from below the surface of 411 the thin section (hence eliminating the possibility 412 of contamination) and mounted on a TEM grid 413 (for an overview, see Wacey *et al.* 2012).

In addition to morphology, a number of other 414 415 parameters can also be analysed by TEM, including 416 elemental composition, bonding and oxidation state, 417 crystal structure (leading to mineral identification) and crystal orientation. The elemental composition 418 419 of a sample can be determined at the nano-scale 420 using either EDS or by isolating and mapping spe-421 cific energy windows from an electron energy loss 422 spectrum. The fine structure of peaks within an elec-423 tron energy loss spectrum can also be used to shed 424 light on the bonding and oxidation state of the ele-425 ment of interest - for example, distinguishing disordered carbon from graphite (Buseck et al. 1988) and 426  $Fe^{2+}$  from  $Fe^{3+}$  (Calvert *et al.* 2005). For advanced 427 428 crystallography and mineral identification, selected 429 area electron diffraction provides quantitative infor-430 mation on the distances between atomic planes in 431 crystalline materials and allows the orientation of 432 several grains of the same mineral to be compared 433 with one another.

434 The technique of TEM has been used in Protero-435 zoic palaeobiology for several decades, with early 436 images of microfossils extracted from their host 437 rock in the c. 850 Ma Bitter Springs Formation, 438 Australia reported by Oehler (1977). A number of 439 studies have investigated the wall architecture of 440 Proterozoic acritarchs in an attempt to decode 441 their taxonomic affinities because TEM can detect 442 variations in the electron density and texture of dif-443 ferent layers within cell walls at nanometre-scale resolution. These include studies from the c. 444 445 575 Ma Tanana Formation, Australia (Arouri et al. 446 1999; Moczydlowska & Willman 2009), where the 447 recognition of a trilaminar sheath structure was 448 part of a suite of evidence suggesting that the micro-449 fossils were chlorophyte algae. TEM helped to elu-450 cidate the nanostructure of carbon particles making 451 up the cell wall in the 650 Ma Chichkan Formation, 452 Kazakhstan (Kempe et al. 2005). In the c. 1450 Ma 453 Roper and Ruyang groups of Australia and China, 454 respectively (Javaux et al. 2004), at least four differ-455 ent types of wall ultrastructure suggested a greater 456 diversity of eukaryote clades in these deposits than could have been recognized by standard optical 457 458 techniques. TEM has also been used to investigate 459 the interplay of microfossil walls with the minerals in which they have been preserved, with studies 460 461 from the 1878 Ma Gunflint Formation showing 462 how nano-grains of silica disrupt the carbonaceous 463 walls of bacteria as they are fossilized (Moreau & 464 Sharp 2004; Wacey et al. 2012). Data from the

*c*. 750 Ma Draken Formation, Svalbard showed both the cell membrane and cytoplasm of the coccoid microfossil *Myxococcoides* embedded within nano-grains of silica (Foucher & Westall 2013). TEM data from the *c*. 580 Ma Doushantuo Formation, China helped to decode the relationships between preserved microfossils and the phosphate granules in which they were contained and suggested that phosphate precipitation was likely to have been microbially mediated (She *et al.* 2013).

Secondary ion mass spectrometry. As applied to the field of Proterozoic palaeobiology, SIMS is a surface analysis technique, whereby the elemental or isotopic composition of a sample can be determined at moderate to high spatial resolution and with great sensitivity (i.e. many elements can be detected even when present at only the parts per billion level). The surface of a sample is sputtered with an ion beam and the secondary ions ejected from the sample are collected and analysed using a mass spectrometer (for details, see Ireland 1995). Two different types of SIMS instruments are commonly used in palaeobiological investigations.

- (1) The large radius secondary ion mass spectrometer is used to accurately determine the stable isotope ratios of key biogenic elements (e.g. carbon, sulphur), plus the ratios of radiogenic isotopes, in order to date rock formations containing microfossils (see, for example Stern *et al.* 2009; Farquhar *et al.* 2013; Williford *et al.* 2013). Such instruments can analyse objects as small as *c.*  $10-20 \ \mu m$  in diameter and the isotopic data can have a precision better than 0.5 parts per thousand (‰).
- (2) In NanoSIMS, the mass spectrometer has a different geometry and is thus capable of element (ion) mapping with a lateral resolution down to *c*. 50 nm (see Kilburn & Wacey 2015 for details). The NanoSIMS instrument can also give accurate isotopic measurements from objects  $<5 \ \mu$ m, albeit with poorer precision (generally >1%) than the large radius secondary ion mass spectrometer.

Both forms of SIMS can be applied to surface features in standard geological thin sections and rock chips, although some specialist sample preparation is needed so that the sample and appropriate standards can be correctly mounted together within the instrument. This generally involves mounting pieces of thin sections or rock chip alongside analytical standards in resin discs. SIMS is partially destructive in that layers of the surface material (as deep as *c*. 200 nm during isotope analysis with large radius SIMS) are consumed during the analysis. Small specimens may be entirely consumed by the analysis, whereas larger specimens can be repolished after analysis to look like new. 465 A number of Proterozoic microfossils have been 466 analysed by SIMS in the last 15 years. House et al. 467 (2000) were the first to determine the carbon isotope composition of individual microfossils using 468 469 material from the c. 850 Ma Bitter Springs and 470 1878 Ma Gunflint formations, finding  $\delta^{13}$ C signa-471 tures (-21 to -45%) consistent within specific 472 metabolic pathways (namely the Calvin cycle and 473 acetyl-CoA). This work was refined by Williford 474 et al. (2013), who analysed microfossils from four 475 Proterozoic assemblages (Gunflint, Bitter Springs, 476 plus the c. 650 Ma Chichkan and c. 740 Ma Min'yar formations) with greater precision and reproducibil-477 478 ity. They were able to show considerable variability 479 of  $\delta^{13}$ C within individual assemblages that may 480 reflect the preservation of the original metabolic dif-481 ferences between different components of each 482 biota and also potential heterogeneities in molecular 483 preservation in single microfossils. It must be noted 484 at this stage that non-biological reactions are able to produce similar  $\delta^{13}$ C fractionations (McCollom & 485 Seewald 2006), so a  $\delta^{13}$ C value must be supported 486 487 by a definitive biological morphology to prove the 488 biogenicity of ancient carbonaceous objects.

489 SIMS has also been used to investigate meta-490 bolic pathways involving sulphur in Proterozoic 491 organisms. Wacey *et al.* (2013) determined the  $\delta^{34}$ S 492 composition of pyritized microfossils from the 1878 Ma Gunflint Formation, finding sulphur frac-493 494 tionations ( $\delta^{34}S = +7$  to +22%) consistent with 495 pyrite formation via the activity of sulphate-reduc-496 ing bacteria in sulphate-starved sediment pore 497 waters. In the same study, Wacey et al. (2013) 498 used NanoSIMS to map the residual carbon and 499 nitrogen associated with the pyritized microfossils 500 and found reproducible differences in the preserva-501 tion of organic material between two different types 502 of organism (Huroniospora v. Gunflintia). Gunflin-503 tia was poorly preserved, which suggests that it 504 was more prone to decay by heterotrophic bacteria 505 (that also mediated pyrite formation) than Huronio-506 spora. NanoSIMS mapping of organic microfossils 507 in the c. 850 Ma Bitter Springs Formation has shown 508 the co-occurrence of carbon, nitrogen and sulphur 509 in such microstructures (Oehler et al. 2006) and 510 attempts have been made to quantify the ratios of 511 nitrogen to carbon to distinguish different compo-512 nents of microbial communities, or to distinguish 513 biological from co-occurring abiotic organic mate-514 rial (Oehler et al. 2009; Thomen et al. 2014), 515 although the SIMS community has yet to agree on the robustness of these methods. 516 517

# A Proterozoic case study: the 1200–1000 Ma Torridonian lakes

521 The effectiveness of combining multiple high spa-522 tial resolution *in situ* techniques is demonstrated

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here using a case study of microfossils from the 1200-1000 Ma Torridonian Supergroup of NW Scotland. Not all the described techniques were applied to the Torridonian material to avoid the duplication of data and to keep costs and processing times to reasonable levels. For example, we felt in this case that higher quality 3D morphological data could be acquired using FIB-SEM rather than CLSM, and that the detailed chemistry could be better (and more cheaply) determined using TEM rather than X-ray spectroscopy. We present data obtained from light microscopy, SEM, µCT, laser Raman, NanoSIMS, TEM and FIB-SEM nanotomography, which together provide a detailed characterization of a number of components of the Torridonian biota.

### Methods

Optical microscopy. Polished and uncovered petrographic thin sections of 30 and 100 µm thickness were examined under Nikon Optiphot-Pol and Nikon Optiphot-2 microscopes with  $4\times$ ,  $10\times$ ,  $20\times$ ,  $40\times$  and  $100\times$  (oil immersion) lenses at the Department of Earth Sciences, University of Oxford and with a Leica DM2500M microscope with  $4\times$ ,  $10 \times$ ,  $20 \times$  and  $50 \times$  lenses at the Centre for Microscopy Characterisation and Analysis (CMCA), The University of Western Australia. Images were captured using Synchroscopy imaging software (Acquis and Auto-montage) at Oxford and using Toupview imaging software at CMCA. Post-processing, for example the colouring of cells in Figures 2 and 3, was carried out in Adobe Photoshop (GIMP is an open source alternative).

Scanning electron microscopy of palynological specimens. Palynological samples were prepared at the Department of Animal and Plant Sciences, University of Sheffield using conventional acid maceration techniques (Grey 1999). Following HCl-HF-HCl acid maceration, the residues were sieved using a 10  $\mu$ m mesh. They were then treated to a heavy liquid separation using zinc chloride, followed by further sieving at 10  $\mu$ m. The organic residues were mounted directly onto glass slides using epoxy resin. SEM imaging was carried out using a JEOL JSM-840A scanning electron microscope at the Department of Earth Sciences, University of Oxford.

*X-ray micro-computed tomography.* Computed tomography scans were performed at the Manchester X-ray Imaging Facility using a Nikon Metris 225/320 kV X-ray CT system in a customized bay (tungsten reflection target; current/voltage of 130  $\mu$ A/80 kV; no filtration; 3142 projections of 708 ms exposure collected with a 2000 × 2000

523 detector; reconstructed dataset 5.1 µm voxels) and a 524 Zeiss Xradia Versa 520 system (standard transmis-525 sion target; current/voltage of  $62 \,\mu A/160 \,kV$ ; stan-526 dard in-built, high-energy 2 Zeiss filter; 4× optical 527 magnification; 501-1001 projections of exposures 528 between 0.5 and 2 s collected with  $4 \times$  binning 529 using a 2000  $\times$  2000 detector; reconstructed data-530 sets with  $1-2 \mu m$  voxel size). Additional propaga-531 tion-based phase-contrast scans were performed at 532 the TOMCAT beamline of the Swiss Light Source 533 (Paul Scherrer Institut, Villigen, Switzerland; 1001 534 projections of 700 ms exposure; 37 keV monochro-535 matic beam; 4× objective; a LAG:Ce 100 µm scin-536 tillator; reconstructions based on both attenuation 537 and phase used to create datasets with 1.625 µm 538 voxels). Datasets were reconstructed using the SPI-539 ERS software suite (Sutton et al. 2012), following 540 the methods of Garwood et al. (2012), and Drishti 541 (Limaye 2012), following the methods of Streng 542 et al. (2016).

544 Laser Raman spectroscopy. Laser Raman analyses 545 were carried out at the University of Bergen using 546 a Horiba LabRAM HR800 integrated confocal 547 Raman system and LabSpec5 acquisition and analy-548 sis software. Samples were standard uncovered geo-549 logical thin sections, which allowed optical and 550 chemical maps to be superimposed. All analyses 551 were carried out using a 514.5 nm laser, 100 µm 552 confocal hole, 1800 grating and 50× objective 553 lens. The laser was focused at least 1 µm below 554 the surface of the thin sections to avoid surface pol-555 ishing effects. For mineral identification from 556 Raman spectra, dual acquisitions were taken from 557 each analysis point, each with an acquisition time 558 of 4 s. Raman maps were acquired with a 1.5 µm 559 spatial resolution. 560

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561 Transmission electron microscopy of focused ion 562 beam milled wafers. The TEM wafers were prepared 563 using two dual-beam FIB systems (FEI Nova 564 NanoLab) at the Electron Microscopy Unit of the 565 University of New South Wales and at Adelaide 566 Microscopy at the University of Adelaide. Electron 567 beam imaging was used to identify the microfossils 568 of interest in standard polished thin sections coated 569 with c. 30 nm of gold, allowing site-specific TEM 570 samples to be prepared. The TEM sections were pre-571 pared by a series of steps involving different Ga<sup>+</sup> 572 ion beam energies and currents (see Wacey et al. 573 2012), resulting in ultrathin wafers of c. 100 nm 574 thickness. These TEM wafers were either attached 575 to Omniprobe copper TEM holders or deposited 576 on continuous-carbon copper TEM grids. TEM 577 data were obtained using an FEI Titan G2 80-200 578 TEM/STEM system with ChemiSTEM Technol-579 ogy operating at 200 kV, plus a JEOL 2100 LaB<sub>6</sub> 580 transmission electron microscope operating at

200 kV equipped with a Gatan Orius chargecoupled device camera and Tridiem energy filter. Both instruments were located at CMCA.

Nano secondary ion mass spectrometry. Ion mapping was performed using a CAMECA NanoSIMS 50 system at CMCA, with instrument parameters optimized as described in Wacey *et al.* (2011). Analysis areas were between  $12 \times 12 \,\mu\text{m}$  and  $25 \times 25 \,\mu\text{m}$  with a resolution of  $256 \times 256$  pixels (so each pixel measured between 47 and 98 nm), with a dwell time of 5–15 ms per pixel and a primary beam current of *c.* 2.5 pA. The secondary ions mapped were  ${}^{24}\text{C}_2^-$ ,  ${}^{12}\text{C}{}^{14}\text{N}^-$ ,  ${}^{28}\text{Si}^-$ ,  ${}^{32}\text{S}^-$  and  ${}^{56}\text{Fe}{}^{16}\text{O}^-$ ; charge compensation was achieved using the electron flood gun.

Focused ion beam scanning electron microscopy nano-tomography. Sequential FIB milling and SEM imaging was carried out on a Zeiss Auriga Crossbeam instrument at the Electron Microscopy Unit of the University of New South Wales using the method of Wacey et al. (2012, 2014). Key parameters were adjusted to suit the specific size and nature of each sample of interest. Initial trenches were milled using a 9 nA beam current and the imaged face was cleaned using a 2 nA beam current; the ion beam current for slice milling was 2 nA, the electron beam voltage for imaging varied between about 800 V and 5 kV, the step sizes between slices were between 75 and 200 nm and the image capture times were around 30 s per frame. In some samples, dedicated trenches were milled to obtain elemental (EDS) maps of microfossils that were not subsequently milled for 3D analysis.

To visualize the data, FIB-SEM images were stacked, aligned and cropped using SPIERSalign (Sutton *et al.* 2012). The resultant stacks were imported into SPIERSedit (Sutton *et al.* 2012), where a number of masks were added to segment individual components (e.g. cell walls, cell contents) of the microfossil assemblage. The resulting files were exported and loaded into SPIERSview (Sutton *et al.* 2012) to generate the 3D surface renderings.

### Results

Multiple seasons of fieldwork had been completed to gain a firm understanding of the geological context of the host rocks before the Torridonian microfossils were subjected to the high spatial resolution, *in situ* microanalysis described here. In addition, over 100 thin sections and hand samples had been studied to understand the depositional context and post-depositional history of the rocks and to isolate only the very best and most promising samples for further study. A large amount of optical microscopy

work had also been completed to form an estimate of the morphological diversity of the biota. This work has all been peer reviewed and published (Callow *et al.* 2011; Strother *et al.* 2011; Battison & Brasier 2012; Strother & Wellman 2015), thus giving a firm platform on which to build this highresolution work. A summary of some of the most common components of the Torridonian biota as observed by optical microscopy is given in Figure 1.

> Scanning electron microscopy data. As may be expected, the range of morphologies visible in SEM analysis (Fig. 2) was broadly comparable with that observed within thin sections of the phosphate (Fig. 1, plus Battison & Brasier 2012). Many simple vesicles and tubular morphotypes were observed, with SEM imaging affording enhanced resolution of their shape and wall structure. In particular, differences in the physical responses of

structures to compression hint at differences in the cell wall architecture. Two principal wall responses were observed. Thicker walled (wall at least 1  $\mu$ m thick) specimens accommodate flattening with broad, rounded, velvet-like folds or large creases (Fig. 2a). By contrast, thin-walled vesicles (<0.5  $\mu$ m) accommodate compression with fine wrinkles irregularly distributed across the surface and are apparently more prone to small tears (Fig. 2b). The flattening of these walls during preparation does not allow the resolution of any ultrastructural lamination, but a synthesis of the taphonomic response and wall thickness may be used to enhance the interpretation of microfossils studied by optical microscopy.

A number of unique forms of microfossils were also observed by SEM. This is probably due to the processing of larger quantities of material during preparation by acid maceration, as well as the



Fig. 1. Optical microscopy of Torridonian microfossils, demonstrating the common morphotypes present in the assemblage. (a) Highly degraded dark-walled vesicle. (b) Pristine dark-walled vesicle. (c) Light-walled vesicle, potentially possessing a double wall. (d) Cluster of light-walled spheroidal unicells, most with a dark spot indicating the potential preservation of cell contents. (e) Cluster of light-walled cells with mutually adpressed cell walls. (f) Pair of spheroidal unicells with very prominent dark inner sphere. (g) Partially decomposed filamentous sheath.
(h) Filamentous sheath with bulbous termination housing potential spheroidal cell. (i) Colony of light-walled elliptical cells comparable to *Eohalothece lacustrina* described by Strother & Wellman (2015). (j) Pair of cells that may have divided shortly before fossilization, each containing a dark spot. Scale bars 20 μm for (a–i) and 10 μm for (j).

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**Fig. 2.** Torridonian microfossils imaged and analysed by SEM, coloured for easier interpretation. (**a**) Large, thick-walled vesicle showing velvet-like folds. (**b**) Smaller, thin-walled vesicles with a crinkled surface and finely irregular outline. (**c**) Vesicle with large hemispherical pits bounded by raised rims or 'collars'. (**d**) Subspherical rigid vesicle retaining a 3D structure and bearing many irregular rounded holes. Pink coccoid structures attached to the vesicles (a, b) are potential fossil heterotrophs (see also Fig. 3). Sample CAI-7, macerated from phosphate from the Cailleach Head Formation. All scale bars 10 μm.

676 enhanced resolution afforded by SEM imaging. 677 Of note were two morphotypes, the first (Fig. 2c) 678 consisting of a vesicle c. 50  $\mu$ m in diameter, orna-679 mented with regular pits c. 10 µm across, with 680 each pit possessing a raised 'collar' c. 2 µm wide 681 and 2  $\mu$ m high. This form bears some resemblance 682 to the basal vesicle of Cheilofilum hysteriopsis But-683 terfield (see Butterfield 2005, figs 8 and 10) or the 684 freshwater green microalga Botryococcus braunii 685 (see Vandenbroucke & Largeau 2007, pl. e) in its 686 possession of flanged openings. The second form 687 (Fig. 2d) is a spherical hollow vesicle c. 20  $\mu$ m in 688 diameter with a spongy textured wall and irregularly distributed, rounded or sub-circular holes c. 1-689 690 3 µm across. This morphotype is particularly nota-691 ble for its retention of 3D structure following mac-692 eration, indicating significant rigidity of the wall. 693 In addition, non-vesicular membranous organic 694 matter with an irregularly pustulate and pitted tex-695 ture and an amorphous architecture was distributed 696 abundantly among the structurally distinguishable

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> vesicles and sheaths. The size and nature of this material was similar to the amorphous extra-polymeric substances secreted by mat-forming organisms in modern microbial ecosystems (cf. Pacton *et al.* 2007), but could also be amorphous kerogen. This material was occasionally seen contained within thin sections as a light-walled membrane, but its texture and extent was clearer under SEM analysis.

> Of particular note among the vesicles, sheaths and putative extra-polymeric substances were small coccoid or baccilate forms seen to be colonizing, to varying degrees, some of the larger fossil structures. These were associated with pits within those larger structures and were apparently embedded within a membrane that linked them to the host fossil (Fig. 3). We interpreted these forms as fossils of heterotrophic bacteria preserved feeding on the larger Torridonian microbial flora and this interpretation reinforced observations made previously using light microscopy (see Battison &



**Fig. 3.** Evidence of bacterial heterotrophy in SEM images. (**a**, **b**) Rounded pits and occasional holes, irregularly distributed on the surface of the walls of larger vesicles; (**b**) is an enlargement of boxed area in (**a**). (**c**, **d**) Collapsed coccoid or baccilate cells *c*. 5  $\mu$ m across, occupying pits in the walls of larger vesicles, occasionally with a thin raised lip; (d) is an enlargement of boxed area in (c) with heterotrophs false-coloured pink. (**e**) Densely packed colony of coccoid and baccilate cells (pink) continuous with amorphous degraded vesicular or extra-polymeric substances material (grey-green). (**f**) Higher magnification of colony in boxed area of (e) showing collapsed coccoid and baccilate structures arranged randomly with possible supporting and sheathing membrane. Sample CAI-7, macerated from phosphate from Cailleach Head Formation. Scale bars 20  $\mu$ m for (a, c, e) and 10  $\mu$ m (b, d, f).

Brasier 2012, fig. 9, where evidence for heterotrophy included roughly circular holes in large microfossil vesicles and inferred clumps of heterotrophic bacteria pseudomorphing decayed vesicles).

751 X-ray micro-computed tomography data. Micro-CT
 752 was explored as a method to investigate the petro 753 graphic context of cellular material and was also
 754 tested to determine whether individual microfossils

could be detected and their 3D morphology characterized. Scans of rock chips from the Cailleach Head Formation using a Nikon Metris 225/320 kV X-ray CT system with 5.1  $\mu$ m voxels revealed phosphate nodules as a slightly denser phase that could be distinguished from the surrounding matrix sediment (Fig. 4a, purple colour). It also suggested that phosphate was present in small quantities close to, but exterior to, the main nodule. Rounded

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**Fig. 4.** X-ray microtomography analysis of Torridonian rock chips. (a) Reconstruction of a CT scan of a rock chip using the Nikon instrument (voxels c. 5 µm), highlighting part of a phosphate nodule (purple) within a quartz-rich sediment (grey), plus a number of higher density grains that are probably pyrite or iron oxide (gold coloured). (b) Reconstruction of an X-ray scan of a second rock chip using the Swiss Light Source Synchrotron (voxels 1.625 µm). This shows a mixture of phosphate and other denser phases rather evenly distributed through the rock chip with no distinct phosphate nodule. (c, d and f, g) Reconstruction of two putative vesicles identified in a higher resolution CT scan using the Zeiss Xradia Versa instrument (voxels c. 1.5 µm). The light micrograph images (e and h) show specimens observed in thin sections that may be analogous to those identified using CT. Scale bars 2 mm for (a), 500 µm for (b) and 20 µm for (c-h).

concentrations of a very dense phase, most likely to be an iron-rich mineral such as pyrite or iron oxide, were shown to be present both within and outside the nodule (Fig. 4a, gold colour). Hence CT could be used in future investigations as a pre-screen of 803 804 rock fragments to determine the best position within 805 the rock from which to cut thin sections. The Nikon 806 CT scans detected phases of lower density within 807 the phosphate nodules that may be organic micro-808 fossils. However, the spatial resolution of this 809 instrument was insufficient to determine whether 810 these lower density objects were indeed microfos-811 sils or simply lower density sediment grains (e.g. 812 quartz) scattered through the phosphate nodules.

Higher resolution scans of a different rock chip (with 1.625  $\mu$ m voxels) conducted at the Swiss Light Source demonstrated a complex sedimentary texture – here both phosphate and other dense phases were present in the form of evenly spaced rounded to angular fragments within the scanned rock chips (Fig. 4b), with no evidence of wellformed nodules of phosphate. The lack of evidence for nodules suggested that this rock chip would not be a promising target for the further investigation of microfossils.

The CT scans of a sub-portion of the sample examined in the Nikon instrument, performed using a Zeiss Xradia Versa 520 with voxels of

813 c. 1.5  $\mu$ m, detected a small number of low-density 814 objects that strongly resembled the microfossils 815 observed in thin sections (Fig. 4c, d, f and g). 816 These objects were analogous to some of the largest 817 and darkest walled vesicles seen in thin section (Fig. 4e, h) and CT allowed them to be viewed 818 819 from multiple orientations in 3D space. These puta-820 tive fossils were also frequently found close to the very high density phases (presumably iron oxide or pyrite). The combined evidence suggested that µCT at this resolution was only capable of detecting the largest and thickest walled components of the Torridonian biota. We also suggest that the increased density contrast when such fossils occur in close proximity to iron oxide or pyrite aids detection by CT. The remaining components of the biota (e.g. the examples shown in Fig. 1) are essentially invisible on X-ray CT scans conducted at these resolutions. The biggest challenge for future work will be identifying workflows to isolate known microfossils for future scanning.

> *Raman spectroscopy data.* Raman data inform about the dominant mineralogy of the Torridonian microfossils and their surrounding matrix, plus the structure and thermal history of any organic carbon

present. Raman maps from the Cailleach Head Formation (Fig. 5a-c) demonstrated that the microfossils were indeed carbonaceous (Fig. 5b) and that the dominant fossilizing phase was apatite (Fig. 5c). Raman spectroscopy also showed that the intracellular inclusions (Fig. 5a arrows), common in many of the spheroidal fossils from this formation, were also carbonaceous in composition. Hence these inclusions probably represent plasmolysed (shrunken) cell contents or, in some cases, could represent a fossilized cell nucleus. The Raman spectra in the first-order region of carbon showed the two main bands (D1 at about 1350 cm<sup>-1</sup> and G at about 1600 cm<sup>-1</sup>) characteristic of disordered carbonaceous material. The D1 band was very broad (full width at half peak maximum of c.  $120 \text{ cm}^{-1}$ ) with a shoulder on its low wavenumber side. This shoulder was caused by a small band at c. 1150 cm<sup>-</sup> which was only observed in very disordered carbonaceous material (Marshall et al. 2005). The G band appeared to have been shifted considerably from its value in crystalline graphite  $(1582 \text{ cm}^{-1})$  to a value of c. 1610 cm<sup>-1</sup>. This reflected an overlap of the G band with a well-developed disorder band (D2) at c.  $1620 \text{ cm}^{-1}$ . The spectrum indicated that the carbonaceous material had a very weak



Fig. 5. Raman analysis of microfossils from the Torridonian Supergroup. (a) Optical photomicrograph of two coccoid microfossils from the Cailleach Head Formation, each containing dark interior spheroids (arrows). (b) Raman map of the carbon G c. 1600 cm<sup>-1</sup> peak showing that the microfossils have carbonaceous walls and the dark interior spheroids are also carbonaceous. This suggests that they are clumps of degraded cellular material or remnants of a cell nucleus. (c) Raman map of the major calcium phosphate (apatite) c. 960 cm<sup>-1</sup> peak showing that a large proportion of the mineralizing phase is apatite. The patchy appearance of the apatite suggests the presence of further mineral phases, interpreted to be clay minerals as detected in higher resolution SEM and TEM analyses (see Figs 7 & 8). (d) Optical photomicrograph of a microfossil from the Store Group. Raman maps of (e) the carbon G c. 1600 cm<sup>-1</sup> peak, (f) the pyrite c. 380 cm<sup>-1</sup> peak, (g) the calcite c. 1090 cm<sup>-1</sup> peak and (h) the albite c. 510 cm<sup>-1</sup> peak demonstrating that the microfossil is partially pyritized, but some carbonaceous composition remains and that the sediment is dominantly calcite and feldspar. Scale bars 10 µm.

structural organization, had experienced little or
no metamorphism (cf. Wopenka & Pasteris 1993)
and was consistent with the previously suggested
maximum heating of only *c*. 100°C (Stewart & Parker 1979).

Not all microfossils are preserved purely as carbon. In the Stoer Group, Raman spectroscopy revealed that significant portions of the microfossil walls have been pyritized, although some carbonaceous signal remains (Fig. 5d–f). The matrix mineralogy was also different here, with typical phases including calcite and albitic feldspar (Fig. 5g, h). These data indicated that different suites of lakes within the Torridonian had different chemistries, with those of the Stoer Group being sulphate-rich and phosphate-poor compared with those of the Cailleach Head and Diabaig formations (for further details on contrasting fossil preservation in these lakes, see Wacey *et al.* 2016). NanoSIMS data. The NanoSIMS technique was used as an additional tool to determine whether the microfossils were composed of carbonaceous material and then to determine whether any additional elements of biological interest were preserved within their cell walls or intracellular space. NanoSIMS uniquely revealed significant (but not quantifiable) amounts of nitrogen and sulphur within cellular material from the Diabaig Formation (Fig. 6). These data were collected from FIB-milled wafers and so the nitrogen and sulphur came from cell walls located below the surface of a thin section. This negated the possibility that these biological signals came from surface contamination and provided an improvement on previous NanoSIMS methodology where ion mapping was performed on surface features (e.g. Oehler et al. 2006, 2009). The co-occurrence of C, N and S in microstructures that have a cellular morphology is strong evidence



**Fig. 6.** NanoSIMS analysis of a microfossil from the Diabaig Formation. (**a**) Optical photomicrograph of a light-walled spheroidal cell with ruby red intracellular particles. (**b**) Overview of a FIB-milled wafer prepared for NanoSIMS from the region indicated by the yellow line in (a). Note the contrast between the large dark grey grains, which equate to the ruby red grains in (a), and the remainder of the wafer, plus holes in the wafer probably induced by excessive FIB milling. (**c**) NanoSIMS ion map of nitrogen measured as  $CN^-$ . (**d**) NanoSIMS ion map of sulphur measured as  $S^-$ . (**e**) NanoSIMS ion map of iron oxide measured as FeO<sup>-</sup>. (**f**) Three-colour overlay of nitrogen (blue), iron oxide (red) and silicon (green) showing that the large dark grains are iron oxides and they are located just inside the cell wall (intracellular). The other mineral phases are dominantly clays and quartz. Scale bar 20  $\mu$ m in (a) and 5  $\mu$ m for (b–f). Note scale bar in (c) also applies to (d–f).

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Fig. 7. TEM analysis of a FIB milled wafer extracted from a Torridonian microfossil. (a) Optical photomicrograph of a dark-walled spheroidal microfossil from the Cailleach Head Formation. (b) Overview of the FIB-milled wafer extracted from the region marked by the yellow line in (a) showing a complex wall structure and different mineral phases (indicated by different levels of grey within the image) inside and outside of the microfossil (from Wacey *et al.* 2014). (c) Three-colour overlay of ChemiSTEM elemental maps of carbon (blue), aluminium (orange) and calcium (pink) from the region indicated by the dashed box in (b). Carbon represents the organic material of the microfossil walls and at least four separate walls (or wall layers) can be seen. Calcium represents apatite, the dominant mineral phase outside the microfossil. Aluminium represents clay minerals that infill the microfossil, occur between the walls of the microfossil and occur in minor amounts outside the microfossil. Black areas are holes in the TEM wafer. Scale bar 10  $\mu$ m in (a), 2  $\mu$ m in (b) and 1  $\mu$ m in (c).

of the biogenicity of such structures. Although this is less relevant to the Torridonian material, the biogenicity of which is well accepted, it is a very useful tool for the investigation of older and/or more controversial fossil material. Building up a database of the C, N and S concentrations of different types of organic material may also be useful in helping to determine whether different components of cells (i.e. the cell wall, membrane, nucleus and cytoplasm) can be preserved in exceptional circumstances. NanoSIMS also revealed the nature of some non-carbonaceous intracellular inclusions within the Diabaig Formation. These inclusions are ruby red in colour in optical microscopy (Fig. 6a) and NanoSIMS showed that they were iron oxides (Fig. 6e, f) and that at least some occurred in direct contact with the inner cell wall. These inclusions were rare, found in <1% of Torridonian microfossils, but may indicate a unique intracellular chemistry in this small proportion of specimens.

971 Transmission electron microscopy data. The TEM 972 data revealed the chemistry of the fossilizing 973 mineral phases and the ultrastructure of the micro-974 fossils at a spatial scale (nanometres) unattainable 975 by any other technique. For example, ChemiSTEM 976 (STEM-EDS) elemental mapping combined with 977 selected area electron diffraction has shown that 978 phosphate is not necessarily the dominant mineral 979 responsible for the exceptional microfossil preser-980 vation in the Cailleach Head and Diabaig formations 981 (cf. Raman and optical data). In fact, the minerals 982 immediately adjacent to most vesicle walls are iron-983 rich clay minerals of the chlorite group or potas-984 sium-rich clay minerals similar to illite (Fig. 7; see Wacey et al. 2014 for details on clay mineral 985 986 identification). Phosphate only dominates at some distance (tens to hundreds of nanometres) away from the cellular material. The interiors of many microfossils were also filled with potassium-rich clay minerals (Fig. 7), although phosphate grains were also common in many cell interiors (e.g. Wacey *et al.* 2014, fig. 8). STEM-EDS in the transmission electron microscope detected small C and F peaks in the phosphate spectra, confirming that the phosphate was francolite (carbonate fluorapatite), the common low-temperature form often associated with fossils.

The TEM imaging revealed sub-components of microfossil walls that were not previously recognized. In many cases a presumed single, thick vesicle wall was shown to consist of multiple components. These included a thicker inner wall sitting within a thinner outer wall, perhaps suggesting a cyst housed within a vegetative cell, or even more complex arrangements of up to four distinct layers within a 'wall zone' (Fig. 7). Such arrangements are too complex for simple prokaryote cells. Hence this strongly suggested a eukaryotic component to the biota. These complex layered walls were also preserved in clay minerals. Hence the combined data suggested that the fidelity of microfossil preservation may be enhanced by the early precipitation of clay minerals and that microfossil preservation in clay minerals may be of even higher quality than in phosphate.

Focused ion bean scanning electron microscopy data. Two types of data were acquired using FIB-SEM: chemical and 3D morphological. Chemical data were acquired by simply slicing into a microfossil using an FIB and then analysing the chemistry of a cross-section through the microfossil using SEM-EDS. This provided similar data to

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**Fig. 8.** FIB-SEM-EDS analysis of a microfossil from the Cailleach Head Formation. (**a**) Optical photomicrograph of a dark-walled spheroidal vesicle showing the location of the FIB-milled area and direction of view for the other panels in the figure (from Wacey *et al.* 2014). (**b**) Secondary electron image showing the FIB-milled face below the surface of the thin section. The EDS elemental maps of the FIB-milled face shown in (b) are given below. Carbon (light blue) represents the organic microfossil walls, highlighting a thick inner cyst wall and thinner outer vegetative cell wall. Phosphorus (red), calcium (pink) and moderate levels of oxygen (green) represent apatite, the dominant fossilizing mineral outside the microfossil. Iron (blue), plus moderate amounts of silicon (turquoise), aluminium (orange) and oxygen, represents iron-rich clay, occurring between the two microfossil walls, replacing parts of the outer wall and continuing for  $1-2 \mu m$  outside the outer wall. Potassium (yellow), plus silicon, aluminium and oxygen represents potassium-rich clay restricted to the interior of the vesicle. Scale bars 5  $\mu m$ .

STEM-EDS in TEM, but at a more flexible spatial
scale (i.e. it could be applied to larger fossils, albeit
at a lower spatial resolution). These data reinforced
those acquired using TEM, showing that, in fossils
with complex walls (interpreted as eukaryotes),
clay minerals occurred in direct contact with microfossil walls, in between multiple walls and in microfossil interiors, whereas calcium phosphate tended
to occur exterior to the fossil (Fig. 8). The pattern

was less defined in simpler prokaryote fossils, with phosphate mixed with clay minerals typically occurring both exterior and interior to the cell (Fig. 9a, b).

Morphological data in three dimensions were acquired using FIB-SEM nano-tomography, whereby sequential FIB slicing was followed by imaging using SEM. This provided an excellent visualization of the cellular material located below the surface of the thin section (Fig. 9b) that would otherwise



Fig. 9. Three-dimensional FIB-SEM nano-tomography of a Torridon microfossil. (a) Optical photomicrograph of a cluster of light-walled spheroidal cells from the Cailleach Head Formation (from Wacey 2014). (b) Example of an FIB-milled slice through the cluster of microfossils in the region indicated by the dashed line in (a). Note that portions of at least eight cells can be seen in this image, some of which are hidden from view below other cells in the optical photomicrograph. Note also dark material inside the upper central cell (dashed arrow). (c-f) 3D model of the cell indicated by the solid arrow in (b) viewed from four different orientations, showing the location of preserved cell contents (blue) with respect to the cell wall (yellow). Note that part of the cell wall in (f) has been removed to better visualize the cell contents. Scale bar 10  $\mu$ m in (a) and 5  $\mu$ m for (b-f). Note scale bar in (c) also applies to (d-f).

have been hidden by the overlying fossil material (Fig. 9a). In addition, individual cells and cell contents could be visualized from multiple orientations in 3D space (Fig. 9c-f). This is particularly useful for accurately locating the position of organic intracellular inclusions (Fig. 9c-f). In the example presented here, these inclusions were most likely shrunken remnants of the cytoplasm of simple prokaryote cells, but in future it may be possible to detect the preserved remnants of eukaryotic nuclei or organelles using such methods.

### Conclusions

We have provided an overview of the types of high-resolution techniques currently available to those researchers interested in characterizing Proterozoic microfossils and their associated minerals and fabrics. The techniques have been classified 1097 either as non-destructive, hence applicable to all 1098 material including holotypes, or destructive, appli-1099 cable where the conservation of the specimen is not 1100 a requirement. Non-destructive techniques include 1101 laser Raman spectroscopy, CLSM, SEM, infrared 1102 spectroscopy, X-ray CT and X-ray spectroscopy, although specialized (and partly destructive) sample preparation is required to obtain the highest spatial resolution data using the latter two methods. Destructive techniques include SIMS, where the surface layers of a microfossil are sputtered away during analysis, TEM, where an ultrathin slice must be extracted from the microfossil, and FIB-SEM nano-tomography, which consumes the entire specimen during analysis.

Maximum information is gained by the consilience of multiple approaches to a microfossil assemblage, but in reality there will be some trade-off between time and budget constraints, efforts to conserve the best specimens and the spatial resolution required. The destructive techniques of TEM and FIB-SEM provide the greatest spatial resolution, whereas SIMS uniquely provides isotopic data. A sensible workflow would involve an analysis of the petrographic context and a significant number of representative specimens using non-destructive avenues, followed by the focused analysis of a few specimens by destructive techniques.

A case study from the Torridonian of NW Scotland, a microfossil assemblage whose importance has been highlighted by work led by Martin Brasier, demonstrated the additional insights that these

1103 high-resolution techniques can offer. Micro-CT pro-1104 vided a rapid way to determine the locality of the 1105 phosphate nodules that house microfossils and 1106 other petrological details. SEM revealed a number 1107 of new morphotyes not previously recognized in 1108 optical work and hinted at different taphonomic 1109 responses by different types of cell and vesicle 1110 walls. TEM revealed the fine-scale distribution of 1111 mineral phases in and around the cellular material 1112 and showed that clay minerals played an important 1113 part in the exceptional preservation of this biota. 1114 Raman spectroscopy, together with NanoSIMS, 1115 revealed details of the organic material making up 1116 the cells, including its thermal maturity and bio-1117 chemistry in terms of the C, N and S contents. FIB-1118 SEM nano-tomography provided a detailed 3D view of a number of fossilized cells, including the loca-1119 1120 tion of the remains of organic cell contents.

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