

54 **Abstract:** The retrieval of deep water subsea installations resting on soft soil, such as
55 “mudmat” shallow foundations, can be a difficult and costly operation if significant
56 resistance to uplift is experienced. At the mudmat invert, suctions may develop,
57 increasing the uplift resistance to greater than the weight of the mat. In this paper, a series
58 of centrifuge model tests are performed to determine the uplift resistance of rectangular
59 mudmats resting on lightly over-consolidated kaolin clay. The study investigates the
60 influence of perforation, in combination with skirt length and eccentric uplift, on the
61 uplift resistance and suction generation at the foundation invert. The outcomes
62 demonstrate that the central and eccentric uplift of mudmats have different failure
63 mechanisms, resulting in a different distribution of excess pore pressure at the foundation
64 invert. In contrast, perforations do not change the failure mechanism and only alter the
65 magnitude of suction generated. The two different configurations of perforation
66 investigated significantly reduce the suction at the mat invert and the uplift resistance,
67 and may potentially shorten the operating time for centred uplift. The combination of
68 perforation and eccentric uplift has the most beneficial effect on the reduction of the
69 uplift resistance.

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71 **Key words:** centrifuge modelling; mudmat; clay; perforation; uplift resistance; suction

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73 **1. INTRODUCTION**

74 Mudmats are a type of shallow raft foundation used to support various temporary and
75 semi-permanent subsea structures, such as Pipeline End Manifolds and Terminations
76 (PLEMs/PLETs). They are an easily installed and economical solution commonly used in
77 deep water oil and gas developments. In order to provide sufficient resistance to
78 withstand horizontal loads from the thermal expansion of pipelines and jumpers,
79 mudmats are usually designed with skirts, which are embedded into the seabed by a
80 fraction of the mudmat width. Upon completion of the project, and in some instances to
81 comply with environmental regulations, mudmats must be decommissioned and removed
82 from the seabed.

83 The standard removal procedure for mudmats is to attach cables to the load points on the
84 structures, these cables are then pulled by a lift vessel at sea level to extract the mudmat
85 from the seabed. The uplift forces required for removal from the seabed are resisted not
86 only by the self-weight of the submerged mudmat, but also by the suction forces
87 potentially developing at the mudmat invert. In soft soil with low permeability, such as
88 the clays or silts commonly encountered in deep waters, these suction forces can be equal
89 to twice the submerged weight of the mudmat (Bouwmeester et al., 2009). In extreme
90 cases, the suction forces may be greater than the lifting capacity of the vessel and lead to
91 hazards during removal (Reid, 2007).

92 Various mitigation measures to reduce the generation of negative pressures (or suction) at
93 mudmat inverts have been investigated, using both in-situ data and laboratory

94 experiments. It was expected that perforations would limit the development of suction at
95 the mudmat invert by shortening the drainage path. Lieng and Bjorgen (1995) reported
96 that even a small perforation (with respect to the total mudmat area) can lead to a
97 significant reduction in peak uplift resistance. During field trials, a reduction of about
98 50% of the uplift resistance was observed for a perforation ratio of 3.1% (defined as the
99 plan area of perforating holes with respect to the total area). White et al. (2005)
100 demonstrated that a large number of small perforations were more efficient in reducing
101 the uplift ratio than a small number of large perforations. Their results can be used to
102 maximize the ratio of vertical compression to uplift resistance. An alternative mitigation
103 solution involves applying the uplift load with an eccentric movement to facilitate
104 breakaway at the mudmat invert and hence reduce the magnitude of the suction forces
105 generated. From small scale model tests, Reid (2007) reported a reduction up to 66%
106 (compared to the centred uplift resistance) by applying the pull-out load at the edge of the
107 mudmat. Water jetting at the invert is also a proven method to reduce uplift forces for
108 offshore jack-up rigs embedded foundations, as demonstrated by Gaudin et al. (2011).
109 However, the logistics associated with the jetting method are significantly more complex
110 and costly than typical lifting devices.

111 Chen et al. (2012) presented a comprehensive investigation of the uplift resistance of
112 mudmats, combining the effects of eccentric uplift, loading rate and skirt length in a
113 model test programme performed in a geotechnical centrifuge. Chen et al. (2012)
114 demonstrated that the uplift resistance was directly correlated to the development of
115 suction at the mat invert and that fully undrained conditions (characterised by a full
116 reverse end bearing mechanism) were achieved at normalised uplift velocities three

117 orders of magnitude higher than those usually considered for shallow foundations in
118 compression. This is because a suction relief mechanism develops at the foundation-soil
119 interface during uplift, but while the system is in compression, the pore pressure
120 dissipation mechanism is governed by pore pressures in the far field (Lehane et al., 2008).
121 In contrast, fully drained conditions that would lead to low uplift resistance require uplift
122 rates too slow to be practically undertaken in-situ and partially drained conditions may be
123 prevalent during uplift of prototype mudmats. Accordingly, the prediction of uplift
124 resistance is hindered by difficulties in assessing the relevant drainage conditions and the
125 associated bearing capacity factor. Additional results from Chen et al. (2012), associated
126 with eccentric uplift, indicated that a different failure mechanism was taking place,
127 favouring the suction relief mechanism and hence contributing to a significant reduction
128 in the uplift resistance.

129 This paper presents a series of model mudmat tests performed in a geotechnical drum
130 centrifuge. The research aims to advance Chen et al. (2012)'s study by linking
131 perforation and uplift eccentricity in order to (i) further understand the mechanism
132 governing suction development at the invert of a perforated mudmat, and (ii) provide
133 recommendations to optimise a retrieval strategy in order to minimise the uplift resistance
134 and the associated risk and cost. In particular, the generation of suction at the mat invert
135 and the uplift force vs. displacement curves were monitored during centrifuge model tests,
136 and were considered as a function of the effective width, the mudmat skirt length and the
137 uplift eccentricity.

138 2. DETERMINATION OF THE UPLIFT CAPACITY

139 As detailed in Chen et al. (2012), the ultimate uplift resistance of mudmats is controlled
140 by the operative shear strength of the soil and the failure mechanism during uplift. The
141 failure mechanism can be assumed to be either a reverse end bearing type (Craig and
142 Chua, 1990; Acosta-Martinez et al., 2008; Gourvenec et al., 2009; Randolph et al., 2011;
143 Mana et al., 2012) or a breakout hemispherical type (Yu, 2000; Rattley, 2007) depending
144 on the level of suction mobilised at the mat invert. Following the compression convention,
145 the uplift capacity of mudmats in clay can be expressed as

$$146 \quad [1] \quad q_u = N_c s_{uop} - \gamma' h$$

147 where N_c is the bearing capacity factor, s_{uop} the operative shear strength of the soil at
148 the skirt tips, γ' the submerged unit weight of the soil and h the skirt length, which
149 accounts for the embedment of the foundation. The second term on the right hand side of
150 the equation is the correction for overburden. For skirted foundations, the overburden
151 stress is cancelled by the weight of soil column incorporated by the skirts (see Fig. 1).
152 Therefore, the uplift capacity of a skirted mudmat, regardless of the failure mechanism,
153 can be determined by

$$154 \quad [2] \quad q_u = N_c s_{uop}$$

155 Rigorous solutions to determine the bearing capacity factor of a strip footing on
156 homogeneous clay under vertical loading have been developed by Prandtl (1921) and
157 Reissner (1924) and yielded a value of $N_c = 5.14$. In non-homogeneous soil, N_c
158 increases with the soil heterogeneity kB_0/s_{um} , where B_0 is the width of the footing, k the
159 gradient of the soil profile and s_{um} the initial soil undrained strength at the mudline (Davis

160 and Booker, 1973; Randolph et al., 2004), as illustrated in Fig. 1. The full reverse end
161 bearing capacity can be assessed using solutions derived from the undrained compression
162 capacity (eq. [2]), since for fully undrained conditions, uplift and compression capacities
163 are theoretically equal.

164 The ultimate bearing capacity of two or more parallel strips has also received attention
165 from Martin and Hazell (2005), Gourvenec and Steinepreis (2007) and Bransby et al.
166 (2010), providing insights into the effect of perforations on the bearing capacity of
167 shallow foundations under undrained soil conditions.

168 The operative shear strength of the soil, s_{uop} , is taken as the shear strength at the skirt tip,
169 s_{uo} , which can be determined using the standard T-bar test (Stewart and Randolph, 1991;
170 1994), potentially enhanced by soil strain rate effects. Einav and Randolph (2005) and
171 Lehane et al. (2009), among others, reported that the soil strength increases with strain
172 rate by approximately 5%-20% per log cycle of increasing strain rate. This can be
173 expressed as

174 [3]
$$s_{uop} = s_{u0,ref} \left[1 + \mu \log\left(\frac{\dot{\gamma}}{\dot{\gamma}_{ref}}\right) \right]$$

175 where $s_{u0,ref}$ is the soil shear strength at a reference strain rate $\dot{\gamma}_{ref}$ (which can be taken as
176 s_{u0} from the T-bar test) of 0.0001 s^{-1} , and μ is a rate parameter of approximately 0.1 for
177 normally consolidated kaolin clay (Randolph et al., 2005). Atkinson (2000) suggested
178 that the average operational strain rate underneath a rectangular shallow foundation
179 subjected to vertical loading can be approximated as $v/3B_0$ (where v is uplift velocity and
180 B_0 is the width of the mat). Assuming that full contact is maintained between the

181 foundation and the soil during uplift, a similar approach may be assumed for the present
182 scenario.

183 The uplift force during model tests can therefore be expressed as

184 [4]
$$F_{up} = N_c s_{uop} A + G'$$

185 where F_{up} represents the peak uplift force and G' the submerged self-weight of the
186 mudmat. Note that in the present study, the gross area A is used to calculate uplift force
187 regardless of the **configuration of perforations**.

188 **3. EXPERIMENTAL SET-UP**

189 *3.1 Facility*

190 The drum centrifuge at Centre for Offshore Foundation Systems (COFS), The University
191 of Western Australia (UWA) was used to carry out the described tests, as it enables
192 multiple mudmat uplift tests to be conducted in one single soil sample. The ring channel
193 of the centrifuge has an outer diameter of 1.2 m, an inner diameter of 0.8 m and a channel
194 height (sample width) of 0.3 m. A servo-controlled actuator was mounted on the central
195 tool table to provide both vertical and radial movements. The tool table can be coupled to
196 the channel or may rotate independently of it, allowing it to be stopped for examination
197 or changing the tool, without affecting the soil sample. A complete technical description
198 of this centrifuge is presented in Stewart et al. (1998). Tests were performed at a
199 centrifuge acceleration of 150g, i.e. all model linear dimensions are scaled by 150 and all
200 loads by 150^2 (see Garnier et al., 2007 for details on similitude principles).

201 *3.2 Model configurations, instrumentation and calculation of effective widths*

202 Three types of model mudmats were fabricated using aluminium plates, with dimensions
203 of 5 mm in thickness (d), 100 mm in length (L_0) and 50 mm in width (B_0). This
204 represents a prototype mudmat 15 m long and 7.5 m wide. The overall dimensions are
205 identical to models tested by Chen et al. (2012).

206 One non-perforated model (labelled B) and two types of perforated models (labelled P1
207 and P2) were considered (see Fig. 2). Model P1 featured large perforations with 36
208 circular holes 6.0 mm in diameter (Fig. 2b). The second model, P2, featured small
209 perforations, comprising 171 circular holes 2.7 mm in diameter (Fig. 2c). Both **perforated**
210 **models** had the same perforation ratio, α , of 0.19, defined as the ratio of the area of the
211 holes to the gross area. Each **perforated model** was made with removable skirts with a
212 length (h) of 0 mm, 5 mm and 10 mm (0 m, 0.75 m and 1.5 m in embedment prototype,
213 respectively), while the non-perforated mudmat models were fabricated with the same
214 skirt lengths for benchmarking. Both model and prototype dimensions are summarised in
215 Table 1.

216 The models were equipped with three Pore Pressure Transducers (PPTs), as illustrated in
217 Fig. 2 and 3, to monitor variations in pore pressure at the foundation invert. As the PPTs'
218 housing was too large to be fitted between perforations, they were installed in place of a
219 single perforation as illustrated in Fig. 2b and 2c. In order to examine the effect of central
220 and eccentric uplifts, three small holes (illustrated in Fig. 2a) were drilled to allow a
221 vertical ball shaft to be screwed onto the model plate and connected to a loading cell by a
222 tong, (illustrated in Fig. 3). Uplift was applied via the ball shaft and the uplift resistance
223 was measured by a 500 N capacity load cell.

224 The effective width (W) of each model was defined to represent the average length of
225 drainage paths between perforations (White et al., 2005). For mudmats with circular
226 perforations, the effective strip width W was calculated as (see Figure 4):

227 [5]
$$W = \frac{x + \sqrt{2}(x + d_0) - d_0}{2}$$

228 where d_0 is the diameter of circular perforations and x represents the shortest drainage
229 path between the perforations, as illustrated in Fig. 4. From eq. [5], it was calculated that
230 W is 6.07 mm (0.91 m in prototype) for mudmat P1 and 3.34 mm (0.5 m in prototype) for
231 mudmat P2. For the non-perforated mudmat B, W is simply taken as the average of length
232 and width, e.g. $(B_0 + L_0)/2 = 75$ mm (11.25 m in prototype).

233 *3.3 Soil sample preparation and characterisation*

234 Two soil samples were prepared for the present study. Kaolin slurry, prepared at a water
235 content of ~ 120% (approximately twice the liquid limit), was poured into the centrifuge
236 channel under an acceleration of 20g, over a preplaced 10 mm thick drainage blanket at
237 the bottom. Self-weight consolidation under two-way drainage was achieved by spinning
238 the centrifuge at 150g for approximately four days. The degree of consolidation was
239 monitored by measuring pore pressure dissipation via PPTs located at the bottom of the
240 channel and settlement of the top surface of the soil. After full consolidation was
241 achieved, a soil layer 5 to 15 mm thick was scraped off the surface to create a lightly
242 over-consolidated soil sample with a flat surface, enabling a good contact between the
243 model and the soil. The final height of both samples was 150 mm (including the drainage
244 layer).

245 T-bar tests were performed in both soil samples to evaluate the undrained shear strength.
246 Tests were carried out by using a 5 mm diameter, 20 mm long T-bar at a standard
247 penetration rate of 1 mm/s, ensuring undrained soil conditions (Stewart and Randolph
248 1991; 1994). As a first approximation, a constant bearing factor $N_{T-bar} = 10.5$ derived
249 from plastic solution (Randolph and Houlsby, 1984) and experimental calibration (Low et
250 al., 2010) were adopted to convert the measured T-bar resistance into undrained shear
251 strength. Following the procedure proposed by White et al. (2010), lower bearing factors
252 were applied to characterise the T-bar penetration resistance at shallow depths, where full
253 flow of soil around the T-bar cylinder cannot occur. A cyclic test was also included in
254 each penetration test to obtain accurate calibration data for soil penetration resistance
255 (Randolph et al., 2007).

256 Fig. 5 summarises the corrected undrained shear strength profiles at prototype scale in
257 both soil samples. In general, soil strength profiles in both soil samples exhibited an
258 excellent repeatability, with sample two featuring a more linear increase in strength with
259 depth. The corrected soil strength for both samples can be idealised as bilinear profiles.
260 At shallow depths ($z < 0.75 - 0.6$ m for samples one and two, respectively), the soil
261 samples were over consolidated following the trimming process and exhibited a
262 constant shear strength with depth, with values of $s_u \sim 3.26$ kPa and $s_u \sim 1.68$ kPa for
263 samples one and two, respectively. Soil strength at higher depths can be idealised by
264 linear profiles with gradients of $k \sim 1.01$ kPa/m for sample one and ~ 1.06 kPa/m for
265 sample two, resulting in a heterogeneity ratio of $kB_0/s_{um} \sim 2.3$ and ~ 4.7 , respectively.

266 *3.4 Testing programme and procedure*

267 Nine central uplift tests were performed in soil sample one and nine eccentric uplift tests
268 were performed in sample two, both under a centrifuge acceleration level of 150g, as
269 summarised in Table 2. Model mudmats were installed on the soil surface at 1g and
270 consolidation under the weight of the foundation was achieved at 150g. A constant uplift
271 velocity of $v = 3$ mm/s was applied to the model once all excess pore pressures at the mat
272 invert were fully dissipated, indicating that full consolidation under self-weight had been
273 achieved. A constant water table of 50 mm above the soil surface was maintained during
274 each test.

275 **4. TEST RESULTS**

276 *4.1 Typical measurements of uplift force and pore pressure*

277 Typical central uplift load/displacement and excess pore pressure/displacement curves
278 are presented for tests S1-1 and S1-4 in Fig. 6. The general patterns were consistent with
279 Chen et al. (2012)'s observations that uplift resistance experienced a sudden increase to
280 reach a peak value (F_{up}) over a short distance (w_p), then reduced to a semi-residual value
281 which was slightly higher than the submerged self-weight (G') of the model mudmats due
282 to the soil attached at the model invert. G' differed between tests due to the different skirt
283 lengths and configurations of perforation (see Table 1). G' also changed slightly with
284 uplift displacement due to the changing acceleration level along the radius in the
285 centrifuge (see dashed line in Fig. 6), and this has been accounted for in the analysis.

286 The excess pore pressure displacement curves exhibit the same pattern as the load
287 displacement curves, indicating a close correlation between pore pressure generation at

288 the foundation invert and the uplift resistance. The negative values indicate the
289 generation of suction at the mudmat invert, with peak values represented by p_1 , p_2 and p_3
290 being coincident with the peak uplift resistance, indicating that uplift resistance is
291 sustained by the development of suction at the mudmat invert. It is noteworthy that the
292 uplift force for perforated mudmats, e.g. S1-4 and the associated suction at the mat invert
293 is less sustainable compared to that for non-perforated mudmats, e.g. S1-1. This is
294 attributed to shortening of the drainage path resulting from perforation and the associated
295 acceleration in the dissipation of pore pressures. More details on the effects of
296 perforations will be provided in the next section.

297 The peak values of the uplift forces (F_{up}), the peak value of the excess pore pressures
298 monitored by the three PPTs (p_1 , p_2 and p_3) and their average values \bar{p} ($=$
299 $(p_1 + p_2 + p_3)/3$), and the distance travelled to reach the peak uplift force (w_p) for all the
300 eighteen uplift tests are summarised in Table 2 for further interpretation.

301 The distances required to reach peak uplift forces, w_p , are presented in Fig. 7 as a
302 function of the skirt length. The operational distance decreased with decreasing skirt
303 length, regardless of the configuration of perforations, and both perforated mudmats
304 exhibited a significantly lower operational distance during uplift compared to the non-
305 perforated mudmat. Fig. 8 provides some insight into the secant stiffness (E_s) of the soil
306 under vertical uplift, calculated as the normalised peak extraction resistance F_{up}/A
307 divided by the normalised skirt displacement, w_p/B . Mudmats with perforations generated
308 a stiffer response than non-perforated mudmats, while the stiffness for all mats was
309 reduced with increased skirt length (Fig. 8). This occurred because mudmats with
310 perforation and shallower skirts generate a much shallower failure mechanism. As shown

311 in Fig. 7, peak uplift force occurred faster for the perforated mudmats for the same skirt
312 length (while uplifted at the same velocity), suggesting that the perforated design could
313 be a promising method for saving uplift expenses by reducing operating time in the field.

314 4.2 Effect of perforation combined with skirt length

315 Fig. 9 presents the net peak uplift forces, $F_{up,net}$ ($= F_{up} - G'$), normalised by the gross area
316 (i.e. $F_{up,net} / A$) and the corresponding peak values of average pore pressures (\bar{p}) varying
317 with the effective width for central uplift tests. It is evident that the peak uplift force
318 decreases with reducing effective width and shallower skirt embedment. The peak uplift
319 forces for tests on the perforated mudmat (P1) were reduced by almost half compared to
320 the non-perforated mudmat (B), indicating that the perforation had beneficial effects in
321 reducing the uplift resistance of mudmats. For a same perforation ratio of 0.19, the
322 reduction in effective width resulted in a further reduction of uplift resistance of about
323 30%. For a specific configuration of perforation, the reduction in skirt length resulted in a
324 reduction of the uplift force by up to 50% for the largest effective width. This
325 improvement significantly reduced, however, with reduced effective width. As
326 anticipated, this reduction of peak uplift force was associated with a concomitant
327 reduction in average peak suction, due to the shortening of the drainage paths by either
328 perforations or decreased skirt embedment, which accelerated the dissipation of the
329 negative pore pressure generated by the uplift mechanism.

330 The net peak uplift forces (normalised by gross area A) are also plotted against the
331 associated average suctions in Fig. 10. Fig. 10 demonstrates that the uplift resistance was
332 essentially sustained by the suction at the foundation invert, independent of the skirt

333 length and the perforation. Consequently, the mudmat failure mode was a reverse end
334 bearing failure mechanism (see illustration in Fig. 10), as observed and described by
335 Craig and Chua (1990), Acosta-Martinez et al. (2008), Gourvenec et al. (2009), Randolph
336 et al. (2011) and Mana et al. (2012) rather than a breakout contraction type mechanism
337 (Yu 2000; Rattley 2007). This demonstrates that fully undrained conditions are
338 experienced by the soil during uplift. Drainage conditions may be assessed by calculating
339 the dimensionless velocity vB_0/c_v (Finnie and Randolph 1994; Chung et al. 2006), where
340 c_v is the coefficient of consolidation of the soil, typically equal to 1.5 m²/year for kaolin
341 clay at a stress level of about 10 kPa (House et al. 2001).

342 In the present study, the dimensionless velocity for the non-perforated mudmat was about
343 3000, where undrained soil conditions for uplift can be assumed according to Chen et al.
344 (2012). The dimensionless velocity for perforated mudmats was approximately one order
345 less than for non-perforated mudmats if normalised by the effective width W , i.e. vW/c_v
346 ~ 400 and ~ 200 for P1 and P2, respectively. This potentially lead to partially drained
347 conditions within the soil that would explain the lower uplift capacity. This is however
348 inconsistent with observations from Fig. 10, and will be discussed further in the
349 following paragraphs.

350 In order to provide further insights into the drainage conditions and failure mechanisms
351 associated with skirt length and the configuration of perforations, the bearing capacity
352 factors for central uplift tests have been calculated from eq. [4] and are summarised in
353 Table 3. Fig. 11 presents the bearing capacity factors for non-perforated mudmats (B) as
354 a function of skirt length in comparison with limit analysis results from Randolph et al.

355 (2004) and experimental results from Chen et al. (2012). Results from Randolph et al.
356 (2004) are presented for a soil heterogeneity of $kB_0/s_{um} = 0, 3$ and 10 , encompassing the
357 heterogeneity of the soil samples. The bearing capacity factors for non-perforated mats
358 ranged from 6.84 to 8.37 with skirt length ratio (h/B_0) varying from 0 to 0.2 . This agrees
359 well with those obtained by Chen et al. (2012) in soil samples of a similar heterogeneity
360 ratio (ranging from 3.38 to 3.61) indicating good repeatability of the present tests. They
361 also compare reasonably well with the limit analysis solutions of Randolph et al. (2004),
362 although there is a trend for an overestimation of the bearing capacity factor for flat
363 foundations (i.e. $h/B_0 = 0$).

364 Fig. 12 presents the bearing capacity factors for all the three model mudmats as a
365 function of the effective width. There is an evident trend of reduction of bearing factors
366 with reduced effective width. It is also noteworthy that the effect of the embedment,
367 which increases bearing capacity factors (see Randolph et al., 2004), reduced as the
368 effective width decreased. As mentioned previously, the reduction in bearing capacity
369 factors could be attributed to an accelerated dissipation of excess pore pressures with
370 increased occurrence of perforations. However, the load/displacement curves in Fig. 6,
371 and pull-out stiffness in Fig. 8, indicate that perforated mats exhibited a stiffer load
372 displacement response, and a faster generation of suction at the foundation invert. Both
373 observations demonstrate that the drainage conditions for perforated mats were also
374 undrained, and that the reduction in uplift capacity (and associated bearing capacity
375 factors) was essentially due to an earlier onset of suction breakaway at the mat invert
376 caused by the perforations.

377 No theoretical solutions have been established to determine bearing capacity factors for
378 perforated mudmats. The closest solution is the one developed by Martin and Hazell
379 (2005), who established bearing capacity factors using the method of characteristics for
380 2D surface multi-strip footings subjected to downward vertical loadings under undrained
381 conditions. Results from Martin and Hazell (2005) are plotted in Fig. 13 for soil
382 heterogeneity ratios ranging from 0 to 5. They indicated a trend of reducing bearing
383 capacity factor with increasing perforation ratio, beyond a value that depends on the
384 strength heterogeneity ratio.

385 The perforation ratio used by Martin and Hazell (2005) in Fig. 13 is defined under 2D
386 plane strain condition as the ratio of total footing spacing to the total width, so a
387 distinction cannot be made between the perforation ratio and the effective width, as for
388 the 3D models. In order to enable a direct comparison with the experimental results, an
389 equivalent perforation ratio α^* was calculated for mudmats P1 and P2, as illustrated in the
390 inset in Fig. 13. The equivalent perforation ratio α^* was calculated by converting the
391 shaded area A_{sh} into an equivalent width y , resulting in values of 0, 0.28 and 0.23 for
392 mudmat B, P1 and P2, respectively. Bearing capacity factors for the three mudmats are
393 plotted in Fig.13, considering the equivalent perforation ratio, for comparison with results
394 from Martin and Hazell (2005).

395 Bearing capacity factors for the non-perforated mat agreed reasonably well with results
396 from Martin and Hazell (2005), accounting for the effects of heterogeneity ratio and skirt
397 length. The agreement was also satisfactory for the perforated mat P1, but not for the
398 perforated mat P2, as Martin and Hazell (2005) only modelled two-dimensional strips
399 that cannot account for the effect of different perforated patterns. Nevertheless, the results

400 suggest that Martin and Hazell (2005) might be used as a first approximation to evaluate
401 the effect of perforation on uplift capacity, provided that the effective width is not
402 reduced by more than a factor of 10, compared to a plain foundation of identical overall
403 dimensions.

404 *4.3 Effect of eccentric loading combined with perforation*

405 Fig. 14 presents typical variation of uplift force and pore pressures with uplift
406 displacement for the eccentric uplift test S2-7. The mudmat experienced a rotational soil
407 failure mechanism (as illustrated in Fig. 14) about a point located close the centre of the
408 mudmat. This resulted in positive excess pressures being mobilised at the end farthest
409 from the lifting side (instrumented with PPT1) and negative excess pore pressures at the
410 lifting side (instrumented with PPT3). The peak excess pore pressures at the mat for all
411 the eccentric uplift tests (refer to Table 2) is presented in Fig. 15.

412 Fig. 15 presents the approximate pore pressure profile along the length of the mudmats
413 (L_0) at failure. Note that PPT3 in test S2-6 ceased to function during the test, so no data is
414 available (Fig. 15c). It can be seen that the perforation did not change the general failure
415 mechanism as detailed above, which remained rotational. The perforation lead to lower
416 suction being generated at the uplift side, but is unlikely to have significantly affected the
417 excess pore pressure on the opposite side, indicating that they are most likely generated
418 by the increase in bearing pressure resulting from the self-weight of the foundation being
419 applied on a smaller section of the mat as it is being uplifted. It is also noteworthy that
420 the centre of rotation of the mudmat moves away from the lifting point with increasing
421 skirt length. As the skirt length increases, a deeper failure mechanism is generated, with
422 breakaway at the mudmat invert occurring later during uplift.

423 5. DISCUSSION AND RECOMMENDATIONS

424 Table 4 summarises the ratio of measured uplift resistance to central uplift resistance for
425 all tests. The reported values enable comparison between eccentric and centered uplift
426 tests, and configurations of perforations. For the non-perforated mudmat, the eccentric
427 uplift considered reduced the peak resistance by 66% to 79% compared to central uplift
428 tests, decreasing with increasing skirt length due to the deeper failure mechanisms
429 mobilised with longer skirts. When a large number of small perforations were introduced
430 (mudmat P2), the peak uplift resistance was reduced by about 74% compared to the
431 central uplift of non-perforated mudmats, with less effect from the skirt length. In
432 contrast, a small number of large perforations (mudmat P1) yielded a reduction in uplift
433 capacity of only 45% indicating that the effective width is the relevant parameter when
434 determining the effect of perforation. In summary, the results indicate that eccentric uplift
435 appears to be more efficient in reducing the uplift resistance than perforation ratio (for the
436 range considered in this study), although both reduce the uplift capacity by generating an
437 early breakaway at the foundation invert. Eccentric uplift is indeed more efficient in
438 reducing the uplift capacity, as the breakaway can propagate more rapidly along a larger
439 surface. However the efficiency of eccentric uplift is hindered by higher skirt embedment,
440 whereas the central uplift capacity of perforated mudmat is less affected by skirt lengths.

441 By combining eccentric uplift and perforations, the mudmats experience the highest
442 reduction in uplift resistance, with a reduction of ~ 76% for mudmat P1 and ~ 93% for
443 mudmat P2 (i.e. the uplift force is only slightly larger than the self-weight of the mat),
444 with skirt length having only a relatively small effect.

445 **6. CONCLUSIONS**

446 A series of centrifuge tests were undertaken to assess the effect of perforations and
447 loading eccentricity on the uplift capacity of subsea mudmats. The results demonstrated
448 that the uplift capacity in all cases is essentially sustained by the generation of suction
449 pressures at the mudmat invert, and that undrained soil conditions prevailed for all tests,
450 regardless of the **configuration of perforation**. The reduction of uplift capacity, which can
451 reach up to ~ 80%, results from the breakaway of suction at the foundation invert, which
452 can be generated either by perforations or by eccentric uplift. Eccentric uplift was
453 observed to have a much greater effect in reducing the uplift capacity than perforations,
454 although the benefit reduces with increasing skirt embedment.

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460 **LIST OF SYMBOLS**

461 B non-perforated mudmat
462 P1 big perforated mudmat
463 P2 small perforated mudmat
464 A gross area

465	A_{sh}	shaded area
466	B_0	width
467	d	thickness
468	d_0	diameter
469	c_v	coefficient of consolidation
470	e	eccentricity
471	E_s	secant stiffness
472	F_{up}	peak uplift force
473	$F_{up,net}$	net peak uplift force
474	G'	submerged self-weight
475	h	skirt length or embedment
476	k	gradient of soil strength
477	L_0	length
478	N_c	bearing capacity factor
479	$N_{T\text{-bar}}$	bearing capacity factor for T-bar
480	p_1, p_2, p_3	peak suction monitored by PPT1, PPT2 and PPT3
481	\bar{p}	average suction $(= (p_1 + p_2 + p_3)/3)$
482	q_u	uplift resistance
483	s_u	soil undrained shear strength
484	s_{um}	initial soil strength at mudline
485	s_{uo}	soil strength at skirt tip
486	$s_{uo,ref}$	reference soil strength
487	s_{uop}	operative soil strength at skirt tip

488	v	velocity
489	x	shortest drainage path
490	y	equivalent width
491	z	depth
492	W	effective width
493	w_p	peak uplift distance
494	α	perforation ratio
495	α^*	equivalent perforation width
496	γ'	submerged unit weight of soil
497	$\dot{\gamma}$	strain rate
498	$\dot{\gamma}_{ref}$	reference strain rate
499	μ	strain rate parameter

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Table 1. Characteristics of model mudmats.

Mudmat type*	Perforated ratio α (-)	Skirt length h		Perforated diameter d_0		Effective width W		Submerged weight G'	
		Model (mm)	Prototype (m)	Model (mm)	Prototype (m)	Model (mm)	Prototype (m)	Model (N)	Prototype (MN)
B	-	0	0.00	-	-	75.00	11.25	62	1.40
	-	5	0.75	-	-	75.00	11.25	66	1.49
	-	10	1.50	-	-	75.00	11.25	70	1.58
P1	0.19	0	0.00	6.0	0.90	6.07	0.91	52	1.17
	0.19	5	0.75	6.0	0.90	6.07	0.91	56	1.26
	0.19	10	1.50	6.0	0.90	6.07	0.91	60	1.35
P2	0.19	0	0.00	2.7	0.41	3.34	0.50	56	1.26
	0.19	5	0.75	2.7	0.41	3.34	0.50	60	1.35
	0.19	10	1.50	2.7	0.41	3.34	0.50	64	1.44

* B=mudmat without perforations; P1=mudmat with large perforations; P2=mudmat with small perforations

Table 2. Summary of mudmat tests.

Soil sample & test no.	Mudmat type	Skirt length h (mm)	Eccentricity e (mm)	Peak uplift force F_{up} (N)	Peak suction p_1 (kPa)	Peak suction p_2 (kPa)	Peak suction p_3 (kPa)	Average suction \bar{p} (kPa)	Peak uplift distance w_p (mm)
S1-1	B	0	0	199.1	-21.8	-28.5	-25.6	-25.3	1.07
S1-2		5	0	224.0	-33.5	-39.2	-41.5	-38.1	1.51
S1-3		10	0	276.6	-44.2	-44.5	-44.7	-44.5	1.99
S1-4	P1	0	0	127.8	-16.7	-20.3	-19.6	-18.9	0.42
S1-5		5	0	140.5	-11.3	-24.0	-23.8	-19.7	0.63
S1-6		10	0	174.7	-	-	-28.3	-28.3	0.83
S1-7	P2	0	0	88.3	-4.5	-	-11.4	-8.0	0.26
S1-8		5	0	102.2	-6.8	-12.8	-16.5	-12.0	0.31
S1-9		10	0	109.8	-11.2	-12.3	-12.7	-12.1	0.43
S2-1	B	0	40	75.1	9.5	-2.1	-22.1	-14.7	1.82
S2-2		5	40	98.3	12.7	-5.1	-43.0	-11.8	2.90
S2-3		10	40	130.2	14.7	-18.8	-34.8	-13.0	2.80
S2-4	P1	0	40	68.5	5.9	-2.1	-19.1	-5.1	0.42
S2-5		5	40	75.0	8.9	-1.0	-27.3	-6.5	2.04
S2-6		10	40	92.4	21.8	-8.7	-	6.6	2.54
S2-7	P2	0	40	60.5	1.8	0.1	-10.1	-2.7	0.86
S2-8		5	40	64.9	7.4	1.2	-13.5	-1.6	1.25
S2-9		10	40	82.4	18.3	-11.6	-13.3	-2.2	2.22

Table 3. Bearing capacity factors inferred from central uplift tests.

h	h/B_0	N_c		
mm	-	B	P1	P2
0	0	6.84	3.76	1.74
5	0.1	7.88	4.26	2.10
10	0.2	8.37	4.70	2.14

Table 4. Ratio of uplift resistance to central uplift resistance for all mudmat tests.

Mudmat type	B	B	P1	P1	P2	P2
Eccentricity	$e/L_0 = 0$	$e/L_0 = 0.4$	$e/L_0 = 0$	$e/L_0 = 0.4$	$e/L_0 = 0$	$e/L_0 = 0.4$
$h/B_0 = 0$	1.00	0.21	0.55	0.23	0.25	0.06
$h/B_0 = 0.1$	1.00	0.36	0.54	0.24	0.27	0.05
$h/B_0 = 0.2$	1.00	0.44	0.56	0.24	0.26	0.09

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