Dynamic installation, keying and diving of OMNI-Max anchors in clay

Y. H. KIM* and M. S. HOSSAIN*

OMNI-Max anchors are designed with the aim of diving upon pullout, gaining capacity with increasing pullout distance. However, this diving potential is yet to be confirmed; that is, the critical question to be resolved is whether or not the anchor dives upon pullout and, if so, in what conditions. This paper reports the results from three-dimensional dynamic finite-element analysis undertaken to provide insight into the behaviour of OMNI-Max anchors during pullout in non-homogeneous clay. Large-deformation finite-element (LDFE) analyses were carried out using the coupled Eulerian–Lagrangian approach, modifying the simple elastic–perfectly plastic Tresca soil model to allow strain softening, and to incorporate strain-rate dependency of the shear strength. The keying process and diving patterns during anchor pullout revealed three governing factors including: (a) pullout inclination angle; (b) padeye offset ratio; (c) final installation depth. For designing anchors to dive into deeper, it was recommended that the anchor loading angle to the horizontal at the padeye should be 45° or less and the anchor padeye offset ratio should be in the range of 0·25 ~ 0·53 (offset angle of 14° ~ 28°).

KEYWORDS: anchors & anchorages; clays; numerical modelling; offshore engineering

INTRODUCTION
The OMNI-Max anchor is the most recent generation of dynamically installed anchors (DIAs) for mooring floating facilities for deep-water oil and gas developments. In contrast to typical torpedo-shaped DIAs, the OMNI-Max anchor features an arm that transfers the loading point nearer to the head of the anchor (see Fig. 1). It was anticipated that this configuration would force the anchor to dive deeper when pulled, gradually increasing the capacity (Shelton, 2007; Zimmerman et al., 2009; Nie & Shelton, 2011; Shelton et al., 2011). If the anchor dives deeper, the geotechnical resistance increases and the capacity is eventually governed by the tension capacity of the mooring line.

Investigations on OMNI-Max anchors are sparse. Recently, the problem has been addressed through numerical analysis, although limited to pre-embedded anchors. Liu et al. (2014) and Wei et al. (2015) carried out large-deformation finite-element (LDFE) analyses and plasticity analyses. The clay was modelled as rate-independent and non-softening ideal Tresca material.

Kim & Hossain (2015) recently developed a numerical framework for undertaking three-dimensional LDFE analysis on dynamic installation of OMNI-Max anchors accounting for frictional resistance along the surfaces of the anchor, strain rate dependency and gradient of the soil undrained shear strength. This framework was incorporated in the present study for the monotonic pullout of anchors with the aim of investigating the keying process and diving potential.

NUMERICAL ANALYSIS

Analysis details
Three-dimensional LDFE analyses were carried out using the coupled Eulerian–Lagrangian (CEL) approach in the commercial finite-element package Abaqus/Explicit (Version 6.12 (Dassault Systèmes, 2012)). Extensive background information about installation modelling of the OMNI-Max anchor can be found in Kim & Hossain (2015), which is not repeated here.

Considering the symmetry of the problem, only one half of an anchor and soil domain were modelled. The lateral extension of the soil domain was 55Dp from the centre of the anchor (Dp is the anchor frontal projected area (Ap) equivalent diameter) on the pullout loading direction and 17Dp on the opposite direction. The height of the soil domain was ~ 6.6L, to avoid the boundary effect during dynamic installation and diving process (as obtained from preliminary convergence studies; e.g. Kim et al. (2014); Kim & Hossain (2015)).

A typical mesh is shown in Fig. 2. A very fine soil mesh was necessary to capture the anchor–soil contact accurately. Therefore, mesh convergence studies were first performed to ensure that the mesh was sufficiently fine to give accurate results. As shown in Fig. 3(a), five different mesh densities were considered for an OMNI-Max anchor installation (‘very fine mesh zone’ in Fig. 2) under an identical impact velocity of v = 19 m/s. The numerical results based on mesh 1 and mesh 2, with minimum element sizes (hmin = 0·15Dp and 0·18Dp, respectively (where Dp is the fin thickness), are essentially identical, indicating that mesh convergence was achieved with the density of mesh 2 (hmin = 0·18Dp).

For the continuous inclined pullout simulation, again five different mesh densities of the dragging area (‘fine mesh zone’ in Fig. 2) were tested at the same pullout angle (θp = 30°) and padeye offset ratio (η = 0·35). As shown in Fig. 3(b), mesh convergence was achieved with a slightly larger element size of 0·5Dp (mesh 2) compared to vertical installation. As such, for subsequent analyses, the typical minimum soil element size along the trajectory of the anchor was selected as 0·18Dp for vertical installation (‘very fine mesh zone’) and 0·5Dp for inclined pullout (fine mesh zone). Total number of elements in the whole soil domain was around 7 240 000. The anchor was simplified as a rigid body.

The simulation was fully integrated taking into account the disturbed soil conditions during the installation of the

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anchor for the pullout stage. In this study, an inclined pullout loading, rather than an inclined displacement, was applied to the anchor padeye (θa) to obtain apparent anchor trajectory. The dynamic installation and monotonic pullout of the anchors in clay are completed under undrained conditions. The soil was thus modelled as an elasto-plastic material obeying a Tresca yield criterion, but extended as described later to capture strain-rate and strain-softening effects. A user subroutine was implemented to track the evolving soil strength profile. The elastic behaviour was defined by a Poisson ratio of 0.49 and Young’s modulus of 500 kN/m² throughout the soil profile. Total stress analyses were carried out adopting a uniform effective unit weight of 6 kN/m³ over the soil depth, representing a typical average value for field conditions.

The Tresca soil model was extended to capture strain-rate and strain-softening effects, following the models of Einav–Randolph (Einav & Randolph, 2005).

\[
s_u = \left( 1 + \mu \log \left[ \max \left( \frac{\dot{\gamma}}{\dot{\gamma}_{ref}}, 1 \right) \right] \right) \frac{\dot{\gamma}_{ref}}{\dot{\gamma}} \left( \delta_{rem} + (1 - \delta_{rem}) e^{-\dot{\gamma}/\dot{\gamma}_{ref}} \right)s_u,ref
\]

where \(s_u,ref\) is the shear strength at the reference shear strain rate of \(\dot{\gamma}_{ref}\). The first bracketed term of equation (1) augments the strength according to the maximum strain rate, \(\dot{\gamma}\), relative to a reference value, \(\dot{\gamma}_{ref}\), which was considered as 1.5%/h corresponding to the typical strain rate in triaxial tests (Lunne & Andersen, 2007). The augmentation of shear
strength follows a logarithmic law with rate parameter $\mu$ assumed as 0·1 (Low et al., 2008). The second part of equation (1) models the degradation of strength according to an exponential function of cumulative plastic shear strain, $\zeta^t$, from the intact condition to a fully remoulded ratio, $\delta_{rem}$ (the inverse of the sensitivity, $S$). The relative ductility is controlled by the parameter, $\zeta_{ss}$, which represents the cumulative plastic shear strain required for 95% remoulding. A typical value of $\zeta_{ss} = 20$ (i.e. 2000% shear strain; Randolph, 2004) was considered, as was also adopted in previous studies on DIA (Kim & Hossain, 2015; Kim et al., 2015a, 2015b). Further details can be found in Hossain & Randolph (2009) and Zheng et al. (2015).

The soil–anchor interface was modelled as frictional contact, using a general contact algorithm and specifying a (total stress) Coulomb friction law together with a limiting shear stress ($\tau_{\text{max}}$) along the anchor–soil interface (Ma et al., 2014). For each case, the limiting interface friction was determined by setting $\tau_{\text{max}}$ equal to an interface friction ratio, $\alpha$, times the average $S_u$ along the anchor length, with $\alpha$ taken as the inverse soil sensitivity, 1/$S_u$. Typical computation times on a high-performance workstation with 12 central processing unit cores were about 10 days for an anchor dynamic installation followed by monotonic pullout of ~2·5 anchor lengths.

**Different padeye offset**

Previous studies for the OMNI-Max anchor (Liu et al., 2014; Wei et al., 2015) did not simulate the anchor’s loading arm. This study modelled the arm as a solid object. This is worth considering because of its proportion of volume and weight (20% of the anchor). Fig. 1 defines the problem. The left-hand diagram shows that $d_{c,i}$ is the anchor tip embedment depth after installation, with the geometric centroid and padeye labelled. For the dynamic installation, the projected area ($A_p$) and submerged anchor weight ($W_s$) of the anchor were fixed. With those fixed values, the final installed (embedment) depth ($d_{c,i}$) will be similar for a given impact velocity (Kim et al., 2015a).

Upon pullout (see the middle figure), the mooring chain forms an angle to the horizontal $\theta_h$ at the mudline and $\theta_p$ at the padeye. $e_s$ denotes the padeye eccentricity and $e_p$ represents the padeye offset relative to the anchor centroid point. Tian et al. (2014, 2015) proposed a definition of the padeye offset ratio $\eta$ for the plate anchor as $e_p/A_p$ and highlighted its influence on the tendency to dive under loading. Assuming a constant anchor chain pulling angle, they showed that an optimal choice of $\eta$ can cause the anchor to dive, increasing embedment. Here, that concept was adopted and validated for the OMNI-Max anchor. The range of anchor dimensions considered is summarised in Table 1.

**VALIDATION AGAINST CENTRIFUGE TEST DATA**

Details of failure mechanisms during installation of different DIA configurations have been documented by Kim et al. (2015a, 2015b) and Kim & Hossain (2015). Results in the following sections will focus mainly on the pullout behaviour of the OMNI-Max anchor.

**Comparison between LDFE result and centrifuge test data**

The LDFE results were validated against centrifuge test data. Gaudin et al. (2015) presented data from a centrifuge test carried out at 200g in kaolin clay ($S_v = 2·4$). The soil undrained shear strength of $S_u = 3 + 1·1$: kPa was deduced from T-bar penetration tests. The model anchor (anchor A1; Table 1) was installed statically through jacking up to an embedment depth of $d_{c,i}/L_A = 1·4$ and then pulled out monotonically at an angle of 0° at the mudline ($\theta_h = 0°$). The padeye offset ratio $\eta$ was 0·65. In the LDFE simulation, these parameters and $\mu = 0·1$, $d_{\text{rem}} = 1/S_u = 1/2·4$, $\zeta_{ss} = 20$ and $\dot{\gamma}_{\text{ref}} = 1·5%/h$ (as discussed in the earlier section entitled ‘Analysis details’) were considered. The pullout angle at the padeye ($\theta_p$) was adopted as 15° following the Neubecker & Randolph (1995) approach.

Figure 4(a) shows the computed and measured load–displacement curves. Both loading curves exhibit similar stages, including (a) load application (from point 1 to point 2): the mooring line is tightened and the pullout load develops rapidly; (b) anchor keying (from point 2 to point 3): the anchor starts keying (including rotating and translating) and the pullout load gradually increases; (c) anchor diving (after point 3): the steady load increase corresponds to the anchor diving into the soil. As a result, the anchor capacity increases steadily after the keying process. The anchor pullout load from the LDFE analysis is slightly (around 10%) lower than the centrifuge test. This is because the load
increases occurred at \( u = 7 \) m and 26 m (where \( u \) is the drag distance) due to pauses of loading and ramping down–ramping up of the centrifuge. Each pause was followed by a significant load increase, due to the increase in soil strength as a result of reconsolidation of the soil (Gaudin et al., 2013).

Fig. 4(b) also shows the anchor trajectory during pullout. Note that the anchor embedment is represented by the padeye position. Good agreement can be seen with the measured anchor trajectory and diving effect; the slight discrepancy in terms of the location of point 3 may be associated with the additional consolidation in the test. The reasonable consistency with the test data confirms the capability and accuracy of the numerical model in assessing the keying and diving of OMNI-Max anchors in clay soil.

Keying, diving and soil failure mechanisms

Figure 5 depicts the instantaneous velocity vectors during pullout of the OMNI-Max anchor for the same case of Fig. 4. It shows the soil failure mechanisms at three different drag distances related to the identified three main stages: (a) rapid loading; (b) keying; (c) diving. At the beginning of keying (Fig. 5(a)); between point 2 and point 3 in Fig. 4), the soil adjacent to the anchor head fins (tip) moves significantly and faster, while the soil around to the anchor tail fins has moved marginally. This indicates that the anchor rotates or keying occurs at this stage. At the end of keying, as shown in Fig. 5(b) (point 3 in Fig. 4), similar soil movement can be seen around to the anchor tail fins and head fins, indicating translation of the anchor with minor rotation. In the middle of the diving stage (Fig. 5(c); point 4 in Fig. 4), the anchor keeps diving into deep soil with a constant angle, which means translation plays the dominant role at this stage.

RESULTS AND DISCUSSION: PARAMETRIC STUDY

An extensive parametric study was carried out varying (a) padeye offset ratio, \( \eta \), and (b) pullout angle at the padeye, \( \theta_a \). The results from this parametric study, as assembled in Tables 1 and 2, are discussed below. The soil undrained shear strength and soil sensitivity adopted are reported values \( \sigma_{u,\text{ref}} = 3 + 1.1 \) kPa; \( S_t = 3 \) at the Gulf of Mexico (Zimmerman et al., 2009), where OMNI-Max anchors were installed. Parameters in terms of rate

<table>
<thead>
<tr>
<th>Table 1. Omni-Max anchor details</th>
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<tbody>
<tr>
<td>Description</td>
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<tr>
<td>---------------------------------</td>
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<tr>
<td>Total anchor length</td>
</tr>
<tr>
<td>Fin thickness</td>
</tr>
<tr>
<td>Fin width</td>
</tr>
<tr>
<td>Anchor frontal projected area</td>
</tr>
<tr>
<td>equivalent diameter</td>
</tr>
<tr>
<td>Anchor volume</td>
</tr>
<tr>
<td>Anchor dry weight</td>
</tr>
<tr>
<td>Anchor submerged weight</td>
</tr>
<tr>
<td>Padeye offset</td>
</tr>
<tr>
<td>Padeye eccentricity</td>
</tr>
<tr>
<td>Offset angle</td>
</tr>
<tr>
<td>Padeye offset ratio</td>
</tr>
<tr>
<td>Padeye inclination</td>
</tr>
</tbody>
</table>

*Centrifuge testing model anchor (Gaudin et al., 2013).
†Field testing prototype anchor (Zimmerman et al., 2009).
‡Weightless padeye (same geometry with A6).
dependency and strain softening were taken as $\mu = 0.1$; $\dot{\gamma}_{\text{ref}} = 1.5\% / h$; $\xi_{95} = 20$, as they provided a good match in the validation exercise.

Effect of padeye offset ratio, $\eta$

In order to investigate the effect of the padeye offset ratio on the anchor travelling path, anchors A2 ~ A7, with six different padeye offset ratios of $\eta = 0.1$ to 0.75, were installed under an identical impact velocity ($v_i$) of 19 m/s. The achieved embedment depths are similar ($d_{e,t} = 16.78 \pm 0.9$; group I, Table 2). The anchors were then pulled out at an angle at the padeye of $\theta_a = 30^\circ$. Figs 6(a) and 5(b) show, respectively, the anchor trajectory at the padeye and the evolution of the anchor tip inclination angle, $\alpha_{\text{in}}$. Fig. 6(a) confirms that the anchor keying process and potential diving are strongly dependent on the padeye offset ratio, $\eta$. For example, the anchor with a small padeye offset ratio ($\eta \leq 0.136$) tends to slide upward. For $\eta = 0.253$ to 0.75, after keying, the anchor dives into deeper soil, with the diving tendency and penetration depth increases with increasing $\eta$. Compared to anchor A6, anchor A7 with padeye identical inclination of $\omega = 20^\circ$ and even higher offset ratio of $\eta = 0.75$ (as opposed to 0.526) dives shallowly owing to the weightless loading arm (and hence the centroid along the centre line of the anchor) considered. This range of padeye offset ratio is important for anchor design because it leads to diving, and hence gaining capacity.

Figure 6(b) shows that, as the anchor dives into the deeper soil, the anchor inclination angle reaches a plateau or a stabilised stage (see Fig. 6(b)). This means the anchor does not rotate any further, but maintains a constant trajectory. The stabilised inclination angle reduces with increasing padeye offset ratio $\eta$.

Effect of pullout angle

Figure 8 shows typical anchor trajectories (for $\eta = 0.1$ and 0.35) under pullout angles, $\theta_a = 15^\circ$, 30°, and 45° (anchors A2 and A5 in groups I and II, Table 2). Following Tian et al. (2014), Fig. 8 also includes the centroid travelling direction and corresponding stabilised angle ($\phi$) of the OMNI-Max anchor. Overall, the stabilised centroid travelling angle $\phi$ decreases with reducing the pullout angle (including negative

Table 2. Summary of 3D LDFE analyses performed

<table>
<thead>
<tr>
<th>Group</th>
<th>Anchor</th>
<th>$u_{\text{ref}}$ $\text{kPa}$</th>
<th>$v_i$: m/s</th>
<th>$d_{e,t}$: m</th>
<th>$\theta_{\text{in}}$: degrees</th>
<th>$\eta$</th>
<th>$\phi$: degrees</th>
<th>Note</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>A2</td>
<td>2.4 + 1.1z</td>
<td>19</td>
<td>16.9</td>
<td>30</td>
<td>0.1</td>
<td>47.2</td>
<td>Effect of padeye offset ratio</td>
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<tr>
<td></td>
<td>A3</td>
<td></td>
<td></td>
<td>16.86</td>
<td></td>
<td>0.136</td>
<td>15.8</td>
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<tr>
<td></td>
<td>A4</td>
<td></td>
<td></td>
<td>16.8</td>
<td></td>
<td>0.253</td>
<td>8.3</td>
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<td></td>
<td>A5</td>
<td></td>
<td></td>
<td>16.78</td>
<td></td>
<td>0.35</td>
<td>15.8</td>
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<tr>
<td></td>
<td>A6</td>
<td></td>
<td></td>
<td>16.83</td>
<td></td>
<td>0.526</td>
<td>16.1</td>
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<td></td>
<td>A7</td>
<td></td>
<td></td>
<td>16.83</td>
<td></td>
<td>0.75</td>
<td>8.2</td>
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<tr>
<td>II</td>
<td>A2</td>
<td>2.4 + 1.1z</td>
<td>19</td>
<td>16.9</td>
<td>15</td>
<td>0.1</td>
<td>23.2</td>
<td>Effect of pullout angle</td>
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<tr>
<td></td>
<td>A3</td>
<td></td>
<td></td>
<td>16.86</td>
<td>15</td>
<td>0.136</td>
<td>73.1</td>
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</tr>
<tr>
<td></td>
<td>A4</td>
<td></td>
<td></td>
<td>16.81</td>
<td>15</td>
<td>0.253</td>
<td>41.2</td>
<td></td>
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<tr>
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<td>A5</td>
<td></td>
<td></td>
<td>16.78</td>
<td>15</td>
<td>0.35</td>
<td>25.17</td>
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<tr>
<td></td>
<td>A6</td>
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<td>16.83</td>
<td>15</td>
<td>0.526</td>
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<td>16.83</td>
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<td>0.75</td>
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Fig. 5. Soil failure mechanism at different drag distances: (a) $u = 4.6$ m; (b) $u = 5.6$ m; (c) 25 m
values). For the anchor with small padeye offset ratio ($\eta = 0.1$), smaller $\theta_a$ reduces the anchor lifting trend, but the anchor still travels upwards with $\phi > 0$. The greater padeye offset ratio ($\eta = 0.3$) results in an earlier transition of diving directions ($\phi < 0$) with decreasing pullout angle.

The relationship between the stabilised travelling angle, $\phi$, and the padeye offset ratio, $\eta$, is shown in Fig. 9 plotting all the results of group I – II analyses (Table 2). The figure is divided into two areas, $\phi < 0$ and $\phi > 0$, each indicating when the OMNI-Max anchor will lift or dive, respectively. Three different curves displayed in the figure show a similar pattern that the anchor stabilised travelling angle $\phi$ varies with increasing padeye offset ratio $\eta$. The zone of $\phi < 0$ indicates the beneficial padeye offset ratio of the OMNI-Max anchor indicating diving into deeper soil. Among all the cases, peak negative angle $\phi$ occurs for $\eta$ between 0.35 and 0.526, which implies that the OMNI-Max has a maximum diving trend at this padeye offset range. Furthermore, the results in Fig. 9 suggest that the anchor always lifts up for load pulling angles of more than 45°, regardless of the padeye offset ratio $\eta$.

**CONCLUDING REMARKS**

The keying process and diving potential of the dynamically installed OMNI-Max anchor were assessed through parametric 3D LDFE analyses. For the shape and weight of the considered OMNI-Max anchor (as was installed in the Gulf of Mexico) it can be recommended that the anchor diving will be ensured if the padeye offset ratio is in the range of 0.25 – 0.53 (offset angle of 14° ~ 28°) and the chain loading
inclination at the padeye <45°. Anchor diving led to increasing pullout capacity. Further investigation will be carried out, varying the anchor weight and the soil strength gradient.

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NOTATION

- $A_p$: anchor frontal projected area
- $D_p$: anchor frontal projected area equivalent diameter
- $d_{ip}$: anchor tip installed (final penetration) depth
- $e_n$: padeye offset distance
- $e_p$: padeye eccentricity
- $F_p$: pullout load
- $h_{min}$: minimum element size
- $L_A$: anchor shaft length
- $S_s$: soil sensitivity
- $s_u$: undrained shear strength
- $s_{u,ref}$: reference undrained shear strength
- $t_F$: fin thickness
- $u$: drag distance
- $V_A$: anchor volume
- $v_i$: anchor impact velocity
- $W_A$: anchor dry weight
- $W_s$: anchor submerged weight in water
- $w_F$: fin width
- $z$: depth below soil surface
- $a_{it}$: interface friction ratio
- $a_{im}$: anchor inclination angle
- $\beta$: padeye offset angle
- $\dot{\gamma}$: shear strain rate
- $\zeta$: cumulative plastic shear strain
- $\phi$: anchor travelling angle
- $\omega$: padeye inclination angle
- $\delta_h$: horizontal displacement
- $\delta_r$: vertical displacement
- $\eta$: padeye offset ratio
- $\theta_p$: pullout angle at padeye
- $\theta_m$: pullout angle at mudline
- $\mu$: rate parameter
- $\mu_c$: Coulomb friction coefficient
- $\varsigma$: cumulative plastic shear strain
- $\frac{t_{off}}{t_{pull}}$: limiting shear strength at soil-anchor interface
- $\delta_{rem}$: remoulded strength ratio

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